



Change Proposal – F40/02 (Page 1 of 2)	CP No: 681 <i>(mandatory by BSCCo)</i>
Title <i>(mandatory by originator)</i> New Measure for inclusion and modification to existing Measure in Appendix 2 of SVAA SSL300 "Supplier Volume Allocation"	
Description of Change <i>(mandatory by originator)</i> The Non Half Hourly (NHH) Balancing Mechanism (BM) Unit allocation process has been introduced into a number of Code Subsidiary Documents but no performance standard has been included for this particular process.	
Proposed Solution(s) <i>(mandatory by originator)</i> As a result of discussions between the SVAA and ELEXON, a new measure was drafted and presented for approval to Trading Stage 2 (TS2). TS2 (TS2/34/881, Attachment 2 to this CP) approved the introduction of the new measure into SVAA SSL300 "Supplier Volume Allocation" as well as a change to the SVAA Contract to cover the NHH BMU allocation process on 13 th March 2001 (Appendix 1 on p3 shows the required change). The inclusion of this new measure will result in the performance of the SVAA being measured as a success rate against the criteria defined in Attachment 1.	
Justification for Change <i>(mandatory by originator)</i> Implementing this change will ensure a consistent approach with the other SVAA processes and will ensure that Service Credits will apply where the SVAA fails to meet the criteria defined in the SVAA contract. The CR should be implemented as an opportune change post the NETA Go-live date. The SVAA is the only agent impacted by this proposal.	
Other Configurable Items Potentially Affected by Proposed Solution(s) <i>(optional by BSCCo)</i> None	
Impact on Core Industry Documents <i>(optional by originator)</i> None	
Related Changes and/or Projects <i>(mandatory by BSCCo)</i> None	
Originator's Details: BCA Name...Colin Berry Organisation...ELEXON Address..... Date..... [BSC Panel Representative]..... Organisation.....	
Attachments: Y (If Yes, No. of Pages attached:8) <i>(delete as appropriate)</i>	



Attachment 1 - Changes required to SVAA SSL300 "Supplier Volume Allocation"

Add a new Measure for inclusion in Section 5.2, Appendix 2 – Performance Monitoring Reports, as follows:

Measure	Process	Sub-Process / Data Flow	Recipient	Performance Standard	Performance Measure / Service Level
21	NHH BM Unit Allocation Service	Receipt and logging of NHH BM Unit Allocations Validation and input of NHH BM Unit Allocations	Supplier	Complete and accurate within timescales	100% to Section 4

Attachment 2 - TS2/34/881

Meeting name TS2

Date of meeting 13th March 2001

Paper Title ENHANCEMENTS TO NON HALF HOURLY BALANCING MECHANISM UNIT ALLOCATION PROCESS

Purpose of Paper For Decision

Synopsis This paper seeks the agreement of TS2 to a number of enhancements to the Non Half Hourly (NHH) Balancing Mechanism (BM) Unit allocation process, which was introduced via the NETA Programme into a number of Balancing and Settlement Code Subsidiary Documents. There is no proposal to modify the Supplier Volume Allocation (SVAA) Code Subsidiary Documents prior to the NETA Go-live date, rather TS2 members are requested to agree that the changed requirements are notified to the SVAA via Contract Change Notices. In addition TS2 members are requested to agree that Suppliers who choose to use this process complete the pro-forma appended to this paper to meet the obligations of the Code Subsidiary Documents.

1. Introduction

1.1 ELEXON incorporated the NHH BM Unit allocation process within a number of Code Subsidiary Documents as part of Package 2 of the NETA Project. These changes were approved by TS2 on 6th September 2000 (TS2/027/734). These changes allow a Supplier to nominate a NHH BM Unit for a given set of Profile Class / SSC / GSP Group combinations.

1.2 Since the changes were approved, a number of observations have been made by the Supplier Volume Allocation Agent (SVAA) with respect to the following:

- i) the Code Subsidiary Documents as specified do not allow for errors during the data entry of the NHH BM Unit allocation to be corrected once an error has been identified by the SVAA or the Supplier;
- ii) There is no standard pro-forma for the provision of the NHH BM Unit allocations from the Supplier to the SVAA within the Code Subsidiary Documents; and
- iii) There are no specific service measures associated with the NHH BM Unit allocations in the SVAA Service Lines or within the SVAA contract.

2. Proposed Approach

2.1 Although the NHH BM Unit allocation process will become effective on the NETA Go-live date, ELEXON, following consultation with the SVAA, believe that further enhancements are required to this process to protect the overall integrity of Settlements.



- 2.2 These enhancements will take into consideration items i) to iii) stated above.
- 2.3 Appendix 1 suggests a number of enhancements to processes 2.2 and 3.2 “Non Half Hourly Balancing Mechanism Unit Standing Data Changes” of BSCP 507 “Stage 2 Settlement Standing Data Changes”. These changes will ensure that any error, which is introduced by the SVAA, is corrected as soon as possible and in time for the next Supplier Volume Allocation Run. There is no proposal to modify BSCP507 prior to the NETA Go-live date. Instead, the revised requirements will be notified to the SVAA via a Contract Change Notice (CCN). In the long term, the requirements will be embodied within BSCP507.
- 2.4 Appendix 2 includes the standard pro-forma which all Suppliers wanting to use NHH BM Units would complete, subject to agreement by TS2 members. An earlier version of this pro-forma has been reviewed by the SVAA as it places additional obligations on them. In the short term, a circular will be issued to all Suppliers notifying them of the requirement to complete this pro-forma where the NHH BM Units are to be used. In addition, the SVAA will be notified of this new requirement via a CCN. In the long term, the requirements will be embodied within BSCP507. An earlier version of this pro-forma has been used in both Process Special Test (PST) and Pre-production testing. The pro-forma included in Appendix 2 has been issued to those Suppliers who participated in testing as well as to the SVAA and the outcome of this review will be notified to TS2 at their meeting on 13th March 2001.
- 2.5 As the volumes of NHH BM Units are not expected to be high in the initial period following NETA Go-live, the ELEXON Contracts Team have agreed that the SVAA will process up to 40 NHH BM Unit pro-formas on every day, in time and date order so that those nearest the Gate Closure take precedence. Where more than the maximum number of 40 are received, the SVAA will process if time permits otherwise they will notify ELEXON who will agree an appropriate course of action and notify the SVAA accordingly.
- 2.6 When the original NHH BM Unit allocation process was embodied within the Code Subsidiary Documents, it was not believed that any changes were required to the measures defined in the SVAA Service Lines or within the SVAA contract, on the basis that existing measures covered input and validation of standing data. However as a result of discussing this further with the SVAA, the existing measures may not adequately protect either the impacted Supplier or the SVAA if an error were to be introduced by the SVAA. Consequently a new service measure is proposed for inclusion in the short term within the SVAA Contract, via a CCN. Appendix 3 outlines this new measure. In the long term, there is a proposal to include this new measure in SVAA SSL300 “Supplier Volume Allocation”.
- 2.7 The SVAA has provided ELEXON with the operational costs associated with providing this service and they are:
- i) £16.94 per NHH BM Unit pro-forma processed; and
 - ii) a monthly charge of £7,500 for the first three months.
- The charges for providing the service will be reviewed by ELEXON in conjunction with the SVAA within 3 months of the NETA Go-live date.
- 2.8 The above proposals have been discussed at length with the SVAA and the SVAA has confirmed that it is able to support the above requirements from the NETA Go-live date.



2.9 TS2 members are requested to approve that these further enhancements are implemented to improve the NHH BM Unit process.

3. Recommendation

3.1 Trading Stage2 is invited to:

- a) **NOTE** the process proposed for implementing the above requirements (pre and post the NETA Go-live date);
- b) **NOTE** that the outcome of the review of the pro-forma will be presented at the TS2 meeting;
- c) **AGREE** that the requirements will become effective on the NETA Go-live date;
- d) **AGREE** the operational charges for the SVAA to provide the service;
- e) **AGREE** that the changes, in the short term, will be notified to the SVAA via CCN(s) rather than via changes to the Code Subsidiary Documents; and
- f) **AGREE** that the relevant changes are incorporated in the long term within the Code Subsidiary Documents.

Peter Davies
Head of Supplier Energy Allocation

Enclosures:

Appendix 1 – Enhancements to 2.2/3.2 “Non Half Hourly Balancing Mechanism Unit Standing Data Changes” of BSCP 507 “Stage 2 Settlement Standing Data Changes”.

Appendix 2 – NHH BM Unit Standard Pro-forma; and

Appendix 3 - New Service Measure.

Enhancements to Non Half Hourly Balancing Mechanism Unit
Standing Data Changes Process

A number of changes are required to process 2.2 / 3.2 “Non Half Hourly Balancing Mechanism Unit Standing Data Changes” of BSCP507. The additional steps will become effective prior to the NETA Go-live date however the changes will not be implemented within BSCP507 until an opportune time post the NETA Go-live date.

Add the following new steps:

- a) that requires the SVAA to notify ELEXON where they identify a discrepancy, or where the Supplier identifies a discrepancy (and notifies the SVAA), between the form provided by the Supplier (via a P0185) and the data entered by the SVAA into the SVA Agent system.
- b) Upon receipt of the notification, and within 1 WD, ELEXON will decide whether or not the SVAA should modify the NHH BM Unit allocation for the Supplier.
- c) ELEXON will request the SVAA to modify the NHH BM Unit allocation, via an e:mail.
- d) Within 1 WD of receipt of this notification from ELEXON, the SVAA will modify the NHH BM Unit allocation.
- e) Weekly, the SVAA will send notification to ELEXON, by e:mail, which will include a summary of all the allocations entered correctly and those where further changes to the allocations have been necessary, following authorisation from ELEXON. This notification will cover the previous 5 days of allocations.

The introduction of the pro-forma (Appendix 2) will ensure that there is an audit trail of the Supplier’s requirements, the actions taken by the SVAA and where appropriate the authority given by ELEXON to implement a correction to the NHH BM Unit allocation for the impacted Supplier.



TS2/34/881

Number of forms provided: _____

NHH BM Unit Nominated Representative: _____

Signature: _____

Company: _____

Date and Time Submitted: _____

PART B – SVAA

Date and Time Received: _____

Received by Gate Closure: Yes / No (select appropriate)

SVAA Representative: _____

Signature: _____

Validation Completed

Date and Time Entered into SVAA System: _____

Validation Successful: Yes / No (select appropriate)

Supplier notified of Outcome of Validation: Yes / No (select appropriate)

Date Supplier Notified: _____

SVAA Representative: _____

Signature: _____

PART C – ELEXON

Correction to NHH BM Unit Authorised: Yes / No (select appropriate)

Description of Change Required: _____

ELEXON Representative: _____

Signature: _____

Date: _____

Date Notified to SVAA to Implement Correction: _____

PART D – SVAA**Date Authorisation Received from ELEXON:** _____**Date and Time Entered into SVAA System:** _____**SVAA Representative:** _____**Signature:** _____

Appendix 3

New Service Measure

This new service measure will be added to the SVAA Contract in the short term and then post the NETA Go-live date, the relevant elements will additionally be added to SVAA SSL300 “Supplier Volume Allocation”.

Process	Sub-Process / Data Flow	Recipient	Performance Standard	Performance Measure / Service Level ¹
Non Half Hourly (NHH) Balancing Mechanism Unit Allocation Service	Receipt, logging and validation of NHH BM Unit Allocations	Supplier	Complete	100% to Section 4 of SVAA SSL300
	Loading of NHH BM Unit Allocations		Accurate	100% to Section 4 of SVAA SSL300
			Within timescales	100% to Section 4 of SVAA SSL300

¹ The 100% quoted above relates to the 40 pro-formas which the SVAA must process in accordance with SVAA SSL300. Any additional pro-formas processed will not be subject to this service measure.