

Change Proposal – F40/01 (Page 1 of 2)	CP No: 915 <i>(mandatory by BSCCo)</i>
Title <i>(mandatory by originator)</i> Processing of late and revised SAA I006 files from Interconnector Administrators	
Description of Change <i>(mandatory by originator)</i> Presently the SAA system is set up to automatically process any late, or revised, SAA I006 flows sent from Interconnector Administrators (IA) to the SAA. In the process of ruling on an Interconnector Dispute the Trading Disputes Committee (TDC) have asked ELEXON to confirm with the BSC Agent that the files are processed automatically and the latest file is always processed in the next relevant Settlement Run. The present process has been discussed with BSC Agent who has confirmed that the SAAI006 files are processed automatically on the basis of the last relevant SAA I006 file received for all Settlement Days up to the Final Reconciliation Settlement Run. This process is not compliant with the Balancing and Settlement Code. Section U2.5.1 states that 'Relevant BSC Agents and relevant Parties may not adjust settlement data except in accordance with section 2.5'. Section U2.5.3 states that unless the data was provided on behalf of a particular Party (where it can be changed under certain circumstances with the Party's agreement), it can only be changed with the consent of BSCCo after the settlement data is has been provided to Parties. The latest SAA I006 file, or default data, would be provided to BSC Parties in the Interim Information Settlement Run (IISR). The BSC would therefore require the BSC Agent to inform ELEXON if a revised, or late file, is received after the relevant IISR. The Trading Disputes Committee have requested that this matter is addressed by the raising of a Change Proposal.	
Proposed Solution(s) <i>(mandatory by originator)</i> <u>Existing process that needs to be retained:</u> The BSC Agent should notify ELEXON where a SAA I0006 file is not received from the Interconnector Administrator in compliance with BSCP04 and the Code Section R7.4. The SAA has an obligation to chase the IA for any late file(s) and confirm a valid file has been received before the Initial Information Settlement Run (IISR), where the late/missing file has already been reported to ELEXON. Where the file is not available by the IISR the SAA should seek confirmation from ELEXON that they can proceed with the IISR as dictated by Section U2.5 of the Code. <u>Proposed changes to make the process compliant with the Code.</u> Where a revised, or late, file is received from an IA after the IISR report has been issued for the relevant Settlement Day (s), the SAA should report this to ELEXON and ask what action should be taken. Depending on the circumstances ELEXON will either instruct the SAA to process the file or hold it in abeyance of the resolution of a Trading Query/Dispute. The SAA system will need to delay the application of the latest file and/or an earlier file where it is subject to a Trading Query and subsequently apply one of the files as directed by the outcome of that Trading Query/Dispute.	

Justification for Change *(mandatory by originator)*

The present SAA process is not compliant with the Balancing and Settlement Code. The TDC has explicitly requested that this inconsistency is resolved.

Other Configurable Items Potentially Affected by Proposed Solution(s)

The detailed SAA processes do not seem to be captured in any of the Logica baseline documents (URS or OSM).

Impact on Core Industry Documents *(optional by originator)***Related Changes and/or Projects** *(mandatory by BSCCo)*

See Change Proposal XXX

Originator's Details:

BCA Name

Organisation ELEXON

Email Address

Date 03/01/03