

Redlined changes to BSCP40 as a result of P262 and P263

Changes are proposed to BSCP40 'Change Management' as a result of P262 'Code Governance Review: Significant Code Reviews, Self-governance and Code Administration Code of Practice' and P263 'Code Governance Review: Send Back Process and Environmental Assessment'. The redline changes are set out below.

3.9 Development of amendments to Configurable Items arising from a Modification Proposal

REF	WHEN	ACTION	FROM	TO	INFORMATION REQUIRED	METHOD
3.9.1	Where necessary	Request a decision on whether the redlined changes to the Category 1 Configurable Items to support a Proposed or Alternative Modification should be developed and circulated to the industry prior to a decision on the Modification being received. ¹	BSCCo	BSC Panel	Relevant Modification documents.	Panel Paper
3.9.2	After 3.9.1	BSC Panel approves the request, subject to the Authority decision ² being to Approve the Proposed or Alternative Modification, or rejects the request.	BSC Panel	BSCCo		Panel Paper
3.9.3	As determined by the Panel	Develop the redlined changes to the Category 1 Configurable Items.	BSCCo		Details of the changes to be made as part of the modification / alternative Modification.	Internal Process
3.9.4	As appropriate	Submit redlined changes to the Category 1 Configurable Items for review.	BSCCo	BCAs / PACAs / BSC Agents (If applicable)	Redlined Configurable Items.	Post / Email / Fax

¹ This request should only be made where ELEXON has identified that there would be insufficient time to develop the necessary changes to Configurable Items with the appropriate amount of notice to participants following notification of the Authority's decision. The development of such changes would not commence until after submission of the relevant Final Modification Report to the Authority. If the Modification were subsequently rejected by the Authority, such changes would not be implemented.

² Except where a Modification Proposal is considered to be a Self-Governance Modification Proposal

REF	WHEN	ACTION	FROM	TO	INFORMATION REQUIRED	METHOD
3.9.5	As required to meet targeted Panel Committee meeting timescales	Carry out review and return comments.	BCAs / PACAs / BSC Agents	BSCCo	Review comments.	Post / Email / Fax
3.9.6	As appropriate	Incorporate comments as appropriate and finalise changes.	BSCCo		Review comments.	Internal Process
3.9.7	Panel Committee paper deadline	Submit redlined changes to Category 1 Configurable Items as appropriate for approval. At the same time confirm Implementation Date.	BSCCo	Panel Committee	Revised Configurable Items as appropriate. Panel Committee paper number.	Post / Email / Fax
3.9.8	At the Panel Committee	Review submission and, if approved, confirm Implementation Date.	Panel Committee	BSCCo	Revised Configurable Items and Implementation Date.	Meeting / Email / Fax
3.9.9	As appropriate	Publish approved redlined changes to Category 1 Configurable Item(s).	BSCCo	BCAs / PACAs	Approved Configurable Items.	Post / Email / Fax
3.9.10	As appropriate	Amend Category 2 Configurable Items ensuring changes are Redlined.	BSCCo		Modification detail.	Internal Process
3.9.11	As appropriate	Submit redlined Changes to Category 2 Configurable Items for review as appropriate.	BSCCo	BCAs / PACAs / BSC Agents (if applicable)	Redlined Configurable Items.	Email / Fax
3.9.12	Within agreed timescales	Carry out review and return comments.	BCAs / PACAs / BSC Agents (if applicable)	BSCCo	Review comments.	Email / Fax
3.9.13	To meet Paper Day of next appropriate Panel Committee Meeting	Incorporate comments as appropriate and finalise changes.	BSCCo		Review comments.	Internal Process
3.9.14	Panel Committee paper deadline	Submit revised Category 2 Configurable Items as appropriate for approval.	BSCCo	Panel Committee	Revised Configurable Items as appropriate. Panel Committee paper number.	Email / Fax

REF	WHEN	ACTION	FROM	TO	INFORMATION REQUIRED	METHOD
3.9.15	Panel Committee	Review submission and, if approved, confirm Implementation Date.	Panel Committee	BSCCo	Revised Configurable Items.	Meeting / Email / Fax
3.9.16	In time for Implementation Date of the Modification	Amend the Configurable Items	BSCCo		Changes made using the text approved by the Panel Committee.	Internal Process
3.9.17	Following Panel Committee Approval	Publish changes to integer versions of Category 2 Configurable Items on the BSC Website, ensuring all changes are highlighted.	BSCCo		Amended Configurable Items.	Internal Process
3.9.18	At least 2 WD before Implementation Date	Publish clean versions of all Configurable Items on the BSC Website, as appropriate.	BSCCo		Amended Configurable Items.	Internal Process

4.5. *MP Form*

Modification Proposal – BSCP40/03	MP No: <i>(mandatory by BSCCo)</i>
Title of Modification Proposal <i>(mandatory by originator):</i>	
Submission Date <i>(mandatory by originator):</i>	
Description of Proposed Modification <i>(mandatory by originator)</i>	
Description of Issue or Defect that Modification Proposal Seeks to Address <i>(mandatory by originator)</i>	
Impact on Code <i>(optional by originator)</i>	
Impact on Core Industry Documents or System Operator-Transmission Owner Code <i>(optional by originator)</i>	
Impact on BSC Systems and Other Relevant Systems and Processes Used by Parties <i>(optional by originator)</i>	

Modification Proposal – BSCP40/03	MP No: <i>(mandatory by BSCCo)</i>
Impact on other Configurable Items <i>(optional by originator)</i>	
Justification for Proposed Modification with Reference to Applicable BSC Objectives <i>(mandatory by originator)</i>	
<u>Is there a likely material environmental impact?</u> <i>(optional by originator)</i>	
Urgency Recommended: Yes / No <i>(delete as appropriate) (optional by originator)</i>	
Justification for Urgency Recommendation <i>(mandatory by originator if recommending progression as an Urgent Modification Proposal)</i>	
<u>Self-Governance Recommended: Yes / No</u> <i>(delete as appropriate) (optional by originator)</i>	

Modification Proposal – BSCP40/03	MP No: <i>(mandatory by BSCCo)</i>
<p><u>Justification for Self-Governance Recommendation</u> <i>(mandatory by originator if recommending progression as Self-Governance Modification Proposal)</i></p>	
<p><u>Should this Modification Proposal be considered exempt from any ongoing Significant Code Reviews?</u> <i>(optional by originator in order to assist the Panel decide whether a Modification Proposal should undergo a SCR Suitability Assessment)</i></p>	
<p>Details of Proposer:</p> <p><i>Name</i>.....</p> <p><i>Organisation</i>.....</p> <p><i>Telephone Number</i>.....</p> <p><i>Email Address</i>.....</p>	
<p>Details of Proposer’s Representative:</p> <p><i>Name</i>.....</p> <p><i>Organisation</i>.....</p> <p><i>Telephone Number</i>.....</p> <p><i>Email address</i>.....</p>	

<p>Modification Proposal – BSCP40/03</p>	<p>MP No: (mandatory by BSCCo)</p>
<p>Details of Representative's Alternate:</p> <p><i>Name</i>.....</p> <p><i>Organisation</i>.....</p> <p><i>Telephone Number</i>.....</p> <p><i>Email address</i>.....</p>	
<p>Attachments: Yes / No (delete as appropriate) (mandatory by originator)</p> <p>If Yes, Title and No. of Pages of Each Attachment:</p>	

4.6. MP Form Guidelines

These guidelines are to be used to assist in the completion of the MP Form, contained in Appendix 4.5. The guidelines state who should complete each item on the form and whether it is mandatory or optional. They also give a brief description of the information that should be given for each item. For further guidance please contact BSCCo.

- **MP No.** – mandatory completion by BSCCo – unique number allocated for each individual MP in the Modification Register.
- **Title of Modification Proposal** – mandatory completion by originator – title of Modification Proposal – should be unique where possible.
- **Submission Date** – mandatory completion by originator – the date on which the originator raised the MP.
- **Description of Proposed Modification** – mandatory completion by originator – a description in reasonable but not excessive detail of the proposed modification and of its nature and purpose.
- **Description of Issue or Defect that Modification Proposal Seeks to Address** – mandatory completion by originator – a description in reasonable but not excessive detail of the issue or defect which the proposed modification seeks to address.
- **Impact on Code** – optional completion by originator – where possible, an initial indication of those parts of the Code which would require amendment in order to give effect to (and/or would otherwise be affected by) the proposed modification and an indication of the nature of those amendments or effects. This will be superseded by the detailed formal Impact Assessments undertaken by the Modification Group that progresses the Modification Proposal.
- **Impact on Core Industry Documents or System Operator-Transmission Owner Code (STC)** – optional completion by originator – initial list of all Core Industry Documents or STC potentially affected by proposed solution(s). Brief details of how each document will be affected should also be included, if known. The list of Core Industry Documents is defined in Electricity Act Licences. This will be superseded by the detailed formal Impact Assessments undertaken by the Modification Group that progresses the Modification Proposal.
- **Impact on BSC Systems and Other Relevant Systems and Processes Used by Parties** – optional completion by originator – where possible, an initial indication of the impact of the proposed modification on BSC Systems and processes and other relevant systems and processes used by Parties. This will be superseded by the detailed formal Impact Assessments undertaken by the Modification Group that progresses the Modification Proposal.
- **Impact on Other Configurable Items** – optional completion by originator – an initial list of all Configurable Items potentially affected by proposed solution(s). Brief details of how each Configurable Item will be affected should be included, if known. This will be superseded by the detailed formal Impact Assessments undertaken by the Modification Group that progresses the Modification Proposal. A definition of ‘Configurable Item’ can be found in section [2.2](#) of this Procedure.

- **Justification for Proposed Modification** – mandatory completion by originator – brief description of how the proposed modification would better facilitate achievement of the Applicable BSC Objective(s) as compared with the then current version of the Code.
- **Is there a likely material environmental impact?** – optional completion by originator – Where a Modification Proposal is likely to have a material impact on greenhouse gas emissions, in respect of the Applicable BSC Objective(s), the originator should indicate what they believe this impact would be in order to assist the BSC Panel in determining how to best progress the Modification Proposal. In the event that environmental assessment is required then such assessment would be conducted in accordance with the most recent Authority guidance on the treatment of carbon costs and evaluation of greenhouse gas emissions.
- **Urgency Recommended** – optional completion by originator – confirmation of whether the originator is recommending that the progression of the Modification Proposal should be expedited as an Urgent Modification Proposal.
- **Justification for Urgency Recommendation** – mandatory completion by originator if recommending progression as an Urgent Modification Proposal – a description of why the progression of the Modification Proposal should be expedited as an Urgent Modification Proposal. This description will be considered by the BSC Panel in formulating its recommendation to the Authority regarding urgency, and by the Authority in determining whether urgency should be granted. When completing this item, the originator may therefore choose to consider the following guidance:
 - The Authority has previously expressed the view that a Modification Proposal should only be treated as an Urgent Modification Proposal if it could not appropriately be treated as non-urgent. The Authority has also expressed the view that Urgent Modification Proposals are likely to exhibit at least one of the following characteristics:
 - a) There is a very real likelihood of significant commercial impact upon the Transmission Company, industry parties, or customers if a Modification Proposal is not urgent;
 - b) Safety and security of the network is likely to be impacted if a Modification Proposal is not urgent; and/or
 - c) The Modification Proposal is linked to an imminent date-related event.

Please note that the above areas represent guidance only, and are not definitive criteria. There may therefore be occasions where a Modification Proposal is deemed to be urgent by the Authority even where it does not exhibit these characteristics (or, conversely, be deemed non-urgent where one or more of the characteristics is exhibited). If urgency is not being recommended, this item on the MP form should be left blank.

- **Self-Governance Recommended: Yes / No** – optional completion by originator – confirmation of whether the originator is recommending that the Modification Proposal should progress as a Self-governance Modification Proposal.
- **Justification for Self-Governance Recommendation** – mandatory completion by originator if recommending progression as an Urgent Modification Proposal – a Modification Proposal may be considered Self-Governance where it is unlikely to have a material effect on:

- Existing or future electricity customers;
- Competition in generation or supply;
- The operation of the transmission system;
- Security of supply;
- Governance of the BSC,

and it is unlikely to discriminate against different classes of BSC Parties.

Because of their non-material nature, Self-Governance Modification Proposals are do not require an Authority decision. Instead the BSC Panel will make a decision on whether to approve or reject the Modification Proposal. In order to make a decision on a Self-Governance Modification Proposal, the BSC Panel must first submit a Self-Governance Statement to the Authority, along with industry consultation responses at least 7 days before a Panel decision. The Authority may veto Self-Governance at any point up until the Panel decision. The Authority may also declare a Modification Proposal as Self-Governance without the need for a Self-Governance Statement.

If the originator believes the Modification Proposal is Self-Governance they should outline their justification having regard to the Self-Governance Criteria as defined above. The Panel will take account of the originator's justification when deciding whether to submit a Self-Governance Statement.

- **Should this Modification Proposal be considered exempt from any ongoing Significant Code Reviews?** – optional completion by originator – the Significant Code Review (SCR) process has been introduced to facilitate the progression of significant industry changes in the most efficient manner. Ofgem has the sole right to raise SCRs, but will consult on the scope of the review before commencing the SCR.

The period between the SCR commencing and SCR closing is known as the 'SCR Phase'. During SCR Phase the provisions in BSC Section F5 apply. Ofgem has the ability to 'Subsume' a Modification Proposal if it is raised during the SCR Phase. If a Modification Proposal is subsumed it will 'freeze' in the Modification Procedures until the conclusion of the SCR Phase. The intention is to subsume those Modifications that are linked to the SCR topic to prevent the same work being done under the BSC and the SCR. If Ofgem believe a Modification is not linked to a SCR then they may declare it exempt and it will progress through the Modifications Procedures as normal.

During an ongoing SCR Phase the originator can use this section to justify why their Modification Proposal should be considered exempt from the ongoing SCR(s). Details of ongoing SCRs can be found on the Ofgem website.

- **Proposer's Details** – mandatory completion by originator – the name, organisation, email address and telephone number of the proposer. Also, the name and organisation of the person who will represent the Proposer at the BSC Panel on matters relating to the proposed modification proposal and his/her alternate. For the avoidance of doubt, the Proposer's Representative does not need to be an employee of the originator's company.
- **Attachments** - mandatory completion by originator – confirmation of whether any attachments have been submitted together with the MP Form. Where attachments have been included, a list of the titles and number of pages of each of these should be provided.

Attachments may be used to provide extra material and information in relation to the Modification Proposal and to expand on the items required in the MP Form.