

Balancing and Settlement Code

BSC PROCEDURE

BM Unit Registration

BSCP15

Version 19.x~~Version 19.0~~

[for Modification Proposal P268 'Clarify the P/C status process for exempt BM Units']

Date: [P268 Implementation Date]~~4 November 2010~~

BSC PROCEDURE 15**relating to****BM UNIT REGISTRATION**

1. Reference is made to the Balancing and Settlement Code and, in particular, to the definition of “BSC Procedure” in Section X, Annex X-1 thereof.
2. This is BSC Procedure 15, ~~Version 19.x~~Version 19.0 relating to BM Unit Registration.
3. This BSC Procedure is effective from ~~[P268 Implementation Date]~~4 November 2010.
4. This BSC Procedure has been approved by the Panel.

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AMENDMENT RECORD

Version	Date	Description of Changes	Changes Included	Mods/ Panel/ Committee Refs
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4.0	24/06/03	Change Proposals for CVA Programme June 03 Release	CP 749, 761, 821, P106	
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6.0	12/09/03	Approved Modification P82 and P100 for CVA Programme Nov 03 Release	P82 P100	P82 54/006, P100 57/007
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CONTENTS

1.	INTRODUCTION	8
1.1	Purpose and Scope of the Procedure	8
1.2	Main Users of the Procedure and their Responsibilities	8
1.3	Key Milestones	10
1.4	Balancing and Settlement Code Provision	10
1.5	Associated BSC Procedures	10
1.6	Transmission Company Registration Requirements	11
1.7	<u>BSC Website list of Suppliers which have satisfied the criteria to complete a change of BM Unit Lead Party within 5WDs for a BM Unit with CVA metering and associated with a Customer premises</u>	11
1.8	Obligations	12
2.	ACRONYMS AND DEFINITIONS	13
2.1	List of Acronyms	13
2.2	List of Definitions	14
3.	INTERFACE AND TIMETABLE INFORMATION	15
3.1	Registration of BM Unit Associated with Metering Systems Registered with the CRA	15
3.2	Registration of Interconnector BM Units	19
3.3	Notification of a New Supplier or Supplier ID and Base BM Unit IDs	20
3.4	Registration of Additional BM Unit (Supplier Only)	22
3.5	De-Registration of BM Unit Excluding Base BM Unit	25
3.6	De-Registration of Supplier BM Units following Cessation of Supplier	28
3.7	<u>Registration of Seasonal Estimates of Maximum [Housekeeping] Positive Magnitude and Maximum Negative Magnitude BM Unit Metered Volume</u>	29
3.8	Mid-Season Changes of Generation Capacity and Demand Capacities	30
3.9	This section is no longer in use	32
3.10	<u>Application for Exemptable Generating Plant Status and Exempt Export Status for the Associated BM Unit(s)</u>	33
3.11	Termination of Exempt Export Status for a BM Unit	39
3.12	Change of Production / Consumption Status for an Exempt Export BM Unit by the Lead Party	40
3.13	Change of CVA BM Unit Lead Party	43

3.14	Transfer of Supplier ID Process (Change of SVA BM Units Ownership)	48
3.15	Process for Parties to Apply for Inclusion in BSC Website List of Suppliers which have Satisfied the Criteria to Complete a Change of BM Unit Lead Party within 5 WDs for a BM Unit with CVA Metering and Associated with a Customer Premises	51
3.16	Application Process for Credit Qualifying BM Unit Status	53
3.17	Monitoring Process for Credit Qualifying BM Unit Status	56
4.	APPENDICES	58
4.1	BSCP15/4.1 Registration of BM Unit for a CVA Metering System[Housekeeping]	58
4.2	BSCP15/4.2 De-Registration of BM Unit	61
4.3	BSCP15/4.3 Registration of Seasonal Estimates of the Maximum Positive and Negative BM Unit Metered Volume	62
4.4	BSCP15/4.4 Mid-Season Changes of Positive and Negative BM Unit Metered Volume Estimates	63
4.5	BSCP15/4.5 Application for Exemptable Generating Plant Status	65
4.6	BSCP15/4.6 Notification of a Supplier ID Transfer	67
4.7	BSCP15/4.7 Commencement / Termination of Exempt Export BM Unit Status	69
4.8	BSCP15/4.8 Election of Production / Consumption Flag for Exempt Export BM Unit	70
4.9	BSCP15/4.9 Certification of Exemptable Status for Generating Plant With Exports Measured by SVA Metering Systems	71
4.10	BSCP15/4.10 Application for Inclusion in BSC Website List of Suppliers which have Satisfied the Criteria to Complete a Change of BM Unit Lead Party within 5 WD for a BM Unit with CVA Metering and Associated with a Customer Premises	74
4.11	BSCP15/4.11 Change of CVA BM Unit Lead Party (CoBLP)	76
4.12	BSCP15/4.12 Application for Credit Qualifying BM Unit Status	78
1.	INTRODUCTION	6
1.1	Purpose and Scope of the Procedure	6
1.2	Main Users of the Procedure and their Responsibilities	6
1.3	Key Milestones	7
1.4	Balancing and Settlement Code Provision	7
1.5	Associated BSC Procedures	7
1.6	Transmission Company Registration Requirements	8
1.7	BSC Website list of Suppliers which have satisfied the criteria to complete a change of BM Unit Lead Party within 5WDs for a BM Unit with CVA metering and associated with a Customer premises	8

1.8	Obligations	9
2.	ACRONYMS AND DEFINITIONS	10
2.1	List of Acronyms	10
2.2	List of Definitions	11
3.	INTERFACE AND TIMETABLE INFORMATION	12
3.1	Registration of BM Unit Associated with Metering Systems Registered with the CRA	12
3.2	Registration of Interconnector BM Units	16
3.3	Notification of a New Supplier or Supplier ID and Base BM Unit IDs	17
3.4	Registration of Additional BM Unit (Supplier Only)	19
3.5	De-Registration of BM Unit Excluding Base BM Unit	21
3.6	De-Registration of Supplier BM Units following Cessation of Supplier	24
3.7	Registration of Seasonal Estimates of Maximum and Positive Magnitude and Maximum Negative Magnitude BM Unit Metered Volume	25
3.8	Mid-Season Changes of Generation Capacity and Demand Capacities	26
3.9	This section is no longer in use	28
3.10	Declaration of Exemptable Generating Plant and Exempt Export Status for the Associated BM Unit	29
3.11	De-register Exempt Export Status for a BM Unit	32
3.12	Election of Production / Consumption Flag (for Exempt Export BM Units only)	33
3.13	Change of CVA BM Unit Lead Party	35
3.14	Transfer of Supplier ID Process (Change of SVA BM Units Ownership)	39
3.15	Process for Parties to Apply for Inclusion in BSC Website List of Suppliers which have Satisfied the Criteria to Complete a Change of BM Unit Lead Party within 5 WDs for a BM Unit with CVA Metering and Associated with a Customer Premises	41
3.16	Application Process for Credit Qualifying BM Unit Status	43
3.17	Monitoring Process for Credit Qualifying BM Unit Status	45
4.	APPENDICES	47
4.1	BSCP15/4.1 Registration of BM Unit for a CVA Metering System	47
4.2	BSCP15/4.2 De-Registration of BM Unit	50
4.3	BSCP15/4.3 Registration of Seasonal Estimates of the Maximum Positive and Negative BM Unit Metered Volume	51

4.4	BSCP15/4.4 Mid-Season Changes of Positive and Negative BM Unit Metered Volume Estimates	52
4.5	BSCP15/4.5 Declaration of Exemptable Status for Generating Plant	54
4.6	BSCP15/4.6 Notification of a Supplier ID Transfer	56
4.7	BSCP15/4.7 Registration / De-registration of Exempt Export Status	58
4.8	BSCP15/4.8 Election of Production / Consumption Flag for Exempt Export BM Units	59
4.9	BSCP15/4.9 Certification of Exempt Export Status for BM Units Comprising SVA Metering	60
4.10	BSCP15/4.10 Application for Inclusion in BSC Website List of Suppliers which have Satisfied the Criteria to Complete a Change of BM Unit Lead Party within 5 WD for a BM Unit with CVA Metering and Associated with a Customer Premises	62
4.11	BSCP15/4.11 Change of CVA BM Unit Lead Party	64
4.12	BSCP15/4.12 Application for Credit Qualifying BM Unit Status	66

1. Introduction

1.1 Purpose and Scope of the Procedure

This BSCP defines the key interfaces, interdependencies and timetable for the registration and de-registration of BM Units by the Party, which, for the avoidance of doubt, includes:

- New registration;
- De-registration;
- ~~[Housekeeping] Changes in Where~~ the FPN flag status ~~changes~~;
- Registration of Seasonal Estimates of the Maximum Positive Magnitude and Maximum Negative Magnitude ~~[Housekeeping] BM Unit Metered Volume~~;
- ~~Registration / de-registration of Exempt Export Status~~ Application for Exemptable status for a Generating Plant and Exempt Export status for the associated BM Unit(s), including election of the Production / Consumption Status for the Exempt Export BM Unit(s) by the Lead Party;
- ~~Change of Production / Consumption Status for an Exempt Export BM Unit by the Lead Party;~~
- Termination of Exemptable status for a Generating Plant and the Exempt Export status of the associated BM Unit(s);
- ~~Election of Production / Consumption flag for an Exempt Export BM Unit;~~
- Mid-Season Changes of the Maximum Positive Magnitude and Maximum Negative Magnitude BM Unit Metered Volume;
- Change of CVA BM Unit Lead Party;
- Transfer of Supplier ID; and
- Notification of Switching Group¹.

1.2 Main Users of the Procedure and their Responsibilities

The main users of this procedure are:

- (a) The Party that is de-registering the relevant BM Unit;
- (b) The Party that is registering the relevant BM Unit;
- ~~(c)~~ The Lead Party for an Exempt Export BM Unit (or a BM Unit which is the subject of an Exempt Export status application);
- ~~(d)~~ The Central Data Collection Agent (CDCA);
- ~~(e)~~ The Central Registration Agent (CRA);

¹ Per section K3.1.4B, Power Park Modules may belong to a Switching Group on the basis that Plant and Apparatus can be selected to run in any of the BM Units belonging to that Switching Group. A 'Switching Group' is described in Section K3.1.4A of BSC.

- (~~f~~e) The Transmission Company (TC);
- (~~g~~f) Supplier Volume Allocation Agent (SVAA);
- (~~h~~g) Licensed Distribution System Operators (LDSOs);
- (~~i~~h) BSCCo; and
- (~~j~~i) The Panel.

1.3 Key Milestones

The key milestones in this procedure are:

- Detailed notification of ‘registration’ of a BM Unit (except a non-standard BM Unit) at least 30 Working Days prior to the Effective From Date;
- 20 Working Days notification of de-registering BM Unit (30 Working Days for a Base BM Unit) prior to proposed Effective To Date;
- New Suppliers notified to SVA at least 30 Working Days prior to the Effective From Date;
- 5 Working Days notification of transfer of Directly Connected Demand BM Units, if the criteria set out in section 3.13 are satisfied;
- 5 Working Days notification of Change of CVA BM Unit Lead Party, if the criteria set out in section 3.13 are satisfied; and
- 1 Working Day notification of transfer of Supplier ID process (Change of SVA BM Unit Ownership).

The notice period required to register and de-register a BM Unit, excluding Base BM Units, may be reduced if there is an agreement between the CRA/BSCCo/Party/TC.

In cases where a BM Unit with a non-standard configuration is to be registered, a longer notice period of up to 60 WD may be required in order that the application may be properly considered by the BSCCo and/or the Panel. Registrants should advise BSCCo of any such applications at the earliest opportunity such that indicative registration timescales and Effective From Dates may be discussed.

1.4 Balancing and Settlement Code Provision

This BSCP should be read in conjunction with the Code and in particular Section K. This BSCP has been produced in accordance with the provisions of the Code. In the event of an inconsistency between the provisions of this BSCP and the Code, the provisions of the Code shall prevail.

1.5 Associated BSC Procedures

This procedure interfaces with the following BSCPs:

BSCP02	Proving Test Requirements for Central Volume Allocation Metering Systems
BSCP06	CVA Meter Operations for Metering Systems Registered in CMRS
BSCP20	Registration of Metering System for Central Volume Allocation
BSCP25	Registration of Transmission System Boundary Points, Grid Supply Points, GSP Groups & Distribution Systems Connection Points
BSCP31	Registration of Trading Units
BSCP32	Metering Dispensations
BSCP38	Authorisations

BSCP65	Registration of Parties and Exit Procedures
BSCP68	Transfer of Registration of Metering Systems between CMRS and SMRS
BSCP71	Submission of ECVNs and MVRNs
BSCP75	Registration of Meter Aggregation Rules for Volume Allocation Units
BSCP509	Changes to Market Domain Data
BSCP128	Production, Submission, Audit and Approval of Line Loss Factors

1.6 Transmission Company Registration Requirements

FPN flag set to Yes

Where the Party is registering a BM Unit which has the FPN flag set to Yes, the Party should consult with the TC to ensure compliance with the Grid Code and the Connection and Use of System Code regarding timescales. Failure to comply with the Grid Code and the Connection and Use of System Code may delay the registration of the BM Unit and hence the Party would not be able to trade using that BM Unit. The delay may be as a result of procurement, installation and testing of operational metering and EDT and EDL facilities, as appropriate. This BSCP refers to the last stage of the registration process of the BM Unit.

Offshore Power Park Modules

Where the Party has 2 or more Offshore Power Park Modules for whose Exports the Metering System(s) is or are registered in CMRS, the Party may either:

- Apply to register each Power Park Module as a separate BM Unit; or
- Apply to register a single BM Unit for some or all of the Offshore Power Park Modules (a 'Combined Offshore BM Unit').

A Party may only register a Combined Offshore BM Unit if the TC determines that the requested configuration of Offshore Power Park Modules is suitable to constitute a single BM Unit. Where the Party requests a Combined Offshore BM Unit in its Registration of BM Unit form BSCP15/4.1, BSCCo will confirm with the TC whether it approves the configuration. BSCCo recommends that the Party consults with the TC before submitting this form, to avoid any delay in the registration process.

1.7 BSC Website list of Suppliers which have satisfied the criteria to complete a change of BM Unit Lead Party within 5WDs for a BM Unit with CVA metering and associated with a Customer premises

BSCCo will publish and maintain a list on the BSC Website of the Party Name and ID of those Suppliers who satisfy the criteria referred to in section 3.14 of this

procedure, and who have submitted a completed form BSCP15/4.10 Part A² to BSCCo in accordance with 3.15.1.

Note that BSCP15/4.11 Parts A and B must be received by BSCCo prior to a Change of BM Unit Lead Party becoming Effective. The BM Unit Effective From date requested on the (re) registration form must be the calendar date after the Effective To Date requested on the de-registration form.

Where a BM Unit has the FPN flag set to “Yes” Parties must comply with the Transmission Company Registration Requirements, especially paragraph 1.6 above, and the BM Unit’s Effective From Date will be the same as the Transmission Company Commission Date (TCCD).

Any change of BM Unit Lead Party must be approved by BSCCo before the requirements of this and any related procedure are initiated.

1.8 Obligations

Parties must have successfully completed Qualification under Section O of the BSC before the Effective From Date of a BM Unit registration in order for that BM Unit registration to become effective. However, Parties may begin the process of registering BM Units in accordance with this BSCP before they have completed Qualification under Section O of the BSC (relating to communications requirements), provided that they have begun the process of obtaining such Qualification.

² Must be authorised by a Category A authorised signatory

2. Acronyms and Definitions

2.1 List of Acronyms

The following is a list of acronyms used in BSCP15:

Acronym	Expression
[Housekeeping]BM Unit	Balancing Mechanism Unit
BMRA	Balancing Mechanism Reporting Agent
BSCCo	Balancing and Settlement Code Company
CDCA	Central Data Collection Agent
CRA	Central Registration Agent
CoBLP	Change of BM Unit Lead Party
DNC	Declared Net Capacity
EDL	Electronic Dispatch Logging
EDT	Electronic Data Transfer
EFD	Effective From Date
ETD	Effective To Date
GSP	Grid Supply Point
IA	Interconnector Administrator
LDSO	Licensed Distribution System Operator
MDD	Market Domain Data
MSID	Metering System ID
NDCD	New Database Creation Date
[Housekeeping]Panel	Balancing and Settlement Code Panel
P/C	Production / Consumption
SMRA	Supplier Meter Registration Agent
SPD	Settlement Period Duration
SVAA	Supplier Volume Allocation Agent
TC	Transmission Company
TCCD	Transmission Company Commission Date
TSBP	Transmission System Boundary Point
WD	Working Day

2.2 List of Definitions

Control Point	As defined in the Grid Code.
Contracted LDSO	The Contracted LDSO is an LDSO who has the obligation to provide the SMRS service to the Registrant of the Metering System.
Declared Net Capacity	<p>In relation to a generating station, it is the output of that station to the Total System multiplied by the relevant following constant:</p> <ul style="list-style-type: none"> • Station driven by tidal/wave power -0.33 • Station driven by wind power – 0.43 • Station driven by solar power – 0.17 • All others – 1.0 <p>This term is used in statutory instrument 2001 No. 3270 The Electricity (Class Exemptions for the Requirement for a Licence) Order 2001.</p>
Effective From Date	The Settlement day from which the BM Unit is allowed to trade.
Effective To Date	The final Settlement day that the BM Unit is allowed to trade.
New Database Creation Date	The date where the TC updates their system with the new BM Unit. For registration NDCD must be TCCD-2 or earlier.
Nominated Licensed Distribution System Operator	The Nominated LDSO is the LDSO which has obligations to submit the GSP Group Metered Volume Aggregation Rules.
Operational Metering	The metered data associated with the BM Unit which is utilised by the TC.
<u>Production / Consumption (P/C) Flag</u>	<u>The flag within CRA systems which records either the fixed P/C Status elected for an Exempt Export BM Unit by the Lead Party, or the fixed P/C Status allocated to an Interconnector BM Unit.</u>
Supplier ID Transferee	Trading Party that will become responsible for the relevant Supplier ID.
Supplier ID Transferor	Trading Party Transferring the relevant Supplier ID.
Trading Agent	The Balancing Mechanism Lead Party or their Agent, registered as submitting data to or receiving data from the TC, in respect of BM Units assigned to them.
Trading Point	A commercial and, where so specified in the Grid Code, an operational interface between a user and the TC, which a user has notified to the TC.
Transmission Company Commission Date	The date from 0000 hours on which the BM Unit can trade through the TC systems.

All other terms are as defined in the Code

3. Interface and Timetable Information

3.1 Registration of BM Unit Associated with Metering Systems Registered with the CRA³

A Party applying for Exempt Export status for a new BM Unit registration should follow procedures 3.1 and 3.10 concurrently.

A Party applying for Exempt Export status for an existing BM Unit does not need to follow this procedure 3.1 but should follow procedure 3.10.

Parties undertaking a Change of CVA BM Unit Lead Party for an Exempt Export BM Unit should follow procedure 3.13.

REF	WHEN	ACTION	FROM	TO	INPUT INFORMATION REQUIRED	MEDIUM
3.1.1 ^{4 5 6}	At least 30 WD prior to Effective From Date (in the case of standard BM Units) Or At least 60 WD prior to Effective From Date, or as advised by BSCCo (in the case of non-standard BM Units)	Submit Registration of BM Unit form ^{7, 8, 9}	Party	CRA	BSCP15/4.1, Registration of BM Unit signed by an authorised person, registered as such using BSCP38. BSCP15/4.1 forms and application information should be sent to BSCCo upon receipt, only in the case of non-standard BM Units.	Fax / Post / Email

³ Not required for Change of CVA BM Unit Lead Party unless any changes are to be made to existing registration data.

⁴ Suppliers that have Customers directly connected to the Transmission System can register and de-register the BM Unit associated with that Customer premises within 5WD if the criteria in Section 1.7 have been satisfied.

⁵ Where the BM Unit has the FPN flag set to Yes TC must be contacted at least 30 WD prior to EFD.

⁶ Metering Systems are registered in accordance with BSCP20

⁷ If a BM Unit registration is requested using Electronic File Data Transfer, the passwords and signatures are not required as the access control to the participant system is sufficient authentication.

⁸ ~~Where Exempt Export status is being requested for the BM Unit, procedure 3.9 should be followed concurrently.~~

⁹ Where the Party is applying to register 2 or more Offshore Power Park Modules as a Combined Offshore BM Unit, BSCCo recommends that the Party consults with the TC before submitting this form.

REF	WHEN	ACTION	FROM	TO	INPUT INFORMATION REQUIRED	MEDIUM
3.1.2	Within 1 WD of receipt of 3.1.1	Acknowledge receipt of Registration of BM Unit form	CRA	Party		Fax / Email / Post
3.1.3	At the same time as 3.1.2	The CRA checks the following: 1. The Party is registered with the CRA 2. The form has been completed by an authorised person. 3. The Effective From Date for the BM Unit is on or after the Effective From Date for the Party.	CRA		As submitted in 3.1.1	Internal Process
3.1.4	Within 2 WD of 3.1.2	Where the BM Unit is an Exempt Export BM Unit, the Lead Party responsible for the BM Unit may elect its Trading Unit status by submitting form BSCP31/4.8⁴⁰.	Party	BSCCo	Election of TU Status (form BSCP31/4.8)	Fax / Email
3.1. 45	At the same time as 3.1.2	Where the BM Unit is embedded within a GSP Group, confirm with the SMRA and Party whether the Metering System was previously registered in SMRA, and if “Yes” ensure that the Effective From Date is such that it prevents dual or no registration of supply in accordance with BSCP68.	CRA	Party SMRA	BM Unit Effective From Date (Where the BM Unit Effective From Date is such that it will have dual or no registration the Party should be informed to register the BM Unit with a new Effective From Date.)	Fax / Email
3.1. 56	At the same time as 3.1.2	Inform CDCA, TC and BSCCo of the intended BM Unit registration.	CRA	CDCA TC BSCCo		Fax / Email

⁴⁰ ~~If the Lead Party does not elect its Trading Unit status, then it shall be defaulted to the Base Trading Unit.~~

REF	WHEN	ACTION	FROM	TO	INPUT INFORMATION REQUIRED	MEDIUM
3.1. 67	At the same time as 3.1.2	Where the BM Unit is embedded within a Distribution System inform the Contracted LDSO of the registration. Where the Contracted LDSO is not the Nominated LDSO for the GSP [Housekeeping] Group, CRA should also inform the Nominated LDSO of the registration.	CRA	Relevant LDSO(s)	BM Unit information including Effective From Date	Post / Fax / Email
3.1. 78	At least 20 WD prior to Effective From Date (unless otherwise agreed by the BSC Panel)	For Embedded BM Units, initiate the Line Loss Factor process (BSCP128) by submitting CVA LLFs for MSID	LDSO	BSCCo	CVA Long or Short Format data files in accordance with BSCP128 Appendix 5. Signed by an authorised person as per BSCP38	Fax, post or email and Electronic Spreadsheet
3.1. 89	Within 5 WD of 3.1. 56	Where the Party is applying to register 2 or more Offshore Power Park Modules as a Combined Offshore BM Unit, the TC shall confirm whether it determines that such a configuration is suitable to constitute a single BM Unit.	TC	CRA BSCCo	A statement as to whether the TC determines that the Party's requested configuration of Offshore Power Park Modules is suitable to constitute a single BM Unit	Fax / Email / Post
3.1. 94	Within 5 WD of 3.1. 56 and (if applicable) at the same time as 3.1. 89	The TC may object to the registration.	TC	CRA	A statement detailing the nature of the objection Where an objection cannot be resolved, the CRA shall escalate the objection to BSCCo	Fax / Email / Post

REF	WHEN	ACTION	FROM	TO	INPUT INFORMATION REQUIRED	MEDIUM
3.1.1 10 ⁴	5 WD prior to Effective From Date	CDCA to confirm the following: 1. Whether the Metering System proving test associated with the BM Unit has been completed successfully. 2. The Aggregation Rules have been successfully registered for the BM Unit in accordance with BSCP75.	CDCA	CRA	Metering System proving test results, Meter Aggregation Rules (Where confirmation has not been provided the BM Unit registration shall remain pending.)	Email / Fax / Electronic
3.1.1 12 ²	15 WD prior to the Effective From Date	BSCCo to send data to CRA. CRA to register the Credit Assessment Load Factor (CALF) data for the BM Unit and ensure that CALF data is registered prior to the Effective From Date of the BM Unit.	BSCCo	CRA	CALF data (CRA-I011)	Email
3.1.1 23 ³	On or before Effective From Date	Provide Party and BSC Agents with registration reports.	CRA	Party TC and BSCCo BSC Agents	Registration reports of data entered onto systems including Effective From Date – Registration Report (CRA-I014), Operations Registration Report (CRA – I020), BM Unit, Interconnector and GSP Group Data (CRA-I015).	Electronic

3.2 Registration of Interconnector BM Units

REF	WHEN	ACTION	FROM	TO	INPUT INFORMATION REQUIRED	MEDIUM
3.2.1	At least 30 WD prior to Effective From Date	Submit Registration of BM Unit form Inform the TC of BM Unit registration	Party Party	CRA TC	BSCP15/4.1, Registration of BM Unit signed by an authorised person, registered as such using BSCP38.	Fax / Email / Post Fax / Email
3.2.2	Within 1 WD of receipt of 3.2.1	Acknowledge receipt of Registration of BM Unit form	CRA	Party		Fax / Email / Post
3.2.3	At the same time as 3.2.1	The CRA checks the following: 1. The Party is registered with the CRA as an Interconnector User. 2. The form has been completed by an authorised person. 3. The Effective From Date for the BM Unit is on or after the Effective From Date for the Party.	CRA		As submitted in 3.2.1	Internal Process
3.2.4	At the same time as 3.2.2	Inform CDCA, TC and BSCCo of the intended BM Unit registration.	CRA	CDCA TC BSCCo		Fax / Email
3.2.5	Within 5 WD of 3.2.4	The TC may object to the registration.	TC	CRA	A statement detailing the nature of the objection	Fax / Email / Post
3.2.6	On or before Effective From Date	Provide Party and BSC Agents with registration reports.	CRA	Party TC and BSCCo BSC Agents	Registration reports of data entered onto systems including Effective From Date – Registration Report (CRA-I014), Operations Registration Report (CRA – I020), BM Unit, Interconnector and GSP Group Data (CRA-I015).	Electronic

3.3 Notification of a New Supplier or Supplier ID and Base BM Unit IDs

[Housekeeping] This process does not cover the Registration of a Party. ~~For~~ For this procedure, refer to BSCP65.

If a Supplier is applying for Exempt Export status for a Base BM Unit, then procedure 3.10 should also be followed (either concurrently or subsequently, depending on the timing of the Supplier's application for Exempt Export status).

Parties undertaking a Transfer of Supplier ID whose associated BM Units include any Exempt Export BM Units should follow procedure 3.14 and, where necessary/appropriate, procedure 3.12.

REF	WHEN	ACTION	FROM	TO	INPUT INFORMATION REQUIRED	MEDIUM
3.3.1	At least 30 WD prior to Effective From Date	Notification of a new Supplier or Supplier ID. (The requirement for 30WDs notice may be reduced if there is agreement between the CRA/BSCCo/Party/TC and that this date coincides with a MDD publication date.)	BSCCo	CRA	Supplier Name, Supplier ID and Effective From Date	Fax / Email
3.3.2	Upon notification of 3.3.1	The CRA shall register BM Unit identifiers, one for each GSP Group which shall be used for allocating SMRA consumption data for the Supplier or Supplier ID.	CRA		Notification of new Supplier or Supplier ID.	Internal Process
3.3.3	At the same time as 3.3.2	Allocate each Base BM Unit to the Base Trading Unit for the relevant GSP Group (and set the Base Trading Unit Flag for each BM Unit). <u>[Housekeeping]</u> ¹¹	CRA		Creation of new Base BM Units for each GSP Group	Internal Process

¹¹ Noting that this allocation may be changed (later) for Exempt Export BM Units. If the Base BM Unit is an Exempt Export BM Unit, the Lead Party can change the BM Unit's allocated Trading Unit by providing notification in accordance with BSCP31.

REF	WHEN	ACTION	FROM	TO	INPUT INFORMATION REQUIRED	MEDIUM
3.3.4	At the same time as 3.3.2	Notify SVAA and BSCCo of the Base BM Units registered.	CRA	SVAA BSCCo	BM Unit and GSP Group Data (CRA-I015) including Supplier ID, BM Unit IDs and the Effective From Date Registration Report (CRA-I014) (Where a Base BM Unit will exceed the TC's de minimis requirements to submit Physical Notifications the Party is required to notify the TC)	Electronic
3.3.7	On receipt of data in 3.3.4	CRA to receive and register the Credit Assessment Load Factor (CALF) data for the BM Unit and to ensure that CALF data is registered prior to the Effective From Date of the BM Unit.	BSCCo	CRA	CALF data (CRA-I011)	Email
3.3.6	Prior to the effective date of the Base BM Units	Supplier to complete the BM Unit registrations by providing the initial GC/DC values and FPN Flag and where appropriate the NGC BM Unit Name.	Party	CRA	BSCP15/4.1, Registration of BM Unit signed by an authorised person, registered as such using BSCP38.	Fax / Post / Email
3.3.7	On or before Effective From Date	Provide Party and BSC Agents with registration reports.	CRA	Party BSC Agents TC BSCCo	Standing data reports of data entered onto systems (CRA-I014, CRA-I015 and CRA-I020).	Electronic (Fax/Email to BSC Agents and BSCCo)

3.4 Registration of Additional BM Unit (Supplier Only)

A Supplier applying for Exempt Export status for a new Additional BM Unit should follow procedures 3.4 and 3.10 concurrently.

A Supplier applying for Exempt Export status for an existing Additional BM Unit does not need to follow this procedure 3.4 but should follow procedure 3.10.

Parties undertaking a Transfer of Supplier ID whose associated BM Units include any Exempt Export BM Units should follow procedure 3.14 and, where necessary/appropriate, procedure 3.12.

REF	WHEN	ACTION	FROM	TO	INPUT INFORMATION REQUIRED	MEDIUM
3.4.1	As required, but before 3.4.2.	Initiate Market Domain Data (MDD) process (BSCP509)	Originator	BSCCo	Form BSCP509/01 & relevant part of entity forms document.	Fax / Email
3.4.2	At least 30 WD prior to Effective From Date	Submit Registration of BM Unit form ¹² Effective From Date must be on or after the MDD Go Live Date.	Party	CRA	BSCP15/4.1, Registration of BM Unit signed by an authorised person, registered as such using BSCP38.	Fax / Post / Email
3.4.3	Within 1 WD of receipt of 3.4.2	Acknowledge receipt of Registration of BM Unit form	CRA	Party	As submitted in 3.4.2	Fax / Email
3.4.4	At the same time as 3.4.3	Check that the Party is registered with the CRA and that the form has been completed by an authorised person. Also check the registration data to confirm the FPN flag has been set correctly.	CRA		As submitted in 3.4.2	Internal Process

¹² If an Additional BM Unit is requested using Electronic File Data Transfer, the passwords and signatures are not required as the access control to the participant system is sufficient authentication. Where faxes are used, participants are required to submit hard copies of the forms by post in case the faxed signatures are illegible.

REF	WHEN	ACTION	FROM	TO	INPUT INFORMATION REQUIRED	MEDIUM
3.4.5	At the same time as 3.4.3	Allocate the Additional BM Unit to the Base Trading Unit for the relevant GSP Group <u>(and set the Base Trading Unit Flag for each BM Unit).</u> ¹³	CRA		As submitted in 3.4.2 Creation of new Additional BM Unit for a GSP Group	Internal Process
3.4.6	At the same time as 3.4.3	In all cases inform BSCCo of the Additional BM Unit Registrations.	CRA	BSCCo	Manual request for authorisation	Fax / Email
3.4.7	On receipt of data in 3.4.6	CRA to receive and register the Credit Assessment Load Factor (CALF) data for the BM Unit and to ensure that CALF data is registered prior to the Effective From Date of the BM Unit.	BSCCo	CRA	CALF data (CRA-I011)	Email
3.4.8	Following 3.4.7	Confirm all steps in the BM Unit registration process have been completed.	CRA	BSCCo	Completed BSCCo Checklist	Fax / Email
3.4.9	Within 1 WD of 3.4.2 but after 3.4.4	Inform Party, BSCCo, TC and BSC Agents of the BM Unit registration.	CRA	BSCCo TC SVAA BSC Agents Party	CRA-I020. BM Unit, Interconnector and GSP Group Data to SVAA (CRA-I015) Registration Report (CRA-I014) to Parties.	Electronic (Email / Fax to BSC Agents and BSCCo)

¹³ If the Additional BM Unit is an Exempt Export BM Unit, the Lead Party can change the BM Unit's allocated Trading Unit by providing notification in accordance with BSCP31. If no notification is provided by the Lead Party, the BM Unit will be allocated to the Base Trading Unit for its GSP Group.

REF	WHEN	ACTION	FROM	TO	INPUT INFORMATION REQUIRED	MEDIUM
3.4.10	Following publication of final MDD circular	‘Point of no return’. In order to ensure full data consistency across all BSC Agent and TC systems a pending Additional BM Unit registration cannot be withdrawn or amended beyond this point except by prior agreement with BSCCo. ¹⁴				

¹⁴ BM Unit registration timescales may be reduced in certain circumstances if the registering Party agrees that the registration will not be withdrawn or amended after the ‘point of no return’.

3.5 De-Registration of BM Unit Excluding Base BM Unit

Not required for Change of CVA BM Unit Lead Party

REF	WHEN	ACTION	FROM	TO	INPUT INFORMATION REQUIRED	MEDIUM
3.5.1	At least 25 WD prior to the Effective To Date	Where the circuits associated with the BM Unit are to be disconnected from the Transmission Distribution Systems, request a Certificate of Disconnection.	Party	LDSO ¹⁵ Or TC	Request for Certificate of Disconnection	Fax / Post / Email
3.5.2	Within 5 WD of 3.5.1	Send Certificate of Disconnection.	LDSO Or TC	CRA Party	Where appropriate a Certificate of Disconnection or BSCP25/5.1 “Deregistration of a TSBP” or BSCP25/5.5 “De-registration of a DSCP”, signed by an authorised person, registered as such using BSCP38.	Fax / Post
3.5.3 ^{44, 16}	At least 20 WD prior to Effective To Date	Submit de-registration of BM Unit form ¹⁷ De-registration of Additional BM Units (Supplier only) is processed in parallel with BSCP509 Changes to Market Domain Data ¹⁸	Party	CRA	BSCP15/4.2, De-Registration of BM Unit signed by an authorised person, registered as such using BSCP38.	Fax / Post / Email
3.5.4	Upon receipt of 3.5.3	Check the form for completeness and authorisation (as per BSCP38 Authorisations).	CRA			Internal
3.5.5	Within 1 WD of 3.5.4	Acknowledge receipt of de-registration of BM Unit form	CRA	Party		Fax / Post / Email

¹⁵ If the BM Unit is an Embedded BM Unit, Party requests from LDSO. If the BM Unit is a Directly Connected BM Unit, Party requests from TC.

¹⁶ Any relevant Metering Systems should be de-registered in accordance with BSCP20

¹⁷ If a BM Unit De-registration is requested using Electronic File Data Transfer, the passwords and signatures are not required as the access control to the participant system is sufficient authentication. Where faxes are used, participants are required to submit hard copies of the forms by post in case the faxed signatures are illegible.

¹⁸ Party to initiate BSCP509 before the de-registration form is submitted to the CRA

REF	WHEN	ACTION	FROM	TO	INPUT INFORMATION REQUIRED	MEDIUM
3.5.6	At the same time as 3.5.5	Notify CDCA, TC and BSCCo of the BM Unit de-registration ¹⁹ .	CRA	CDCA TC BSCCo		Fax / Email
3.5.7	At the same time as 3.5.5	Where the BM Unit is an additional Supplier BM Unit the CRA shall notify the SVAA of the BM Unit. ²⁰	CRA	SVAA ²¹		Email / Fax
3.5.8	At the same time as 3.5.5	Where the BM Unit is embedded within a Distribution System inform the Contracted LDSO of the de-registration. Where the Contracted LDSO is not the Nominated LDSO for the GSP [Housekeeping] Group, CRA should also inform the Nominated LDSO of the de-registration	CRA	Relevant LDSO(s)	BM Unit information including Effective To Date.	Post / Fax
3.5.9	Within 1 WD of 3.5.5	Where the de-registration is associated with an Interconnector, inform the relevant Interconnector Administrator of the BM Unit de-registration.	CRA	IA	Party Name, BM Unit ID(s) and Effective To Date	Fax / Email
3.5.10	At least 15 WD prior to Effective To Date	Confirmation of Effective To Date.	CRA	CDCA TC / LDSO Party	Confirmation of Effective To Date	Fax / Email

¹⁹ Where the BM Unit has a FPN set to No, the Transmission Company does not need to be informed of the De-Registration

²⁰ The Supplier needs to ensure all Metering Systems associated with the additional Supplier BM Unit are re-allocated to either the Base BM Unit or another Supplier BM Unit associated with the same GSP Group (BSCP503 & 507) unless circuits are being disconnected.

²¹ Notification of such BM Units shall be sent to the SVAA in its capacity as the Market Domain Data manager

REF	WHEN	ACTION	FROM	TO	INPUT INFORMATION REQUIRED	MEDIUM
3.5.11	On or before Effective To Date	Update systems and provide Party, BSCCo, TC and BSC Agents with registration reports.	CRA	Party TC and BSCCo BSC Agents	Registration reports of data entered onto systems – Registration Report (CRA-I014), Operations Registration Report (CRA – I020), BM Unit, Interconnector and GSP Group Data (CRA-I015).	Electronic

3.6 De-Registration of Supplier BM Units following Cessation of Supplier

Note: This process is specifically for the de-registration of Supplier BM Units when a Party ceases to be a Supplier and therefore covers both Base BM Units and any Additional BM Units registered in the name of the Supplier and subject to SVA processes. However, it does not cover de-registration of BM Units that are subject to Central Volume Allocation processes. BM Units subject to CVA processes should be de-registered in accordance with section 3.5.

This process does not apply to a transfer of Supplier ID, which should be done in accordance with section 3.14.

REF	WHEN	ACTION	FROM	TO	INPUT INFORMATION REQUIRED	MEDIUM
3.6.1	As required.	Initiate Market Domain Data (MDD) process (BSCP509)	Party	BSCCo	Form BSCP509/01 & relevant part of entity forms document. Effective To Date must be on or after the MDD Go Live Date.	Fax / Email
3.6.2	At least 30 WD prior to Effective To Date	Notification of cessation of a Supplier. Effective To Date must be on or after the MDD Go Live Date. (The requirement for 30WD notice may be reduced if there is agreement between the CRA/BSCCo/Party/TC and that this date coincides with a MDD publication date.)	Party	CRA	Letter detailing the cessation of a Supplier with an Effective To Date and a request to de-register the BM Units associated with the Supplier. This letter must state that the BM Units do not have any associated Supplier Volume Allocation (SVA) Metering.	Post / Email
3.6.3	Within 1 WD of receipt of 3.6.2	Acknowledge receipt of notice of cessation of Supplier.	CRA	Party	Acknowledgement Letter from 3.6.2	Fax / Email
3.6.4	Following 3.6.3	De-register all Supplier BM Units for that Supplier.	CRA		Supplier BM Units for the Supplier with the Effective To Date.	Internal Process
3.6.5	After 3.6.4	Inform TC and BSC Agents of the BM Units de-registration.	CRA	BSCCo TC BSC Agents Party	Registration Report (CRA-I014) containing Supplier name, Supplier ID, Effective To Date, BM Unit Ids	Electronic / Email / Fax

3.7 Registration of Seasonal Estimates of Maximum ~~[Housekeeping]~~ and Positive Magnitude and Maximum Negative Magnitude BM Unit Metered Volume

REF	WHEN	ACTION	FROM	TO	INPUT INFORMATION REQUIRED	MEDIUM
3.7.1	10 WD prior to start of next Season	Submit seasonal estimates the maximum and positive magnitude and maximum negative magnitude BM Unit Metered Volume (QM_{ij}) for all BM Units.	Party	CRA	BSCP15/4.3, Registration of Seasonal Estimates of the maximum and positive and negative BM Unit Metered Volume	Fax / Post
3.7.2	Within 1 WD of receipt from 3.7.1	Acknowledge receipt of Registration of seasonal estimates of the maximum and positive magnitude and maximum negative magnitude BM Unit Metered Volume	CRA	Party	As submitted in 3.7.1	Fax / Post / Email
3.7.3	Before start of new Season	CRA to update their systems with the GC and DC values derived from the estimated maximum positive magnitude and maximum negative magnitude BM Unit Metered Volume.	CRA		As submitted 3.7.1	Internal
3.7.4	Within 1 WD of receipt from 3.7.3	The CRA is to notify, for information only, BSCCo, TC, relevant Parties and BSC Agents of the seasonal estimates of the maximum positive magnitude and maximum negative magnitude BM Unit Metered Volume (The TC may request the Panel to review a Party's submission of the maximum and positive magnitude and maximum negative magnitude BM Unit Metered Volume in accordance with Section K of the Code)	CRA	Party TC, BSCCo BSC Agents	Registration Report (CRA-I014) with Seasonal Estimates of the GC/DC for the BM Unit. Operations Registration Report (CRA – I020), BM Unit (CRA – I015).	Email / Fax Electronic

3.8 Mid-Season Changes of Generation Capacity and Demand Capacities

This process supports the Code obligation on Parties to revise GC/DC capacity of a BM Unit by an amount specified in Section K3.4.

Parties have the right to downwardly revise the magnitude of DC twice during a BSC Season when the magnitude of the capacity of a Supplier BM Unit has decreased. Parties are not permitted to downwardly revise the magnitude of GC.

Parties should note that where a revised GC/DC submission causes a Trading Unit to change its P/C Status in accordance with Section K3.5, any MVRNA Authorisation will be terminated in accordance with Section P3 ~~of the Code~~. Parties may therefore need to re-establish such MVRNA Authorisations in accordance with BSCP71.

Where a change in a BM Unit's Generation Capacity or Demand Capacity results in the FPN Flag being changed to "Yes" the Party is required to complete BSCP15/4.1.

REF	WHEN	ACTION	FROM	TO	INPUT INFORMATION REQUIRED	MEDIUM
3.8.1	At least 10 WD prior to Effective Date if P/C Status of Trading Unit changes. If P/C Status of the Trading Unit does not change, this timing may be reduced with the agreement of CRA and BSCCo.	Submit revised seasonal estimates of the maximum [Housekeeping] and positive magnitude and maximum negative magnitude BM Unit Metered Volume (QM _{ij}) for relevant BM Units.	Party	CRA	BSCP15/4.4, Mid-Season Changes of Maximum Positive and Negative BM Unit Metered Volume Estimates	Email / Fax / Post
3.8.2	Within 1 WD of receipt from 3.8.1	Acknowledge receipt of changes of seasonal estimates of the maximum [Housekeeping] and positive magnitude and maximum negative magnitude BM Unit Metered Volume.	CRA	Party	As submitted in 3.8.1	Email / Fax

REF	WHEN	ACTION	FROM	TO	INPUT INFORMATION REQUIRED	MEDIUM
3.8.3	Within 1 WD of receipt from 3.8.1	The CRA is to notify BSCCo of the revised seasonal estimates of the maximum [Housekeeping] and positive magnitude and maximum negative magnitude BM Unit Metered Volume.	CRA	BSCCo	As submitted in 3.8.1 Validation by CRA	Email / Fax Electronic
3.8.4	Within 5 WD of receipt from 3.8.3	If decreasing the magnitude of the DC of a Supplier BM Unit, the CRA will check the number of times the Party has decreased the magnitude of DC for that BSC Season. If Party has reduced magnitude of DC less than twice for that BSC Season then steps 3.8.5 to 3.8.6 should apply. If DC has already been reduced twice, then steps 3.8.7 to 3.8.8 should apply.	CRA		Validation by CRA	Internal Process
3.8.5	At the same time as 3.8.3	CRA to update their systems with the revised estimates, to commence from the Effective From Date requested by the Party.	CRA		As submitted in 3.8.1	Internal Process
3.8.6	Within 1 WD of 3.8.5 being completed	CRA to notify Party of revised estimates	CRA	Party	Registration Report (CRA-I014) with seasonal estimates for the BM Unit.	Electronic
3.8.7	Within 1 WD of 3.8.4 being completed and the Party has reduced the magnitude of DC more than twice	CRA to update internal records and does not process any GC/DC changes.	CRA			Email / Fax
3.8.8	At same time as 3.8.7	CRA to notify Party that DC reduction has not been allowed, and the reason why.	CRA	Party		Email / Fax

3.9 This section is no longer in use

3.10 Application for~~Declaration of~~ Exemptable Generating Plant Status and Exempt Export Status for the Associated BM Unit(s)

This process supports a Lead Party's ability under Section K1.5 to apply for Exemptable status for its Generating Plant. If the Party's application is successful, the BM Unit(s) comprising the Exemptable Generating Plant will be granted Exempt Export status.

In accordance with Sections K1.5 and K3.5, the Lead Party must elect whether the P/C Status of each of its Exempt Export BM Units is to be Production or Consumption. To do this, the Lead Party must elect a P/C Flag setting of either Production or Consumption for each BM Unit as part of its application for Exemptable Generating Plant status. The Lead Party's elected P/C Flag setting will apply from the date that the BM Unit's Exempt Export status (if granted) becomes effective. It will override the normal determination of P/C Status described in K3.5.2 such that the Exempt Export BM Unit's P/C Status will be fixed as either Production or Consumption accordingly.

Once a BM Unit has been granted Exempt Export status, its P/C Status as elected by the Lead Party through the BM Unit's P/C Flag setting does not change unless the Lead Party makes a new election (by following procedure 3.12 or 3.13 as appropriate) or the BM Unit's Exempt Export status is terminated (by following procedure 3.11).~~This process is to be followed when the Lead Party of a Generating Plant wishes to apply for Exemptable status and supports the request for granting and the declaration of Exempt Export status for a BM Unit.~~

REF	WHEN	ACTION	FROM	TO	INPUT INFORMATION REQUIRED	MEDIUM
3.10.1	As required. At least 20 WD prior to Requirement Date	<p>Party provides BSCCo with details of <u>the</u> Generating Plant, and the reasons for which <u>the Party believes the Generating Plant is</u> believed to be Exemptable, <u>and the P/C Flag that the Lead Party elects for each associated BM Unit should Exemptable status be granted.</u></p> <p>Or for <u>a Generating Plant whose Exports are measured by SVA Metering Systems</u> BM Units, Party provides BSCCo with certification (form BSCP15/4.9 – (Part A)) that the <u>Generating Plant</u> BM Unit is Exemptable Export and notification of the <u>P/C Flag that the Lead Party elects for each associated BM Unit should Exemptable status be granted.</u></p>	Party	BSCCo	<p><u>EITHER</u></p> <p><u>Application for</u>Declaration of Exemptable Generating Plant Status BSCP15/4.5 – (Part A), <u>plus any supporting information where appropriate</u></p> <p><u>OR</u></p> <p>For SVA BM Units, Certification of Exemptable Status for Generating Plant With Exports Measured by SVA Metering Systems provide certification required in form BSCP15 / 4.9 – (Part A), <u>plus any supporting information where appropriate</u></p> <p><u>AND</u></p> <p>Where appropriate, any supporting information</p>	Fax / Post / Email

REF	WHEN	ACTION	FROM	TO	INPUT INFORMATION REQUIRED	MEDIUM
3.10.2	Upon receipt of 3.10.1	Determine if further information or other evidence is required to demonstrate compatibility with the Code.	BSCCo			
3.10.3	If required by BSCCo	Submit further evidence as required.	Party	BSCCo	Details of further information	Fax / Post / Email
3.10.4	Within 20 WD of receipt from 3.10.1	<p>BSCCo verifies assesses whether or not the <u>Generating Plant is Exemptable</u> status should be granted and notifies the Party of its conclusion decision;</p> <p>If the Party agrees with <u>BSCCo's conclusion</u> the decision, BSCCo updates its records accordingly.</p> <p>If the [Housekeeping] <u>Party</u> does not agree, go to step 3.10.7.</p>	BSCCo	Party	<p><u>EITHER</u></p> <p><u>Application for Declaration of Exemptable Generating Plant Status BSCP15/4.5 – (Part B)</u></p> <p><u>OR</u></p> <p><u>Certification of Exemptable Status for Generating Plant With Exports Measured by SVA Metering Systems BSCP15/4.9 – (Part B) for SVA-BM Units</u></p>	Fax / Post / Email
3.10.5	At the same time as 3.10.4	Where the <u>Generating Plant</u> BM Unit is granted Exemptable status, BSCCo will notify CRA to set the Exempt Export Flag and P/C Flag for each <u>the</u> BM Unit that comprises the Exemptable Generating Plant .	BSCCo	CRA	BSCP15/4.7 <u>Commencement / Termination</u> registration of Exempt Export <u>BM Unit</u> Status	Fax / Post / Email

REF	WHEN	ACTION	FROM	TO	INPUT INFORMATION REQUIRED	MEDIUM
3.10.6	Within 1 WD of 3.10.5	<p>Set the Exempt Export Flag <u>and P/C Flag</u> for each the BM Unit <u>that comprises the Exemptable Generating Plant, and notify the Lead Party, BSCCo and the Transmission Company.</u></p> <p><u>If the Exempt Export BM Unit is Embedded, and unless notified otherwise by the Lead Party in accordance with BSCP31, allocate the Exempt Export BM Unit to the Base Trading Unit for its GSP Group and set the Base Trading Unit Flag for the BM Unit.</u>²²</p> <p><u>If the Exempt Export BM Unit is Directly Connected, and unless notified otherwise by the Lead Party in accordance with BSCP31, allocate the Exempt Export BM Unit to a Sole Trading Unit.</u>²²</p> <p><u>Notify the Lead Party, BSCCo and the Transmission Company.</u></p>	CRA	Lead Party BSCCo TC <u>BSC Agents</u>	Registration Report CRA – I014 Operations Registration Report CRA – I020 <u>BM Unit, Interconnector and GSP Group Data CRA – I015</u>	Electronic, or equivalent
3.10.7	Upon receipt of 3.10.4 and if the Party disagrees with the decision	BSCCo refers application to the Panel on behalf of Party.	BSCCo	Panel	As submitted in 3.10.1 and, if appropriate 3.10.3.	Post / Email
3.10.8	Upon receipt of 3.10.7	Panel determines whether (in its opinion) Generating Plant is Exemptable and notifies BSCCo of its decision.	Panel	BSCCo	Details of decision	Panel Meeting

²² The Lead Party can change an Exempt Export BM Unit's allocated Trading Unit by providing notification in accordance with BSCP31.

REF	WHEN	ACTION	FROM	TO	INPUT INFORMATION REQUIRED	MEDIUM
3.10.9	Within 1 WD of Panel Meeting	BSCCo notifies Party of Panel's decision. If the Party agrees with the decision, BSCCo updates its records accordingly. If the [Housekeeping] Party does not agree with the decision, go to step 3.10.12.	BSCCo	Party	Details of decision	Fax / Post / Email
3.10.10	At the same time as 3.10.9	Where the Generating Plant BM Unit is granted Exemptable status, BSCCo will notify CRA to set the Exempt Export Flag and P/C Flag for each the BM Unit that comprises the Exemptable Generating Plant .	BSCCo	CRA	BSCP15/4.7 Commencement / Termination registration of Exempt Export BM Unit Status	Fax / Post / Email
3.10.11	Within 1 WD of 3.10.10	Set the Exempt Export Flag and P/C Flag for each the BM Unit that comprises the Exemptable Generating Plant , and notify the Lead Party, BSCCo and the Transmission Company . <u>If the Exempt Export BM Unit is Embedded, and unless notified otherwise by the Lead Party in accordance with BSCP31, allocate the Exempt Export BM Unit to the Base Trading Unit for its GSP Group and set the Base Trading Unit Flag for the BM Unit.²²</u> <u>If the Exempt Export BM Unit is Directly Connected, and unless notified otherwise by the Lead Party in accordance with BSCP31, allocate the Exempt Export BM Unit to a Sole Trading Unit.²²</u> <u>Notify the Lead Party, BSCCo and the Transmission Company.</u>	CRA	Lead Party BSCCo TC <u>BSC Agents</u>	Registration Report CRA – I014 Operations Registration Report CRA – I020 <u>BM Unit, Interconnector and GSP Group Data</u> CRA – I015	Electronic, or equivalent

REF	WHEN	ACTION	FROM	TO	INPUT INFORMATION REQUIRED	MEDIUM
3.10.12	Within 20 WD of receipt from 3.10.9 and if the Party disagrees with the decision	Party refers application to the Authority.	Party	Authority	As submitted in 3.10.1 and, if appropriate 3.10.3.	Fax / Post / Email
3.10.13	Upon receipt of 3.10.12	Authority determines whether Generating Plant is Exemptable and notifies Party and BSCCo of its decision. If the Party agrees with the decision, BSCCo updates its records accordingly.	Authority	Party BSCCo	Details of decision.	Fax / Post / Email
3.10.14	At the same time as 3.10.13	Where the Generating Plant <u>BM Unit</u> is granted Exemptable status, BSCCo will notify CRA to set the Exempt Export Flag <u>and P/C Flag</u> for each <u>the</u> BM Unit <u>that comprises the Exemptable Generating Plant.</u>	BSCCo	CRA	BSCP15/4.7 <u>Commencement / Termination</u> registration of Exempt Export <u>BM Unit</u> Status	Fax / Post / Email

REF	WHEN	ACTION	FROM	TO	INPUT INFORMATION REQUIRED	MEDIUM
3.10.15	Within 1 WD of 3.10.14	<p>Set the Exempt Export Flag <u>and P/C Flag</u> for each the BM Unit <u>that comprises the Exemptable Generating Plant, and notify the Lead Party, BSCCo and the Transmission Company.</u></p> <p><u>If the Exempt Export BM Unit is Embedded, and unless notified otherwise by the Lead Party in accordance with BSCP31, allocate the Exempt Export BM Unit to the Base Trading Unit for its GSP Group and set the Base Trading Unit Flag for the BM Unit.</u>²²</p> <p><u>If the Exempt Export BM Unit is Directly Connected, and unless notified otherwise by the Lead Party in accordance with BSCP31, allocate the Exempt Export BM Unit to a Sole Trading Unit.</u>²²</p> <p><u>Notify the Lead Party, BSCCo and the Transmission Company.</u></p>	CRA	Lead Party BSCCo TC <u>BSC Agents</u>	<p>Registration Report CRA – I014</p> <p>Operations Registration Report</p> <p>CRA – I020</p> <p><u>BM Unit, Interconnector and GSP Group Data</u></p> <p><u>CRA – I015</u></p>	Electronic, or equivalent

3.11 ~~Termination of~~ ~~De-register~~ Exempt Export Status for a BM Unit

This process supports ~~the termination~~ ~~de-registration~~ of Exempt Export status for a BM Unit as triggered by Section K-1.5.8.

REF	WHEN	ACTION	FROM	TO	INPUT INFORMATION REQUIRED	MEDIUM
3.11.1	As required	Where the Exempt Export Status is to be terminated revoked / de-registered , provide CRA with completed form BSCP15/4.7, requesting and authorising CRA to unset the Exempt Export Flag and P/C Flag for the BM Unit. Notify the Party of the termination revocation / de-registration .	BSCCo	CRA Lead Party	Form BSCP15/4.7 <u>Commencement / Termination of Exempt Export BM Unit Status</u> .	Fax / Post / Email
3.11.2	Within 1 WD of 3.11.1	Unset the Exempt Export Flag and the P/C Flag for the BM Unit. ²³ If, and a CVA BM Unit, ensure that the BM Unit is allocated to a Sole Trading Unit. ²⁴ If a Supplier (Base or Additional) BM Unit, ensure that the BM Unit is allocated to the Base Trading Unit for its GSP Group. ²⁴ Not ify the Lead Party, BSCCo and the Transmission Company.	CRA	Lead Party BSCCo TC <u>BSC Agents</u>	Registration Report CRA – I014 Operations Registration Report CRA – I020 <u>BM Unit, Interconnector and GSP Group Data</u> CRA – I015	Electronic or equivalent

²³ Once Exempt Export status is terminated, the BM Unit's P/C Status will no longer be fixed by the Lead Party and will be determined in accordance with Section K3.5.2.

²⁴ The Lead Party can choose to register the BM Unit in a different Trading Unit by following BSCP31.

3.12 Change of Election of Production / Consumption Status Flag (for an Exempt Export BM Unit by the Lead Partys-only)

This process supports a Lead Party's ability under K3.5 to change the previously-elected P/C Status for an Exempt Export BM Unit, by enabling the Lead Party to change the BM Unit's P/C Flag setting from Production to Consumption or vice versa.

Parties needing to elect the P/C Status of a new Exempt Export BM Unit for the first time should refer to procedure 3.10 for details of how to set the BM Unit's P/C Flag.

Where an existing Exempt Export BM Unit is the subject of a Change of CVA BM Unit Lead Party (CoBLP), Parties should follow procedure 3.13 to ensure that the Party registering the BM Unit as part of the CoBLP elects the P/C Status that it wishes to apply from the CoLBP Effective From Date. In these circumstances, it is not necessary to additionally follow procedure 3.12.

Where an existing Exempt Export BM Unit is the subject of a Transfer of Supplier ID (Change of SVA BM Units Ownership), Parties should refer to procedure 3.14 for details of how the Supplier ID Transferee is notified of the BM Unit's P/C Status. Any Supplier ID Transferee wishing to change the Exempt Export BM Unit's P/C Status should follow this procedure 3.12.

~~This process supports the request from Exempt Export BM Units to elect their Production / Consumption Flag as set out in Section K 3.5.5.~~

~~It should be noted that in the absence of such election, the Production / Consumption Status will be derived in accordance with Section K 3.5.2, i.e. by reference to the Production / Consumption Status of the Trading Unit to which the BM Unit belongs.~~

REF	WHEN	ACTION	FROM	TO	INPUT INFORMATION REQUIRED	MEDIUM
3.12.1	<u>From time to time if the Lead Party requires</u> ²⁵ As required	Submit notice to the CRA, copied to BSCCo, requesting a change to the Production / Consumption Flag for an Exempt Export BM Unit. The request should contain an effective date for such amendment of at least no earlier than 28 WD days from this notice <u>unless otherwise agreed with the CRA/BSCCo</u> .	Lead Party	CRA BSCCo	Form BSCP15/4.8 <u>Election of Production / Consumption Flag for Exempt Export BM Unit</u>	Fax / Post / Email
3.12.2	Within 1 WD of receipt of 3.12.1	CRA ensures that the Exempt Export <u>Flag</u> Status is set for the BM Unit. This may involve obtaining authorisation from BSCCo.	CRA		As submitted in 3.12.1	Internal
3.12.3	As soon as practicable after 3.12.2.	Where the Exempt Export <u>Flag</u> Status is set, then amend the P-/C Flag and Status for the BM Unit, effective from the specified date (or <u>2 WD</u> 8 days from 3.12.1, <u>if the specified date is less than 2 WD and no reduced timescale has been agreed</u> which ever is the latest). Notify the Party of the change to P-/C Flag and Status .	CRA	Party	Registration Report CRA – I014	Electronic
3.12.4	As soon as practicable after 3.12.2.	Notify the BSCCo and BSC Agents of the change to P-/C Flag and Status .	CRA	BSCCo BSC Agents	CRA – I020 to BSCCo CRA – I015 to BSC Agents	Fax / Email / Electronic

²⁵ ~~This can be undertaken during the initial BM Unit registration, in which case this will be part of the process set out in 3.1 and 3.4, as denoted on the request for registration (Form BSCP15 / 4.1).~~

REF	WHEN	ACTION	FROM	TO	INPUT INFORMATION REQUIRED	MEDIUM
3.12.5	As soon as practicable after 3.12.2.	Where the Exempt Export Flag <u>Status</u> is not set, then do not amend the P-/C Flag or Status for the BM Unit. Notify the Party of the rejection of the request.	CRA	Party	Form BSCP15/4.8 Specifying reason for rejection.	Fax / Post / Email

3.13 Change of CVA BM Unit Lead Party

NOTE: Suppliers taking part in this process must have satisfied the criteria detailed in 3.14 and registered with the CRA.[\[Housekeeping\]](#)

Prior to initiating this process the Party registering the BM Unit(s) as part of the Change of BM Unit Lead Party (CoBLP) is required to have acceded to the BSC and completed Party registration and qualification. If appropriate, the Party should also have completed all the TC requirements.

The timescales noted within this process are based on the existing MSID(s), BM Unit(s) and Trading Unit registrations being transferred from one Party to another with the associated Meter Technical Details (MTDs), Metering Dispensation(s) and Aggregation Rules. If Parties wish to change any of these registrations (for example to effect changes to configuration and entity Ids) then additional BSCPs may need to be followed and/or the lead-time for completing the process may be increased. Where this applies, Parties should contact BSCCo for advice on the process to be followed and documentation required.

If there are no changes to the registration data associated with the BM Units and the prerequisites stated have been met then Parties may use this process in order to transfer BM Unit(s) and associated MSID(s), MTDs, Metering Dispensations, Aggregation Rules and an associated Trading Unit through this procedure. Under these circumstances Parties will not be required to carry out additional steps in this BSCP, BSCP20, BSCP31, BSCP32 and BSCP75 in order to complete the transfer.

Where a BM Unit is already an Exempt Export BM Unit, the Party registering the BM Unit as part of a CoBLP is required to elect the P/C Flag setting (and thereby the P/C Status) which will apply to the Exempt Export BM Unit from the Effective From Date of the CoBLP. All otherplease note that all registration details (including the Exempt Export BM Unit'spreviously-elected Trading Unit~~and P/C Flag options~~) will be unchanged unless a change is expressly requested. Where this applies, Parties should contact BSCCo for further information.

Parties wishing to apply for Exempt Export BM Unit status should refer to procedure 3.10.

REF	WHEN	ACTION	FROM	TO	INPUT INFORMATION REQUIRED	MEDIUM
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REF	WHEN	ACTION	FROM	TO	INPUT INFORMATION REQUIRED	MEDIUM
3.13.1	If required and at least 3 WD prior to 3.13.3	Request details of current registrations of BM Unit(s), any associated MSID(s) including any metering dispensations associated with the MSID(s), and of Trading Unit(s).	Party registering BM Unit(s) Party de-registering BM Unit(s)	CRA	Letter detailing the information required from BSCCo including the site name and if available the BM Unit Id(s). The letter should be signed by a Category 'A' or 'F' authorised signatory. ²⁶	Fax / Post / Email
3.13.2	Within 2 WD of receipt of request in 3.13.1	Send information requested in 3.13.1.	CRA	Party registering BM Unit(s) Party de-registering BM Unit(s)	Information requested in 3.13.1.	Fax / Post / Email
3.13.3	As required and at least 5 WD prior to date of transfer	Submit BSCP15/4.11 Part A to de-register BM Unit(s) Please note that if the last BM Unit(s) associated with a particular Party role are being de-registered then the Party is advised to also complete a BSCP65/01 form in order to de-register that role.	Party de-registering BM Unit(s)	CRA	BSCP15/4.11 Part A ²⁷	Fax / Post / Email

²⁶ Please note that the responsibility for providing accurate registration data on BSCP15/4.11 forms lies with the Lead Party and not BSCCo.

²⁷ Where a CoBLP involves multiple BM Units affecting multiple Trading Units, a separate form should be used for each Trading Unit.

REF	WHEN	ACTION	FROM	TO	INPUT INFORMATION REQUIRED	MEDIUM
3.13.4	As required and at least 5 WD prior to date of transfer	<p>Submit BSCP15/4.11 Part B to register BM Unit(s).</p> <p>Please note that if any new BM Unit(s) result in a new Party role being required, the Party is advised to complete a BSCP65/01 form to register that new role and follow BSCP70 to ensure all required qualification tests for the new role have been passed.</p> <p><u>If BM Unit is already an Exempt Export BM Unit, elect the P/C Flag to apply to the BM Unit from the CoBLP Effective From Date.</u></p>	Party registering BM Unit(s)	CRA	BSCP15/4.11 Part B	Fax / Post / Email
3.13.5	At the same time as 3.13.3 and 3.13.4	For BM Units which are part of an existing Trading Unit (other than a Sole or Base Trading Unit) all Lead Parties within the Trading Unit should be informed of the CoBLP, and should confirm their agreement to the CoBLP in writing.	<p>CRA</p> <p>Trading Unit Lead Parties</p>	<p>Trading Unit Lead Parties</p> <p>CRA</p>	<p>Details of CoBLP</p> <p>Confirmation of agreement to the CoBLP</p>	Fax / Post / Email
3.13.6	On receipt of both 3.13.3 and 3.13.4	<p>Validate BSCP15 /4.11 Parts A and B.</p> <p>If validation checks are passed, go to 3.13.7</p> <p>If validation checks are failed, go to 3.13.9</p>	CRA		<p>Confirmation that the Party registering the BM Units has acceded, qualified and registered in the appropriate role.</p> <p>Confirmation that the dates specified on both the BSCP15 /4.11 forms Parts A and B are consecutive.</p>	Internal Process
3.13.7	Within 1 WD of receipt of both 3.13.3 and 3.13.4	<p>Send copies of BSCP15 /4.11 Parts A and B to TC.</p> <p>Request that TC confirm that they have no objections to the CoBLP.</p>	CRA	TC		Fax / Email

REF	WHEN	ACTION	FROM	TO	INPUT INFORMATION REQUIRED	MEDIUM
3.13.8	Within 2 WD of receipt of 3.13.7	Send written confirmation that the TC has no objections to the CoBLP or state that the TC has an objection to the CoBLP and the reasons for this objection. If the TC has any objections, go to 3.13.9 If the TC has no objections, go to 3.13.10	TC	CRA	Confirmation of no objections or objection specified.	Fax / Post / Email
3.13.9	Within 1 WD of 3.13.6 or 3.13.8	In the case that the validation checks are failed and/or TC has an objection, inform both Parties of the rejection and the reason for the rejection.	CRA	Party de-registering BM Unit(s) Party registering BM Unit(s)	Notification of rejection and reasons for the rejection.	Fax / Post / Email
3.13.10	Within 1 WD of the Effective From Date	Process CoBLP in systems.	CRA TC (where appropriate)		BSCP 15/4.11 Parts A and B	Internal Process
<u>3.13.11</u>	<u>At the same time as 3.13.10 if the BM Unit is an Exempt Export BM Unit</u>	<u>Set P/C Flag for the BM Unit as elected by the Party in 3.13.4.</u>	<u>CRA</u>		<u>BSCP 15/4.11 Part B</u>	<u>Internal Process</u>
3.13.12 ₊	At the same time as 3.13.10 if the BM Unit (s) is Embedded	Inform the Nominated LDSO of the CoBLP.	CRA	Nominated LDSO	Party IDs of the Lead Parties registering and de-registering the BM Unit(s), BM Unit Id(s) and the Effective From Date.	Fax / Email

REF	WHEN	ACTION	FROM	TO	INPUT INFORMATION REQUIRED	MEDIUM
3.13.1 3 <u>2</u>	Within 1 WD of 3.13.1 2 <u>1</u>	Inform TC, BSCCo, BSC Agents, Party de-registering the BM Unit(s) and Party registering the BM Unit(s).	CRA	BSCCo TC BSC Agents Party de-registering BM Unit(s) Party registering BM Unit(s)	Operations Registration Report to BSCCo & TC (CRA-I020) Registration Report to Parties (CRA-I014) BM Unit, Interconnector and GSP Group Data to BSC Agents (CRA – I015)	Electronic Email confirmation to BSCCo
3.13.1 4 <u>3</u>	Within 1 WD of 3.13.1 2 <u>1</u>	Submit Meter Technical details to Party registering the BM Unit(s).	CDCA	Party registering BM Unit(s)	Meter Technical Details (CDCA-I051)	Fax / Email

3.14 Transfer of Supplier ID Process (Change of SVA BM Units Ownership)

This process supports the transfer of a Supplier ID and associated SVA BM Units.

Where an existing Exempt Export BM Unit is the subject of a Transfer of Supplier ID (Change of SVA BM Units Ownership), this procedure also describes how the Supplier ID Transferee is notified of the BM Unit's P/C Status. Any Supplier ID Transferee wishing to change the Exempt Export BM Unit's P/C Status should follow procedure 3.12.

REF	WHEN	ACTION	FROM	TO	INPUT INFORMATION REQUIRED	MEDIUM
3.14.1	As required	Submit Notification of Supplier ID Transfer. If the Supplier ID being transferred is the last of the Transferor's Supplier IDs then the Party must also complete a BSCP 65 4.1 form in order to de-register the Supplier role.	Supplier ID Transferor	CRA	Notification of a Supplier ID Transfer (BSCP 15/4.6 – Part A)	Fax / Post / Email
3.14.2	At the same time as 3.14.1	Submit Notification of Supplier ID Transfer	Supplier ID Transferee	CRA	Notification of a Supplier ID Transfer (BSCP 15/ 4.6 – Part B)	Fax / Post / Email
3.14.3	On receipt of both 3.14.1 and 3.14.2	Validate the documentation.	CRA		Ensure that the Transferee has Acceded and qualified in the role of Supplier. Ensure that the Supplier IDs, Party Ids and dates specified on both the BSCP 15 /4.6 forms (A) and (B) match.	Internal Process
3.14.4	Within 1 WD of 3.14.3.	In the case that the validation checks are failed, inform both the Supplier ID Transferor and the Supplier ID Transferee of the rejection and the reason for the rejection.	CRA	Supplier ID Transferor Supplier ID Transferee	Notification of Supplier ID Transfer rejection and reasons for the rejection.	Fax / Post / Email

REF	WHEN	ACTION	FROM	TO	INPUT INFORMATION REQUIRED	MEDIUM
<u>3.14.5</u>	<u>At the same time as 3.14.3</u>	<u>In the case that the validation checks are passed, identify the BM Units (both Base and Additional, including any Exempt Export BM Units) associated with the relevant Supplier ID.</u>	<u>CRA</u>		<u>Internal process.</u>	
<u>3.14.6</u>	<u>Immediately following 3.14.5</u>	<u>Notify the Transferee of the P/C Status of any Exempt Export BM Unit(s) associated with the relevant Supplier ID.²⁸</u>	<u>CRA</u>	<u>Supplier ID Transferee</u>	<u>Details of the P/C Status of any Exempt Export BM Unit(s) associated with the relevant Supplier ID.</u>	<u>Fax / Email</u>
3.14. 75	Within 1 WD of 3.14. 63 or as required to meet the requirement of the Effective From date	In the case that the validation checks are passed, request that the TC identifies the BM Units (both Base and Additional, including any Exempt Export BM Units) associated with the relevant Supplier ID and transfers them from the Transferor to the Transferee.	CRA	TC	Copies of BSCP 15 4.6 (A) and 4.6 (B) forms and authorisation to identify the associated BM Units and transfer them from the Supplier ID Transferor to the Supplier ID Transferee.	Fax / Email
3.14. 86	At the same time as 3.14. 75	Notify the Authority and each Party.	BSCCo	Authority All Parties	ELEXON Circular ²⁹	Fax / Post / Email
3.14. 97	Within 1 WD of 3.14. 75 or as required to meet the requirement of the Effective From date	Identify the BM Units (both Base and Additional) associated with the relevant Supplier ID and transfer the BM Units identified in 3.14.5m from the Transferor to the Transferee.	CRA TC (where appropriate)		Internal Process	Internal Process

²⁸ Because of the short timescales in which a Transfer of Supplier ID can occur, the Exempt Export BM Unit's P/C Status will remain unchanged on transfer to the Supplier ID Transferee unless the Supplier ID Transferee notifies the CRA/BSCCo to the contrary by following procedure 3.12.

²⁹ The ELEXON Circular is only issued in the event of a Supplier of Last Resort (SoLR).

REF	WHEN	ACTION	FROM	TO	INPUT INFORMATION REQUIRED	MEDIUM
3.14. 8 <u>10</u>	Within 1 WD of 3.14.7	Inform TC, BSCCo, BSC Agents and the Parties involved of the Transfer.	CRA	BSCCo TC SVAA BSC Agents Supplier ID Transferor Supplier ID Transferee	Operations Registration Report to BSCCo & TC (CRA-I020) BM Unit and GSP Group Registration Data to SVAA & BSC Agents (CRA-I015) Registration Report to Parties (CRA-I014)	Electronic Email to BSCCo

3.15 Process for Parties to Apply for Inclusion in BSC Website List of Suppliers which have Satisfied the Criteria to Complete a Change of BM Unit Lead Party within 5 WDs for a BM Unit with CVA Metering and Associated with a Customer Premises

REF	WHEN	ACTION	FROM	TO	INPUT INFORMATION REQUIRED	METHOD
3.15.1	As determined by Party	Submit form BSCP15/4.10 Part A	BSC Party	BSCCo	BSCP15/4.10 Part A, Application for inclusion in BSC Website list of Suppliers which have satisfied the criteria to complete a change of BM Unit Lead Party within 5 WD for a BM Unit with CVA metering and associated with a Customer premises.	Post/Fax/Email
3.15.2	Within 1 WD of receipt of BSCP15/4.10 Part A	Request that the TC confirm whether or not the Party has appropriate registration on the TC's systems and has use of a qualified Trading Agent and Trading Point in order to complete a change of BM Unit Lead Party within 5 WD.	BSCCo	TC	Party ID and request for confirmation as to whether the Party is appropriately registered on the TC's systems and has use of a qualified Trading Agent and Trading Point.	Fax/Email
3.15.3	At the same time as 3.15.2	Check whether the Party has: Acceded to the BSC and completed Party registration in the role of Supplier; and Successfully completed registration and trading flows to register as a Supplier and completed metering flows to register CVA BM Units.	BSCCo		Party ID	Internal Process
3.15.4	Within 2 WD of receipt of request in 3.15.2	Confirm whether the Party is appropriately registered on the TC's systems and has use of a qualified Trading Agent and Trading Point in order to complete a change of BM Unit Lead Party within 5 WD.	TC	BSCCo	Confirmation whether the Party is appropriately registered on the TC's systems and has use of a qualified Trading Agent and Trading Point.	Fax/Email

REF	WHEN	ACTION	FROM	TO	INPUT INFORMATION REQUIRED	METHOD
3.15.5	Within 2 WD of 3.15.4	Send a completed BSCP15/4.10 Part B form to the Party to notify them of the outcome of their application.	BSCCo	Party	BSCP15/4.10 Part B, Application for inclusion in BSC Website list of Suppliers which have satisfied the criteria to complete a change of BM Unit Lead Party within 5 WD for a BM Unit with CVA metering and associated with a Customer premises.	Post/ Fax/ Email
3.15.6	At the same time as 3.15.5	Where the Party has met the criteria checked by the TC in 3.14.2 and by BSCCo in 3.14.3, add the Party Name and ID to the BSC Website list of Suppliers which have satisfied the criteria to complete a change of BM Unit Lead Party within 5 WD for a BM Unit with CVA metering and associated with a Customer premises.	BSCCo		Party Name and ID.	Internal Process

3.16 Application Process for Credit Qualifying BM Unit Status

[Housekeeping] This process supports the request from a Lead Party to be classified as a Credit Qualifying BM Unit as set out in Section K-3.7.3.

[Housekeeping] It should be noted that an application under Section K-3.7.3 (c) should only be made where the criteria set out in K-3.7.1 (a) and (b) have been satisfied and the BM Unit does not already meet the criteria in requirements of K-3.7.2 (a) and (b) (i.e. it is not a Production BM Unit or an Ex~~empt~~ Ex~~port~~ BM Unit).

[Housekeeping] The Panel shall determine that the BM Unit should be treated as a Credit Qualifying BM Unit if by the Panel determines that ~~if~~ the BM Unit's Metered Volume data for the previous 6 months complies with the following:

- For the majority of Settlement Periods the BM Unit's Exports exceeded its Imports; and
- For that 6 month period overall, the BM Unit's Exports exceeded its Imports.

REF	WHEN	ACTION	FROM	TO	INPUT INFORMATION REQUIRED	MEDIUM
3.16.1	At least 17 WD before upcoming Panel meeting	Submit Application to BSCCo requesting the said BM Unit(s) be classified as a Credit Qualifying BM Unit(s)	Lead Party	BSCCo	Form BSCP15/4.12 'Application for Credit Qualifying BM Unit Status'	Fax / Post / Email
3.16.2	Within 2 WD of receipt of 3.16.1	BSCCo shall confirm authorised signatory category [Housekeeping] ² and notify the Lead Party of receipt of Form BSCP15/4.12	BSCCo	Lead Party	Receipt of Form 4.12	Fax / Post / Email
3.16.3	Within 5 WD of receipt of Form BSCP15/4.12 'Application for Credit Qualifying BM Unit Status'	BSCCo to analyse BM Unit Metered Volume data for the relevant BM Unit(s) for compliance with BSC [Housekeeping] K-3.7. The Lead Party shall assist with the collection on BM Unit Metered Volume Data where required by the BSCCo.	BSCCo Lead Party (where required by BSCCo)		BM Unit Metered Volume data for period of 6 months to application date – 20 WD. Latest Run Type data will be used by BSCCo.	Internal

REF	WHEN	ACTION	FROM	TO	INPUT INFORMATION REQUIRED	MEDIUM
3.16.4	Within 6 WD of receipt notify Lead Party of compliance with [Housekeeping] K 3.7	BSCCo to inform Lead Party	BSCCo	Lead Party	Notification of decision Metered Volume data for the relevant BM Unit	Fax / Post / Email
3.16.5	If Lead Party accepts result of 3.16.4	As either the BSCCo will recommend the Panel approve the BM Unit for Credit Qualifying Status, or the Lead Party accepts the BSCCo decision not to pursue Credit Qualifying Status, no action is taken	Lead Party		Notification of decision	
3.16.6	If Lead Party does not accept result of 3.16.4	The BSCCo is advised to continue with approach to Panel, and whether the Lead Party will provide further evidence and/or representation	Lead Party	BSCCo	Notification of decision Actions for the BSCCo to take	Fax / Post / Email
3.16.7	Recommendation to Panel; according to compliance with K 3.7	BSCCo shall present result of analysis in 3.16.3 with recommendation to Panel for decision.			BM Units in application	Panel Paper with evidence attached
3.16.8	Within 1 WD of 3.16.7 Panel notify BSCCo of decision	Panel decision on Credit Qualifying status for relevant BM Unit.	Panel	BSCCo	Notification of Panel determination	Fax / Post / Email
3.16.9	Within 2 WD of 3.16.8 BSCCo notify Lead Party of Panel decision	Notify Lead Party of Panel decision on Credit Qualifying status for relevant BM Unit.	BSCCo	Lead Party	Notification of Panel determination	Fax / Post / Email
3.16.10	If Credit Qualifying status is approved notify CRA within 3 WD of 3.16.8	BSCCo notifies CRA of decision to update BM Unit Credit Qualifying Status.	BSCCo	CRA	Notification of Credit Qualifying BM Unit status	Fax / Email /Electronic

REF	WHEN	ACTION	FROM	TO	INPUT INFORMATION REQUIRED	MEDIUM
3.16.11	2 WD after 3.16.10	CRA updates Credit Qualifying BM Unit Status and sends updated CRA reports	CRA	BSCCo SVAA BSC Agents BSC Parties	Operations Registration Report to BSCCo (CRA-I020) BM Unit and GSP Group Registration Data to SVAA & BSC Agents (CRA-I015) Registration Report to Parties (CRA-I014)	Electronic

3.17 Monitoring Process for Credit Qualifying BM Unit Status

The BSCCo shall monitor the Credit Qualifying status of all BM Units for BM Units assigned Credit Qualifying status through BSCP15/4.12

REF	WHEN	ACTION	FROM	TO	INPUT INFORMATION REQUIRED	MEDIUM
3.17.1	At least every 6 months	Analyse BM Unit Metered Volume data for the relevant Credit Qualifying BM Unit(s), with respect to the criteria as stated in Section K 3.7	BSCCo		Credit Qualifying BM Unit(s) BM Unit Metered Volume Data	
3.17.2	2 WD following 3.17.1	Lead Party to be informed of any BSCCo recommendation of change in status of the Credit Qualifying status of the BM Unit to the next Panel meeting ³⁰	BSCCo	Lead Party		Fax / Post / Email
3.17.3	Next Panel meeting following 5 WD after 3.17.2	Panel presented with analysis for BM Unit(s) which are not compliant with the requirements of Section K 3.7 (and appeal from the Lead Party, if applicable.)	BSCCo	Panel	Panel Paper Relevant analysis of BM Unit Metered Volume Data	Fax / Post / Email
3.17.4	Panel following 3.17.3	Panel decision on Credit Qualifying status for relevant BM Units	Panel	BSCCo	Notification of Panel determination	Fax / Post / Email
		Panel decision on Credit Qualifying status for relevant BM Units	BSCCo	Lead Party	Notification of Panel determination	Fax / Post / Email
3.17.5	Where Panel decision to change Credit Qualifying status 1 WD after 3.17.4	BSCCo notifies CRA of revised BM Unit Credit Qualifying status	BSCCo	CRA	Notification of Panel determination if change in status	Fax / Email /Electronic

³⁰ The Lead Party shall advise the BSCCo if it would like to appeal the BSCCo recommendation to the Panel. This can be done via the Panel paper if sufficient time; via a verbal update from BSCCo; or it may represent itself in person, in which case it must notify BSCCo of its intention to do so.

REF	WHEN	ACTION	FROM	TO	INPUT INFORMATION REQUIRED	MEDIUM
3.17.6	2 WD after 3.17.5	CRA updates Credit Qualifying BM Unit Status and sends updated CRA reports	CRA	BSCCo SVAA BSC Agents BSC Parties	Operations Registration Report to BSCCo (CRA-I020) BM Unit and GSP Group Registration Data to SVAA & BSC Agents (CRA-I015) Registration Report to Parties (CRA-I014)	Electronic

4. Appendices

4.1 BSCP15/4.1 Registration of BM Unit for a CVA Metering System~~[Housekeeping]~~³¹

Page 1 of 3

To: CRA		Date Sent: _____	
From: Participant Details			
Party ID: _____		Name of Sender: _____	
Contact email address: _____			
Our Ref: _____		Contact Tel. No. _____	
Name of Authorised Signatory: _____			
Authorised Signature: _____		Password: _____	

Is this BM Unit registration:

- ☐ a New BM Unit Registration
- ☐ a Change of registration data associated with a Change of BM Unit Lead Party
- ☐ subject to a Registration Transfer in accordance with BSCP68
- ☐ a change in status of a BM Unit's FPN Flag
- ☐ a change in the Switching Group to which the BM Unit belongs

(Tick as appropriate)

~~Note that if~~ If you wish to apply for Exempt Export status for ~~register~~ a new BM Unit ~~registration as Exempt Export~~, you must enter “Yes” in the appropriate box ~~in the form~~ on Page 2 of this form 4.1 and must also follow the application process for Exemptable Generating Plant/Exempt Export BM Unit status in procedure 3.10 ~~the process in Section 3.10~~ of this BSCP. If you are applying for Exempt Export status for an existing BM Unit, you do not need to complete form 4.1 but must follow procedure 3.10.³²

³¹ Lead Parties of BM Units with associated CVA Metering Systems, Additional BM Units, Base BM Units and Interconnector BM Units should complete this form BSCP15/4.1 in accordance with Section 3.1.5 of this document. In the case of Base BM Units this form should be used to complete the Base BM Registrations by providing, for example, the initial GC/DC vales and if appropriate the FPN Flag.

³² ~~Lead Parties of BM Units with associated SVA Metering Systems which wish to be classified as Exempt Export should complete the declaration form BSCP15/4.9—(Part A).~~

BSCP15/4.1 Registration of BM Unit (cont)

BM Unit Registration Details													
BM Unit Id	BM Unit Name(Max 30 Characters)	NG BM Unit ID ³³	BM Unit Type ³⁴	BM Unit Configuration ³⁵	GSP Group Id ³⁶	LDSO Party Id	GC (MW)	DC (MW)	<u>Inter-connector BM Unit</u> P/ C Flag ³⁷	FPN Flag (Y / N)	Exempt Export (optional) ³⁸	Inter-connector Id ³⁹	EFD

³³ The NG BM Unit ID must be provided for all BM Units with the FPN Flag set to 'Y'.

³⁴ E – Embedded, I – Interconnector, G – Base Supplier S – Additional Supplier, T – Directly Connected

³⁵ CCGT – CCGT registered in CMRS, PPM – a single Power Park Module registered in CMRS, COBMU – a Combined Offshore BM Unit comprising 2 or more Offshore Power Park Modules registered in CMRS, GU – Generating Unit registered in CMRS, DC – Directly Connected Circuit at Customer (Supplied by BSC Party) Premises, IC – Interconnector Unit, BB – Base BM Unit, AB – Additional BM Unit, NS – Non-standard.

³⁶ If unit type E or S

³⁷ Only applicable for ~~Exempt Export BM Units or~~ Interconnector BM Units, which are registered in fixed Production/Consumption pairs whose P/C Status does not change (Section K3.5/K5.5). A Party applying for Exempt Export status must follow procedure 3.10 to elect the Exempt Export BM Unit's P/C Flag.

³⁸ To ~~apply for Exempt Export status for~~register a new BM Unit ~~registration as Exempt Export~~, enter "Yes" and follow ~~the procedure~~process in Section 3.10⁹ of this BSCP.

³⁹ If BM Unit Type is I

BSCP15/4.1 Registration of BM Unit (cont)

MPAN Mapping Details ⁴⁰		
MPAN	Effective From Date	Effective To Date

BM Unit Group Details		
Teleswitch Group ID	Effective From Date	Effective To Date

BM Unit and Associated CVA Metering Systems	
BM Unit	Associated CVA Metering Systems

Switching Groups (list BM Unit Ids for each Switching Group below)
(Example: Switching Group 1 – T_ABMU-1 and T_BBMU-1)

⁴⁰ Only applicable to Embedded Sites

4.2 BSCP15/4.2 De-Registration of BM Unit

Page 1 of 1

To: CRA	Date Sent: _____
From: Participant Details	
Party ID: _____	Name of Sender: _____
Contact email address: _____	
Our Ref: _____	Contact Tel. No. _____
Name of Authorised Signatory: _____	
Authorised Signature: _____	Password: _____

Is this BM Unit de-registration:

- ☐ an Interconnector BM Unit
- ☐ a re-configuration of existing plant and apparatus into another BM Unit
- ☐ a disconnection of circuits from the Transmission System or Distribution System
(NOTE: if this box is ticked the appropriate Certification of Disconnection must be provided).
- ☐ subject to a Registration Transfer in accordance with BSCP68

(Tick as appropriate)

De-Registration BM Unit Data Requirements**Name:**

.....

BM Unit Id:

.....

NG BM Unit ID:

.....

Effective To Date:

.....

The NG BM Unit ID must be provided for all BM Units with the FPN Flag set to 'Y'.

Base BM Units cannot be De-Registered utilising this form.

Page 1 of 1

To: CRA	Date Sent: _____
From: Participant Details	
Party ID: _____	Name of Sender: _____
Contact email address: _____	
Our Ref: _____	Contact Tel. No. _____
Name of Authorised Signatory: _____	
Authorised Signature: _____	Password: _____

Tick
One

Spring	[]	1 st March to 31 st May
Summer	[]	1 st June to 31 st August
Autumn	[]	1 st September to 30 th November
Winter	[]	1 st December to 28 th / 29 th February

CRA Use Only[illegible]

Note: For the avoidance of doubt, the values of GC/DC will be the positive/negative value of QM_{ij} divided by the SPD respectively (as described in Section K of the BSC).

4.4 BSCP15/4.4 Mid-Season Changes of Positive and Negative BM Unit Metered Volume Estimates

Page 1 of 2

To: CRA	Date Sent: _____
From: Participant Details	
Party ID: _____	Name of Sender: _____
Contact email address: _____	
Our Ref: _____	Contact Tel. No. _____
Name of Authorised Signatory: _____	
Authorised Signature: _____	Password: _____
Effective From Date _____	

CRA Use Only[illegible]

Note: For the avoidance of doubt, the values of GC/DC will be the maximum/minimum value of QM_{ij} divided by SPD respectively (as described in Section K of the BSC).

4.5 BSCP15/4.5 Application for Declaration of Exemptable Generating Plant Status for Generating Plant

Page 1 of 2

Part A

To: BSCCo		Date Sent: _____
From: Participant Details		
Party ID: _____	Name of Sender: _____	
Contact email address: _____		
Our Ref: _____	Contact Tel. No. _____	
Name of Authorised Signatory: _____		
Authorised Signature: _____	Password: _____	

Details of the BM Unit(s) comprising the Generating Plant for which Exemptable ~~s~~Status is being sought ~~for~~:⁴¹

BM Unit ID	Generation Capacity (GC) MW	Demand Capacity (DC) MW	Metering System ID	Exempt Export status required by (date)	<u>Chosen P/C Flag</u> ⁴²

Please explain why you believe the Generating Plant is ~~BM Unit(s) comprise~~ Exemptable Generating Plant.

You may wish to refer to the relevant Statutory Instrument:

Does the Party making this application hold a generation licence? Yes/No (Delete as applicable)

⁴¹ If your application for Exemptable Generating Plant status is successful, the BM Unit(s) comprising the Exemptable Generating Plant will be granted Exempt Export status. Please refer to procedure 3.10 for further details.

⁴² Please enter the P/C Flag (either "P" for Production or "C" for Consumption) that you as the Lead Party elect to apply to the BM Unit from the date that the BM Unit's Exempt Export status (if granted) becomes effective. Failure to complete this box will result in rejection of this form and a delay to your application. Please refer to procedure 3.10 for further details.

BSCP15/4.5 Application for Declaration of Exemptable Generating Plant Status for Generating Plant

Page 2 of 2

Part B

To: _____	Date Sent: _____
From: BSCCo	
Name of Sender: _____	
Contact email address: _____	
Our Ref: _____	Contact Tel. No. _____
Name of Authorised Signatory: _____	
Authorised Signature: _____	Password: _____

Confirmation of figures provided above:

BM Unit ID	Declared Net Capacity (DNC) MW	Total Output to System MW	Exempt Export BM Unit status Effective From (date)	<u>Chosen P/C Flag</u>

Validity of BM Unit Registration(s) Checked: Yes/No (Delete as applicable)**Comments:**

BSCCo, not being in a legal position of endorsing what is a legal responsibility of an operator, can see no reason why the BM Unit(s) listed by the applicant should not be treated as comprising Exemptable Generating Plant from the information available.

If BSC Party registration or BM Unit registration is outstanding this declaration remains provisional until the registration process is completed.

OR:

BSCCo can see the following reasons why the above BM Unit(s) should not be treated as comprising Exemptable Generating Plant.

Reasons:

4.6 BSCP15/4.6 Notification of a Supplier ID Transfer

Page 1 of 2

Part A (to be completed by the Supplier ID Transferor⁴³)

To: BSCCo	Date Sent: _____
From: Participant Details (Transferor)	
Party ID: _____	Name of Sender: _____
Contact email address: _____	
Our Ref: _____	Contact Tel. No. _____
Contact Fax. No. _____	
Name of Authorised Signatory: _____	
Authorised Signature: _____	Password: _____

Please take this as notification that:

Supplier ID(s) _____ (4 alpha characters per ID)

Will be transferred from Party Id: _____

To Party Id: _____

With an Effective From Date of: _____

In signing the Party acknowledges that any SVA Metering Systems and BM Units associated with the stated Supplier ID will also be transferred on the stated date.

⁴³ The Trading Party that is transferring the Supplier ID(s)

BSCP15/4.6 Notification of a Supplier ID Transfer

Page 2 of 2

Part B (to be completed by the Supplier ID Transferee⁴⁴)

To: BSCCo	Date Sent: _____
From: Participant Details (Transferee)	
Party ID: _____	Name of Sender: _____
Contact email address: _____	
Our Ref: _____	Contact Tel. No. _____
Contact Fax. No. _____	
Name of Authorised Signatory: _____	
Authorised Signature: _____	Password: _____

Please take this as notification that:

Supplier ID(s) _____ (4 alpha characters per ID)

Will be transferred from Party Id: _____

To Party Id: _____

With an Effective From Date of: _____

In signing the Party acknowledges that any SVA Metering Systems and BM Units associated with the stated Supplier ID will also be transferred on the stated date.

⁴⁴ The Trading Party that will become responsible for the Supplier ID(s)

4.7 BSCP15/4.7 ~~Commencement~~Registration / ~~Termination~~De-registration of Exempt Export BM Unit Status

To: CRA	Date Sent: _____
From: BSCCo	
Participant and BM Unit Details	
Party Id: _____	
BM Unit ID: _____	
<u>Commencement of</u> Exempt Export <u>BM Unit</u> Status YES / NO <u>Registration:</u> <u>P/C Flag to apply:</u> ⁴⁵ _____ Effective From Settlement Date <u>(for Exempt</u> <u>Export Flag and P/C Flag):</u> _____	
<u>Termination of</u> Exempt Export <u>BM Unit</u> Status De- YES / NO <u>registration:</u> Effective To Settlement Date <u>(for Exempt</u> <u>Export Flag and P/C Flag):</u> _____ (for Exempt Export Status)	
Name of Authorised Signatory: _____	
Authorised Signature: _____	Password: _____
Contact email address: _____	
BSCCo Ref: _____	Contact Tel. No. _____

⁴⁵ Must be either "P" (Production) or "C" (Consumption); otherwise the CRA shall reject this form.

4.8 BSCP15/4.8 Election of Production / Consumption Flag for Exempt Export BM Units

To: CRA BSCCo	Date Sent: _____
From: Participant Details Party ID: _____ Name of Sender: _____ Contact email address: _____ Our Ref: _____ Contact Tel. No. _____	
Name of Authorised Signatory: _____ Authorised Signature: _____ Password: _____	
BM Unit ID: _____	
P / C Flag: PRODUCTION / CONSUMPTION +DYNAMIC ⁴⁶ (delete as applicable)	
Effective From Settlement Date: _____	

Note that the Production / Consumption Flag as elected here will be deemed effective until/unless the Lead Party makes a new election (by following procedure 3.12 or 3.13 as appropriate) or the BM Unit's Exempt Export status is terminated in accordance with procedure 3.11. ~~Note that the Production / Consumption Flag as elected here will be deemed effective until a subsequent BSCP15/4.8 is submitted to amend the value of the flag, or the BM Unit ceases to be Exempt Export.~~

From: CRA **To: Lead Party**

Date Sent: _____

Reason(s) for Rejection: _____

⁴⁶ Please refer to procedure 3.12 for further details. A dynamic P/C Status is one where the P/C Flag is null, and thus the P/C status is derived from the status of the Trading Unit to which the BM Unit belongs (as derived from the sum of the relevant Demand Capacities or Generation Capacities of all BM Units belonging to the Trading Unit).

4.9 BSCP15/4.9 Certification of Exempt~~able-Export~~ Status for Generating Plant~~BM Units With Exports Measured by~~Comprising SVA Metering Systems

Page 1 of 2

Part A

To: BSCCo	Date Sent: _____
Certification of Exemptable-Export Status for <u>Generating Plant</u>BM Units With Exports Measured by<u>Comprising</u> SVA Metering <u>Systems</u>	BM Unit ID: _____

From: Company Details	
Party Id: _____	Name of Sender: _____
Contact email address: _____	
Our Ref: _____	Contact Tel. No. _____

I, the Director of the Party responsible for and ~~therefore~~ requesting Exemptable Generating Plant status for _____⁴⁷, and thereby Exempt Export BM Unit status for _____⁴⁸, ('the Party') hereby certify that:

The Generating Plant is Exemptable as defined in Section K1.2.2 of the Code and t~~The BM Unit would, if the M~~etering S~~ystems comprised in~~g that BM Unit(s) were CVA registered, be comprised of Exemptable Generating Plant as defined in Section K1.2.2 of the Codesatisfy the following criteria in accordance with Section K3.3A of the Code:-

1. Only one Party (the Party hereby requesting Exempt Export status) is responsible for E~~exports~~ and / or I~~imports~~ from P~~plant~~ / A~~apparatus~~ comprised in~~g~~ the BM Unit;
2. The Exports and/or I~~imports~~ from and to the Plant / Apparatus are capable of being controlled independently of the E~~exports~~ and/or I~~imports~~ from or to any P~~plant~~ / A~~apparatus~~ not comprised in the BM Unit;
3. Exports and/or I~~imports~~ can be determined separately for P~~plant~~ / A~~apparatus~~ comprised in~~g~~ the BM Unit;
4. OR, the Panel, in accordance with Section K-3.1.6, has determined that the BM Unit in question has best satisfied these requirements.

I hereby provide notification in accordance with Section K3.5 that the Party elects the P/C Flag of the BM Unit to be _____⁴⁹ should the BM Unit be granted Exempt Export status.

The Party will take all reasonable steps, in accordance with Section U-1.2.3, to ensure that the data and information held or maintained by BSCCo, any BSC Agent, or any SMRA relating to the Party, with specific reference to this application, is and continues to be accurate and complete.

BSCCo can rely on this certification as to the matters set out herein, for the purposes of verification of Exempt~~able~~ Generating Plant status (and associated Exempt Export BM Unit status), i.e. the matters set out above in (1) to (4).

The Party ~~will~~ undertakes to comply with any request to re-certify, made by BSCCo in accordance with Section K

⁴⁷ Add name of Generating Plant here.⁴⁸ Add BM Unit Id here.⁴⁹ Please enter either "P" (Production) or "C" (Consumption). Failure to complete this election will result in rejection of this form and a delay to your application. Please refer to procedure 3.10 for further details.

1.5.8.

Name of Director:

Signature of Director

On behalf of:

**BSCP15/4.9 Certification of Exempt~~table-Export~~ Status for Generating Plant~~BM Units~~
With Exports Measured by~~Comprising~~ SVA Metering Systems**

Page 2 of 2

Part B

To: BSC Party	Date Sent:
Confirmation of Exempttable-Export Status for <u>Generating</u> <u>Plant</u>BM Units <u>With Exports Measured by</u>comprising SVA Metering <u>Systems</u>	<u>Generating</u> Plant Name: BM Unit ID:
Party Id:	Name of Sender:
Contact email address:	
Our Ref:	Contact Tel. No.
From: BSCCo	
<p>BSCCo hereby confirms that the above-mentioned <u>Generating Plant has / has not* been granted Exemptable status and that the above</u> BM Unit <u>therefore</u> has / has not* been granted Exempt Export status.</p> <p><u>BSCCo hereby also confirms that, where (and only where) Exempt Export status has been granted, the P/C Flag elected for the BM Unit in Part A of this form BSCP15/4.9 shall become effective concurrently with the BM Unit's Exempt Export status.</u></p>	

* Delete as applicable

4.10 BSCP15/4.10 Application for Inclusion in BSC Website List of Suppliers which have Satisfied the Criteria to Complete a Change of BM Unit Lead Party within 5 WD for a BM Unit with CVA Metering and Associated with a Customer Premises

Page 1 of 2

Part A

<u>To: BSCCo</u>	<u>Date Sent:</u> _____
<u>From: Participant Details</u>	
<u>Party ID:</u> _____	<u>Name of Sender:</u> _____
<u>Contact email address:</u> _____	
<u>Our Ref:</u> _____	<u>Contact Tel. No.</u> _____
<u>Name of Authorised Signatory (Category A in BSCP38):</u> _____	
<u>Authorised Signature:</u> _____	<u>Password:</u> _____

I confirm that the Party above has met the following criteria and is therefore applying to be included in the BSC Website list of Suppliers which have satisfied the criteria to complete a change of BM Unit Lead Party within 5 WD for a BM Unit with CVA metering and associated with a Customer premises:

- The Party has acceded to the BSC and completed Party registration in the role of Supplier;
- The Party has appropriate registration on Transmission Company Systems, and use of a qualified Trading Agent and Trading Point.

By returning this form, I acknowledge that the above Party undertakes to provide written notice by a Category A authorised signatory to BSCCo within 1 WD of any change in its ability to meet these criteria. I confirm that the above Party also recognises that such a change will result in the Party being removed from this list.

I acknowledge that the above Party recognises that, in order to qualify for a change of BM Unit Lead Party within 5 WD, the following criteria (unique to the specific registration) must also be satisfied:

- The BM Unit is not associated with a Trading Unit except a Sole Trading Unit; and
- The de-registration form (BSCP15/4.2) and registration form (BSCP15/4.3) submitted by the outgoing and incoming Suppliers contain consecutive Effective To and From Dates (in order to demonstrate that both Suppliers are aware of, and agree with, the change of Lead Party).

BSCP15/4.10 Application for Inclusion in BSC Website List of Suppliers which have Satisfied the Criteria to Complete a Change of BM Unit Lead Party within 5 WD for a BM Unit with CVA Metering and Associated with a Customer Premises

Page 2 of 2

Part B

<u>To: Party</u>	<u>Date Sent:</u> _____
<u>From: BSCCo</u>	
<u>Party ID:</u> _____	<u>Name of Sender:</u> _____
<u>Contact email address:</u> _____	
<u>Our Ref:</u> _____	<u>Contact Tel. No.</u> _____
<u>Name of Authorised Signatory (Category A in BSCP38):</u> _____	
<u>Authorised Signature:</u> _____	<u>Password:</u> _____
<p>BSCCo hereby confirms that the above Party has/has not* met the necessary criteria to be included in the BSC Website list of Suppliers which have satisfied the criteria to complete a change of BM Unit Lead Party within 5WDs for a BM Unit with CVA metering and associated with a Customer premises.</p>	

* Delete as applicable

Parties are reminded of their agreement to notify BSCCo within 1 WD if, at any time, their ability to meet any of the criteria changes. Parties are also reminded that such a change will result in the Party being removed from the list.

4.11 BSCP15/4.11 Change of CVA BM Unit Lead Party (CoBLP)

Page 1 of 2

Part A (to be completed by the Party de-registering the BM Unit(s))

To: CRA	Date Sent: _____
From: Participant Details	
Party ID: _____	Name of Sender: _____
Contact email address: _____	
Our Ref: _____	Contact Tel. No. _____
Contact Fax. No. _____	
Name of Authorised Signatory: _____	
Authorised Signature: _____	Password: _____

BM Unit Id:	NG BM Unit ID (if known)	Associated MSIDs (please enter all MSIDs associated with BM Unit)	Associated Approved Metering Dispensations (if applicable, please provide MSID(s) and Metering Dispensation Reference Number(s))	<u>Current P/C Flag (for Exempt Export BM Units only)</u>⁵⁰

Trading Unit Name (if applicable):

Please take this as notification that the registrations detailed above will be transferred with an Effective To Date of⁵¹: _____

⁵⁰ Must be either "P" (Production) or "C" (Consumption).

⁵¹ [Housekeeping] The 'Effective To Date' specified on this form should be the day before the 'Effective From Date' -for the associated registration(s) specified on the BSCP15/4.11 Part B form.

BSCP15/4.11 Change of CVA BM Unit Lead Party **(CoBLP)**

Page 2 of 2

Part B (to be completed by the Party registering the BM Unit(s))

To: CRA	Date Sent: _____
From: Participant Details	
Party ID: _____	Name of Sender: _____
Contact email address: _____	
Our Ref: _____	Contact Tel. No. _____
Contact Fax. No. _____	
Name of Authorised Signatory: _____	
Authorised Signature: _____	Password: _____

BM Unit Id:	NG BM Unit ID (if known)	Associated MSIDs (please enter all MSIDs associated with BM Unit(s)) ⁵²	Associated Approved Metering Dispensations (if applicable, please provide relevant MSID(s) and Metering Dispensation Reference Number(s))	Chosen P/C Flag (for Exempt Export BM Units only)⁵³

Trading Unit Name: (if applicable)

Please take this as notification that the registrations detailed above will be transferred with an Effective From Date of⁵⁴: _____

⁵² Please note, if the Party de-registering the BM Unit is not the current Registrant of the MSID, permission should be sought from the current Registrant, in accordance with BSCP20, to ensure they have no objections to the transfer.

⁵³ Please enter the P/C Flag (either "P" for Production or "C" for Consumption) that you elect to apply to the Exempt Export BM Unit from the Effective From Date of the CoBLP. Failure to complete this box will result in rejection of this form and a delay to the transfer. Please refer to procedure 3.13 for further details.

⁵⁴ The 'Effective From Date' specified on this form should be the next consecutive day after the associated 'Effective To Date' for the deregistration(s) specified on the BSCP15/4.11 Part A form.

4.12 BSCP15/4.12 Application for Credit Qualifying BM Unit Status

To: CRA	Date Sent: _____
From: Participant Details	
Party ID: _____	Name of Sender: _____
Contact email address: _____	
Our Ref: _____	Contact Tel. No. _____
Contact Fax. No. _____	
Name of Authorised Signatory: _____	
Authorised Signature: _____	Password: _____

Details of the BM Unit(s) for which Credit Qualifying BM Unit Status is sought:

BM Unit ID(s)	FPN Status Flag
	T/F
	T/F
	T/F
	T/F

The Applicant must be the Lead Party and may need to provide the BSCCo with assistance in collecting the BM Unit Meter Volume data for the Credit Qualifying status determination.