



CP1352 – Remove option of postal FAA invoices

This document contains the approved CP1352 redlined changes to sections 3.1, 3.3.1, 3.3.2, 3.3.3, 3.3.12, 3.3.13, 3.3.14 and 3.4.5 to Part 1 of the FAA Interface Definition and Design (IDD).

We have redlined these changes against version 20.0 of the IDD.

[Sections 1.1-2 are unchanged]

3 Interfaces with BSC Parties and Agents

3.1 Outbound Interfaces

Agent-Id	Name	Dirn	User	Type
FAA-I001	Advice Notes	To	Payment Party	Manual and Hardcopy by post [Housekeeping](retained for pre-P214 Payment Dates)
FAA-I002	Statement (Backing documentation)	To	Payment Party	Manual, Electronic transfer by Email and optional hardcopy by Post
FAA-I003	Confirmation Notices	To	BSC Party	Manual, Hardcopy by post [Housekeeping](retained for pre-P214 Payment Dates)
FAA-I004	Credit Cover Requirements	To	Payment Party	Manual, letter
FAA-I005	Credit Call Request	To	Payment Party	Manual, letter
FAA-I006	Payment Calendar (Agreed)	To	Payment Parties	Manual, data file (csv)
FAA-I007	Notice of Default	To	BSCCo	Manual, telephone by authorised personnel & confirmatory letter
FAA-I008	Payment Initiation Instructions	To	Clearing Banks	Manually initiated electronic transfer of files via FAA CHAPS & Business Master software
FAA-I009	Payment Instructions	To	Collection Account	Manual, Fax with password security
FAA-I010	Quarterly Statements	To	H.M. Customs & Excise	Manual, hardcopy by post and data file
FAA-I010	Quarterly Statements	To	Payment Parties	Manual, hardcopy by post and data file
FAA-I035	Reserve Account Interest Advice Notes	To	Payment Parties	Manual, hardcopy by post
FAA-I038	Combined Advice Notes	To	Payment Party	Manual, Electronic transfer by Email and optional hardcopy by Post
FAA-I039	Default Statement (Backing documentation)	To	Payment Party	Manual, Electronic transfer by Email and optional hardcopy by Post
FAA-I040	Combined Confirmation Notices	To	BSC Party	Manual, Electronic transfer by Email and optional hardcopy by Post

[Section 3.2 is unchanged]

3.3 FAA Outbound Interfaces

3.3.1 Advice Notes

Interface ID: FAA-I001	User: BSC Party BSCCo Ltd	Title: Advice Notes	ITT reference: FAA SD 10 FAA SD 9.1.1 RETA SCH 4
Mechanism: Manual Auto-fax batch process and dispatch of Hardcopy by post	Frequency: Daily	Volumes: Low: 100 Advice Notes dispatched per working day Average: 200 Advice Notes dispatched per working day High: 300 Advice Notes dispatched per working day	
Interface Requirement: <i><u>[Housekeeping]This requirement is retained for the purpose of generating and issuing Advice Notes for Payment Dates prior to the P214 implementation date.</u></i> A uniquely identified document containing data relating to a number of charge types which will include the following: <ul style="list-style-type: none">• Energy Imbalance charges and payments• Information imbalance charges• Non-delivery charges• Balancing Mechanism charges• System Operator charges• Cost re-allocation charges and payments Detail lines will be as follows: <ul style="list-style-type: none">• BSC Party Name• BSC Party Address• BSC Party Facsimile Number• Advice Note Number• Payment Date• VAT Code<ul style="list-style-type: none">➤ Settlement Date➤ Settlement Code➤ Description*➤ Net Amount per Settlement Run➤ Total Including VAT per Settlement Run➤ Total Amount Payable/Receivable Net of Tax Liabilities *Description will be amount payable/receivable, reconciliation interest payable/ receivable or income tax payable/receivable. An Advice Note Continuation Sheet will be required.			

3.3.2 Statements (Backing Documentation)

Interface ID: FAA-I002	User: BSC Party BSCCo Ltd	Title: Statements (Backing Documentation)	ITT reference: FAA SD 10 FAA SD 9.1.1 RETA SCH 4
Mechanism: Manual, Electronic transfer by Email and optional hardcopy by Post	Frequency: Daily	Volumes: Low: 100 Statements dispatched per working day Average: 200 Statements dispatched per working day High: 300 Statements dispatched per working day	
Interface Requirement: Supporting information relating to the advice note lines, in particular details of previous settlement code amounts to enable verification of reconciliation calculations and interest calculations for each interest line detailed on the advice note. Backing Sheet also to include support for standard rate VAT and zero rate VAT BSC parties. Details will include: <ul style="list-style-type: none">• Backing Sheet Number• Calendar Payment Date• BSC Party Name• Initial Settlement Run Details<ul style="list-style-type: none">➤ Settlement Date➤ Settlement Code➤ Calendar Payment Date➤ Charge Type➤ Amount (£)➤ Total➤ Interest (on late billing)➤ VAT➤ Total Including VAT• Reconciliation Run Details<ul style="list-style-type: none">➤ Settlement Date➤ Previous Settlement Code➤ Previous Calendar Payment Date➤ Charge Type➤ Previous Amount (£)➤ Previous Total➤ Current Settlement Code➤ Current Calendar Payment Date➤ Charge Type➤ Current Amount (£)➤ Current Total➤ Difference (£)➤ Interest on Difference➤ VAT on Difference➤ Income Tax on Long Interest (only printed if non-zero) Total including VAT net of Income tax			

3.3.3 Confirmation Notices

Interface ID: FAA-I003	User: BSC Party BSCCo	Title: Confirmation Notices	ITT reference: FAA SD 11.7 RETA SCH 4
Mechanism: Manual Hardcopy by post	Frequency: Daily	Volumes: Low: 100 Confirmation Notices dispatched per working day Average: 200 Confirmation Notices dispatched per working day High: 300 Confirmation Notices dispatched per working day	
Interface Requirement: <u>[Housekeeping]This requirement is retained for the purpose of generating and issuing Confirmation Notices for Payment Dates prior to the P214 implementation date.</u> Tax document detailing amounts paid to or received from BSC Parties on a Payment Date. Support for standard rate VAT and zero rate VAT BSC parties. In addition, the BSCCo receives a confirmation notice detailing the amount of VAT imbalance transferred to/from the BSCCo to/from the BSC Clearer in relation to that Payment Date. Details will include: <ul style="list-style-type: none">• Date of Issue• BSC Party Name• BSC Party Address• BSC Party facsimile Number• BSC Party VAT country code (if applicable)• VAT registration number (if applicable)• Confirmation Notice Number• Advice Note Number• Payment Date• Tax Point <ul style="list-style-type: none">➤ Settlement Date➤ Settlement Code➤ Description➤ Amount excluding VAT➤ VAT rate➤ VAT amount➤ Total including VAT➤ Total amount net of tax liabilities➤ VAT statement (dependent on whether BSC party is UK or non UK registered and whether paid or received) Backing Sheet also to include support for standard rate VAT and zero rate VAT BSC parties. <ul style="list-style-type: none">• Amounts for Scale-Down or Set-Off will be included if applicable A continuation sheet will be required.			

[Sections 3.3.4-3.3.11 are unchanged]

3.3.12 Combined Advice Notes

Interface ID: FAA-I038	User: Payment Party BSCCo	Title: Combined Advice Notes	ITT reference: N/A (Modification Proposal P214)
Mechanism: Manual electronic transfer and optional hardcopy by post	Frequency: Following threshold breach, or as manually triggered	Volumes: Low: 1 combined Advice Note per Payment Party per tax quarter High: 300 combined Advice Notes dispatched per working day	
Interface Requirement: A uniquely identified document containing data relating to a number of Trading Charges, Default charges and Ad-Hoc Charges Advice Notes. Header details will include the following: <ul style="list-style-type: none">• Payment Party name• Payment Party address• Payment Party facsimile number• Payment Party email address• Combined Advice Note number• Reason for threshold breach• Advice Note Threshold Limit• Backing sheet number that breached Advice Note Threshold Limit (if applicable)• Advice Note Date• Payment Date• Total amount payable/receivable net of tax liabilities, aggregate of Trading Charges, Default charges and Ad-Hoc Charges Report body details will include the following: <ul style="list-style-type: none">For each Trading Charges Advice Note detail item:<ol style="list-style-type: none">1. Backing sheet number2. Calendar Payment Date3. Settlement Date4. Settlement code5. Description6. Net amount excluding VAT per Settlement Run7. Total including VAT per Settlement Run• Trading Charge subtotal including VAT ➤ For each Default Advice Note detail item: <ol style="list-style-type: none">1. Backing sheet number2. Calendar Payment Date3. Affected Date4. Defaulting Party5. Amount in Default6. Description7. Net amount excluding VAT per Default Share Amount8. Total including VAT per Default Share Amount			

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- Default Charge Subtotal Including VAT

➤ For each Ad-Hoc Charge Advice Note detail item:

1. Backing sheet number
2. Calendar Payment Date
3. Dispute Run Number
4. Description
5. Net amount Excluding VAT per dispute run
6. Total including VAT per dispute run

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- Ad-Hoc Charge Charge subtotal including VAT

A continuation sheet will be required.

The combined Advice Note will also include a separate section, detailing the following VAT information:

- For each Trading Charges Advice Note detail item
 1. VAT amount payable details:
 - Backing sheet number
 - VAT code
 - Description
 - Trade value
 - VAT rate
 - VAT amount
 - Total including VAT
 2. VAT amount receivable details:
 - Backing sheet number
 - VAT code
 - Description
 - Trade value
 - VAT rate
 - VAT amount
 - Total including VAT
- Trading Charge VAT amount payable subtotals of
 1. Trade value
 2. VAT amount
 3. Total including VAT
- Trading Charge VAT amount receivable subtotals of
 1. Trade value
 2. VAT amount
 3. Total including VAT
- For each Default charges Advice Note detail item
 1. VAT amount payable details:
 - Affected Date
 - Backing sheet number
 - VAT code
 - Description
 - Trade value
 - VAT rate
 - VAT amount
 - Total including VAT
 2. VAT amount receivable details:
 - Affected Date

- Backing sheet number
 - VAT code
 - Description
 - Trade value
 - VAT rate
 - VAT amount
 - Total including VAT
- Default charge VAT amount payable subtotals of
 1. Trade value
 2. VAT amount
 3. Total including VAT
- Default charge VAT amount receivable subtotals of
 1. Trade value
 2. VAT amount
 3. Total including VAT
- For each Ad-Hoc Charge Advice Note detail item
 1. VAT amount payable details:
 - Backing sheet number
 - VAT code
 - Description
 - Trade value
 - VAT rate
 - VAT amount
 - Total including VAT
 2. VAT amount receivable details:
 - Backing sheet number
 - VAT code
 - Description
 - Trade value
 - VAT rate
 - VAT amount
 - Total including VAT
- Ad-Hoc Charge VAT amount payable subtotals of
 1. Trade value
 2. VAT amount
 3. Total including VAT
- Ad-Hoc Charge VAT amount receivable subtotals of
 1. Trade value
 2. VAT amount
 3. Total including VAT
- Trading Charge, Default charge and Ad-Hoc Charge grand totals for
 1. VAT amounts payable:
 - Trade value
 - VAT amount
 - Total including VAT
 2. VAT amounts receivable:
 - Trade value
 - VAT amount
 - Total including VAT

3.3.13 *Default Statement (Backing Documentation)*

Interface ID: FAA-I039	User: Payment Party BSCCo	Title: Threshold Default Statement (Backing Documentation)	ITT reference: N/A (Modification Proposal P214)
Mechanism: Manual electronic transfer and optional hardcopy by post	Frequency: Following threshold breach, or as manually triggered	Volumes: Low: 1 Combined Advice Note per BSC Party per Tax Quarter High: 300 Combined Advice Notes dispatched per working day	
<p>Interface Requirement:</p> <p>Supporting information relating to the Default Advice Notes listed in the Combined Advice Note, in particular details of Default Share Amounts payable or receivable by a Payment Party with respect to multiple Payment Defaults.</p> <p>Default statements will be available in hard copy format and Portable Document Format.</p> <p>Header details will include the following:</p> <ul style="list-style-type: none"> • Payment Party name • Payment Party address • Payment Party telephone number • Payment Party fax number • Payment Party email address • Combined Advice Note number • Payment Date <p>Report body details will include the following:</p> <ul style="list-style-type: none"> • Details of Default: <ol style="list-style-type: none"> 1. Affected Date 2. Defaulting Party 3. Amount in Default • Details of Default Share Amount: <ol style="list-style-type: none"> 1. Amount excluding VAT 2. VAT 3. Amount including VAT 4. Non-VATable share 5. Interest 6. Total amount payable/receivable net of tax liabilities 			

3.3.14 Combined Confirmation Notices

Interface ID: FAA-I040	User: BSC Party BSCCo	Title: Combined Confirmation Notices	ITT reference: N/A (Modification Proposal P214)
Mechanism: Manual electronic transfer and optional hardcopy by post	Frequency: Per Payment Date	Volumes: Low: 1 combined Confirmation Note per BSC Party per Tax Quarter High: 300 combined Confirmation Notes dispatched per working day	
<p>Interface Requirement:</p> <p>Tax document detailing amounts paid to or received from BSC Parties on a Payment Date in relation to multiple Trading Charges, Default charges and Ad-Hoc Charges Advice Notes detailed on combined Advice Notes. Support for standard rate VAT and zero rate VAT BSC parties.</p> <p>In addition, the BSCCo receives a Combined Confirmation Notice detailing the amount of VAT imbalance transferred to/from the BSCCo to/from the BSC Clearer in relation to that Payment Date.</p> <p>Header details will include the following:</p> <ul style="list-style-type: none"> • Date of Issue • BSC Party name • BSC Party address • BSC Party facsimile number • BSC Party email address • BSC Party VAT non-liability statement (if applicable) – “The BSC Party is not liable to VAT and must account for VAT” • BSC Party VAT registration number and two digit country code • Combined Confirmation Notice number • Combined Advice Note number • Payment Date • Total amount payable/receivable net of tax liabilities <p>Report body details will include the following:</p> <ol style="list-style-type: none"> 1. For each Trading Charges Advice Note detail item: <ul style="list-style-type: none"> ○ Backing sheet number ○ Calendar Payment Date ○ Settlement Date ○ Settlement code ○ Description ○ Net amount excluding VAT per Settlement Run ○ VAT amount ○ Total including VAT per Settlement Run 2. Trading Charges subtotal including VAT 3. For each Default charges Advice Note detail item: <ul style="list-style-type: none"> ○ Backing sheet number ○ Calendar Payment Date ○ Affected Date ○ Defaulting Party ○ Amount in Default 			

- Description
 - Net amount excluding VAT per Default Share Amount
 - VAT Amount
 - Total including VAT per Default Share Amount
4. Default Charges Subtotal Including VAT
5. For each Ad-Hoc Charges Advice Note detail item:
- Backing sheet number
 - Calendar Payment Date
 - Dispute run number
 - Description
 - Net amount excluding VAT per dispute run
 - VAT amount
 - Total including VAT per dispute run
6. Ad-Hoc Charges subtotal including VAT

A continuation sheet will be required.

The combined Confirmation Notice will also include a separate section, detailing the following VAT information:

- For each Trading Charges Advice Note detail item
 1. VAT amount payable details:
 - Backing sheet number
 - VAT code
 - Description
 - Trade value
 - VAT rate
 - VAT amount
 - Total including VAT
 2. VAT amount receivable details:
 - Backing sheet number
 - VAT code
 - Description
 - Trade value
 - VAT rate
 - VAT amount
 - Total including VAT
- Trading Charge VAT amount payable subtotals of
 1. Trade value
 2. VAT amount
 3. Total including VAT
- Trading Charge VAT amount receivable subtotals of
 1. Trade value
 2. VAT amount
 3. Total including VAT
- For each Default charges Advice Note detail item
 1. VAT amount payable details:
 - Affected Date
 - Backing sheet number
 - VAT code
 - Description

- Trade value
 - VAT rate
 - VAT amount
 - Total including VAT
- 2. VAT amount receivable details:
 - Affected Date
 - Backing sheet number
 - VAT code
 - Description
 - Trade value
 - VAT rate
 - VAT amount
 - Total including VAT
- Default charge VAT amount payable subtotals of
 1. Trade value
 2. VAT amount
 3. Total including VAT
- Default charge VAT amount receivable subtotals of
 1. Trade value
 2. VAT amount
 3. Total including VAT
- For each Ad-Hoc Charge Advice Note detail item
 1. VAT amount payable details:
 - Backing sheet number
 - VAT code
 - Description
 - Trade value
 - VAT rate
 - VAT amount
 - Total including VAT
 2. VAT amount receivable details:
 - Backing sheet number
 - VAT code
 - Description
 - Trade value
 - VAT rate
 - VAT amount
 - Total including VAT
- Ad-Hoc Charge VAT amount payable subtotals of
 1. Trade value
 2. VAT amount
 3. Total including VAT
- Ad-Hoc Charge VAT amount receivable subtotals of
 1. Trade value
 2. VAT amount
 3. Total including VAT
- Trading Charge, Default charge and Ad-Hoc Charge grand totals for
 1. VAT amounts payable:
 - Trade value
 - VAT amount
 - Total including VAT
 2. VAT amounts receivable:
 - Trade value

- VAT amount
- Total including VAT

Backing Sheet also to include support for standard rate VAT and zero rate VAT BSC parties.

3.4 FAA Inbound Interfaces

[Sections 3.4.1-3.4.4 are unchanged]

3.4.5 Funds Accession Agreement and BSC Party Checklist

Interface ID: FAA-I015	Source: BSC Party	Title: Funds Accession Agreement	ITT reference:
Mechanism: Manual Hardcopy by post	Frequency: BSC Party Registration & ongoing changes as applicable	Volumes: Low: 100 Funds Accession Agreements to process at market start-up Average: 200 Funds Accession Agreements to process at market start-up High: 300 Funds Accession Agreements to process at market start-up	
Interface Requirements: BSC Party details will include: <ul style="list-style-type: none">• Contact Details:<ul style="list-style-type: none">➤ BSC Party Name➤ BSC Party Identifier➤ Contact Name➤ Address➤ Telephone Number➤ Facsimile Number➤ <u>Contact Email Address (for general queries)</u>➤ <u>Delivery Email Address (for Advice Notes, Confirmation Notices and backing documentation)</u>➤ Registered Company Name➤ Company Number➤ Registered Address➤ VAT Country code (if applicable)➤ VAT Registration number (if applicable)➤ Clarification of whether UK VAT is applicable or not• Advice Note Recipient Details:<ul style="list-style-type: none">➤ Contact Name➤ Address➤ Telephone Number➤ Facsimile Number• Other Details<ul style="list-style-type: none">➤ VAT Code➤ Network details:<ul style="list-style-type: none">UsernamePassword			

Node Name Directory

[Sections 3.4.6-3.4.8 are unchanged]