CACoP Principle 13 Consultation

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Summary

The Code Administration Code of Practice (CACoP) defines 12 high level principles that electricity and gas Code Administrators should follow to help protect the interests of small market participants and consumers.

The Code Panels propose to add a new principle on cross Code coordination to the existing CACoP. We present the draft of this new principle in this document and invite market participants and any other interested parties to provide any comments by 19 June 2015.

There are two parts to this document:

- This is the main document. It provides the background of the CACoP and the Code Panels' rationale to include Principle 13 to the CACoP.
- Attachment A contains the specific questions on which we seek your views. Please use this form to provide your response to these questions, and to record any further views or comments you wish to be considered.

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1 Background of the CACoP

The CACoP document was established following the Authority's Code Governance Review in June 2010. It defines high level principles that electricity and gas Code Administrators should endeavour to follow in order to facilitate convergence and transparency in Code modification processes, and to help protect the interests of small market participants and consumers.

To date, the following Code Administrators have adopted the CACoP:

Code Administrators	
Code Administrator	Code(s)
ElectraLink Ltd	• Distribution Connection Use of System Agreement (DCUSA) • Supply Point Administrator Agreement (SPAA)
ELEXON	• Balancing and Settlement Code (BSC)
Energy Network Association	• Distribution Code (DCode)
Gemserv	• iGT Uniform Network Code (IGT UNC) • Master Registration Agreement (MRA) • Smart Energy Code (SEC)
Joint Office of Gas Transporters	• Uniform Network Code (UNC)
National Grid Electricity Transmission plc	• Connection and Use of System Code (CUSC) • Grid Code • System Operator – Transmission Owner Code (STC)

The CACoP is reviewed at least annually by a group consisting of the Code Administrators that have adopted the CACoP and users of these Codes. As part of the 2014 annual CACoP review, the Code Administrators proposed a number of housekeeping changes to the CACoP. The Authority approved these changes on 26 February 2015 and published the latest version of the CACoP on its website. The Code Administrators have also published the latest version of the CACoP on their respective websites.

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2 New CACoP Principle 13 'Cross Code Coordination'

The Balancing and Settlement Code (BSC) Panel, at its December 2014 meeting, raised a concern around how cross Code changes are coordinated between different Code Administrators. The BSC Panel believed that Code Administrators should develop a new CACoP principle to cover cross Code coordination, to ensure that cross Code changes are progressed efficiently between the relevant Code Administrators. The other Code Panels agreed with this view.

CACoP Consultation Question
Do you agree with the Code Panels that Principle 13 'cross Code coordination' should be added to the CACoP? *Please provide your rationale.*
The Code Panels invite you to give your views using the response form in Attachment A

The Code Administrators have subsequently developed the following draft Principle 13:

Principle 13: Code Administrators will ensure cross Code coordination to progress changes efficiently where modifications impact multiple Codes

Principle description

Code Administrators will communicate, coordinate and work with each other on modifications that impact multiple Codes to ensure changes are progressed efficiently.

Guidance on meeting the Principle

To achieve this principle Code Administrators should:

- seek views from Code Panels, Workgroups and market participants in order to anticipate, identify and discuss related cross Code changes and their impacts.
- proactively update the Code Panels regularly on relevant cross Code changes.
- inform the Code Panels about any related cross Code changes when they make a decision on any modifications.
- proactively inform market participants when cross Code impacts are identified and seek engagement from the relevant market participants in cross Code changes.
- have joint or back to back Workgroup meetings as much as possible on related cross Code changes.
- work together to coordinate the progression and implementation timetables of related cross Code changes.
- if practically possible, encourage representatives from other Codes to join the Workgroup meetings when there are cross Code impacts.
- if practically possible, coordinate to send a package of related cross Code changes to the Authority.
- encourage Workgroups and Code Panels to not unduly delay changes due to related cross Code changes.

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As part of the development of Principle 13, revisions have been made to incorporate comments from the Code Panels. The BSC Panel believed that the guidance under this Principle should also cover industry engagement in cross Code changes and that the Code Administrators should communicate with industry stakeholders to be able to anticipate upcoming cross Code changes. The Connection and Use of System Code (CUSC) Panel suggested Principle 13 should reflect that Code Administrators may not always be able to proactive identify cross Code issues. These comments have been incorporated into the latest drafting of the new principle.

The Code Panels unanimously agreed that the description and guidance provided by the draft Principle 13 cover the obligations that Code Administrators should fulfil to facilitate effective working across Codes. The Panels noted that detailed working practices will be developed by Code Administrators.

CACoP Consultation Question
Do you agree with the Code Panels that the draft text of Principle 13 delivers the intention of this Principle? *Please provide your rationale. If your answer is 'no', please describe how it should be amended.*
The Code Panels invite you to give your views using the response form in Attachment A

ELEXON has issued this consultation on behalf of the other Code Administrators listed in section 1 and invites market participants and any other interested parties to respond by 19 June 2015.

3 Responding to the consultation

We invite you to respond to the consultation questions using the questionnaire in Attachment A.

4 Next steps

The Code Panels will review the industry responses to this consultation and make a recommendation to the Authority on whether Principle 13 should be approved. It is expected that all Code Panels will review these comments and make their recommendations by the end of July 2015.

For more information, please contact:

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