

|   |  |
|---|--|
| <p align="center"><b>Change Proposal – BSCP40/02</b></p>  | <p><b>CP No: 1438</b></p> <p><i>Version No: 1.0</i><br/>(mandatory by BSCCo)</p> |
| <p><b>Title</b> (mandatory by originator)</p> <p>Clarification of information required for raising a Trading Dispute</p>  |  |
| <p><b>Description of Problem/Issue</b> (mandatory by originator)</p> <p><b>Background</b></p> <p>The <a href="#">Trading Disputes</a> process provides a mechanism for correcting Settlement Errors though the recalculation of energy, which may result in amended Trading Charges. <a href="#">BSCP11 ‘Trading Disputes’</a> sets out the procedures that need to be followed when raising and progressing a Trading Dispute.</p> <p>A ‘lessons learned’ exercise was conducted following consideration of Trading Dispute DA618. The exercise took into account the views of both <a href="#">BSC Panel</a> Members and <a href="#">Trading Disputes Committee</a> (TDC) Members. It identified ambiguities in the documentation underpinning the Trading Disputes process and recommended that BSCP11 be amended to clarify the requirements associated with raising a Trading Dispute. The Panel endorsed the findings of this work in September 2014.</p> <p><b>Issue</b></p> <p>BSCP11 Section 5.1.1 requires a Raising Party to “<i>Identify [the] alleged Settlement Error and raise a Trading Dispute</i>” using Form BSCP11/01 and in accordance with BSCP11 Section 1.4. However, the wording of Section 1.4 does not make it clear that the alleged Settlement Error must be identified, and this is only implied in the BSCP11/01 Trading Dispute form. These inconsistencies can impact the efficient investigation into alleged Trading Disputes.</p> |  |
| <p><b>Proposed Solution</b> (mandatory by originator)</p> <p>CP1438 seeks to align Sections 1.4 and 5.1.1 in BSCP11 to remove ambiguity and clarify that in order for a BSCP11/01 form to be accepted by ELEXON it must:</p> <ul style="list-style-type: none"> <li>• identify a breach of the BSC or Code Subsidiary Document; and</li> <li>• indicate the relevant Settlement Error</li> </ul> <p>This will be done by adding an additional bullet point into BSCP11 Section 1.4. This CP also looks to update the BSCP11/01 Trading Dispute form to ensure it is consistent with Sections 1.4 and 5.1.1.</p>   |  |
| <p><b>Justification for Change</b> (mandatory by originator)</p> <p>As per BSCP11 Section 5.1.1, the Raising Party must identify the section of the BSC which has been breached and provide the related Settlement Error in order for the Trading Dispute to be accepted by ELEXON. We believe that aligning BSCP11 Section 1.4 with 5.1.1, and updating the BSCP11/01 form, will avoid any confusion over what information is required when submitting a Trading Dispute. This will also make the end to end process more efficient as the Raising Party will provide what is needed to progress a Trading Dispute.</p>  |  |

|   |
|---|
| <p><b>To which section of the Code does the CP relate, and does the CP facilitate the current provisions of the Code?</b> (mandatory by originator)</p> <p>The CP relates to BSC Section W 'Trading Disputes'.</p>                                  |
| <p><b>Estimated Implementation Costs</b> (mandatory by BSCCo)</p> <p>The estimated implementation costs associated with CP1438 are £240 (equating to 1 ELEXON man day).</p>   |
| <p><b>Configurable Items Affected by Proposed Solution(s)</b> (mandatory by originator)</p> <p>BSCP11 'Trading Disputes'</p>  |
| <p><b>Impact on Core Industry Documents or System Operator-Transmission Owner Code</b> (mandatory by originator)</p> <p>None</p>  |
| <p><b>Related Changes and/or Projects</b> (mandatory by BSCCo)</p> <p>This CP relates to the Trading Dispute DA618 Lessons Learned project.</p>   |
| <p><b>Requested Implementation Date</b> (mandatory by originator)</p> <p>5 November 2015, as part of the November 2015 BSC Release.</p> <p><b>Reason:</b></p> <p>This is the next available BSC Release date.</p>                                   |
| <p><b>Version History</b> (mandatory by BSCCo)</p> <p>Version 1.0</p>   |
| <p><b>Originator's Details:</b> .....Kat Higby</p> <p><b>Organisation:</b> .....ELEXON</p> <p><b>Email Address:</b> .....katharine.higby@elexon.co.uk</p> <p><b>Telephone Number:</b> .....0207 380 4184</p> <p><b>Date:</b> .....30 March 2015</p> |
| <p>Attachments: <b>Yes</b></p> <p><b>Attachment A – draft redlined changes to BSCP11</b></p>  |