

## CP1428 'Inclusion of Party Agents in the event of Post Final Settlement Runs'



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### About This Document

The purpose of this Change Proposal (CP) Consultation for CP1428 is to invite BSC Parties, Party Agents and other interested parties to provide their views on the impacts and the merits of CP1428. The Trading Disputes Committee (TDC) will then consider the consultation responses before making a decision on whether or not to approve CP1428.

There are three parts to this document:

- This is the main document. It provides details of the solution, impacts, costs, and proposed implementation approach. It also summarises the TDC's initial views on the proposed changes.
- Attachment A contains the proposed redlined changes to deliver the CP1428 solution.
- Attachment B contains the specific questions on which we seek your views. Please use this form to provide your response to these questions, and to record any further views or comments you wish to be considered.

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CP1428  
CP Consultation

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# 1 Why Change?

## Background

The Balancing and Settlement Code (BSC) Trading Disputes process is overseen by the TDC and its procedures are defined in [Balancing and Settlement Code Procedure \(BSCP\) 11 'Trading Disputes'](#).

When a Trading Dispute is upheld, the TDC would notify the relevant Parties and authorise that corrective actions be taken to rectify the Settlement Errors. Some of such corrective actions would take place in the Post Final Settlement Run (PFSR). For a Supplier to correct the Settlement Errors, it needs to notify both its Data Aggregator (DA) and Data Collector (DC) in order for them to enter the correct values into the systems.

## What is the issue?

BSCP11 currently states that a Supplier must inform their 'Relevant Agents' when a Settlement Error occurs. The Proposer has a concern that problems could arise when the Settlement Error needs to be corrected through a PFSR. This is because when a Supplier's DA and DC functions are administered by different companies, the Proposer considers it possible that the Supplier only informs the DC and inadvertently forgets about the DA. As a result, the DA could potentially reject the corrective actions if they had stopped performing PFSR and therefore the Settlement Error cannot be corrected.

### Proposed solution

[CP1428 'Inclusion of Party Agents in the event of Post Final Settlement Runs'](#) has been raised by RWE npower. It proposes amendments to the existing BSCP11 section 5.4 to mandate that a Supplier must inform both its DA and DC to perform any corrective actions when it receives the TDC's authorisation to rectify a Settlement Error. This would introduce an additional control to the current process that ensures Settlement Errors are rectified by PFSR.

#### CP Consultation Question

Do you agree with the CP1428 proposed solution?

*Please provide your rationale.*

We invite you to give your views using the response form in Attachment B

### Proposed redlining

The draft redlining to BSCP11 to implement CP1428 is included in Attachment A of this document.

#### CP Consultation Question

Do you agree that the draft redlining delivers the CP1428 proposed solution?

*If 'No', please provide your rationale.*

We invite you to give your views using the response form in Attachment B

### 3 Impacts and Costs

#### Central impacts and costs

##### Central impacts

CP1428 will require updates to BSCP11 to implement the proposed solution. No system changes will be required for this CP.

Central Impacts	
Document Impacts	System Impacts
<ul style="list-style-type: none"><li>BSCP11</li></ul>	<ul style="list-style-type: none"><li>None</li></ul>

##### Central costs

The central implementation costs for CP1428 will be approximately £240 (1 man day) for ELEXON to implement the relevant document changes. There are no BSC Agent costs or impacts.

#### BSC Party & Party Agent impacts and costs

CP1428 is not expected to impact BSC Parties or Party Agents as it seeks only to add clarifications to BSCP11.

CP Consultation Questions
Will CP1428 impact your organisation? <i>If 'Yes', please provide a description of the impact(s) on your organisation and any activities which you will need to undertake between the approval of CP1428 and the CP1428 Implementation Date (including any necessary changes to your systems, documents and processes). Where applicable, please state which of the roles that you operate as will be impacted and any differences in the impacts between each role.</i>
Will your organisation incur any costs in implementing CP1428? <i>If 'Yes', please provide details of these costs, how they arise and whether they are one-off or on-going costs.</i>
We invite you to give your views using the response form in Attachment B

## 4 Implementation Approach

### Recommended Implementation Date

CP1428 is proposed for implementation on 25 June 2015 as part of the June 2015 BSC Systems Release.

The Proposer believes that this CP should be implemented as soon as possible to minimise the risk of any Settlement Error not being corrected by PFSR. The June 2015 Release is the earliest possible Release this CP can be included in.

#### CP Consultation Question

Do you agree with the proposed implementation approach for CP1428?

*Please provide your rationale.*

We invite you to give your views using the response form in Attachment B

## 5 Initial Committee Views

### TDC's initial views

CP1428 was presented to the TDC for initial comment at its meeting on 5 February 2015 ([TDC197/01](#)).

The TDC agreed that CP1428 would help clarify the Settlement Error correction process for Suppliers and introduce an additional control to the process.

One TDC Member considered whether another useful control may be to have Suppliers proactively confirm any correction of Settlement Errors back to ELEXON.

## Appendix 1: Glossary & References

### Acronyms

Acronyms used in this document are listed in the table below.

Acronyms	
Acronym	Definition
BSC	Balancing and Settlement Code ( <i>document</i> )
BSCP	Balancing and Settlement Code Procedure ( <i>document</i> )
CPC	Change Proposal Circular
DA	Data Aggregator ( <i>Supplier Agent</i> )
DC	Data Collector ( <i>Supplier Agent</i> )
PFSR	Post Final Settlement Run
TDC	Trading Disputes Committee ( <i>Panel Committee</i> )

### External links

A summary of all hyperlinks used in this document are listed in the table below.

All external documents and URL links listed are correct as of the date of this document.

External Links		
Page(s)	Description	URL
2	BSCP page on the ELEXON website	<a href="https://www.elexon.co.uk/bsc-related-documents/related-documents/bscps/">https://www.elexon.co.uk/bsc-related-documents/related-documents/bscps/</a>
3	CP1428 page on the ELEXON website	<a href="https://www.elexon.co.uk/change-proposal/cp1428/">https://www.elexon.co.uk/change-proposal/cp1428/</a>
5	TDC 197 meeting page on the ELEXON website	<a href="https://www.elexon.co.uk/meeting/tdc-197-2/">https://www.elexon.co.uk/meeting/tdc-197-2/</a>