

CP1428 'Inclusion of Party Agents in the event of Post Final Settlement Runs'



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About This Document

This document is the CP1428 Final CP Report which ELEXON has published following the final decision from the Trading Disputes Committee (TDC) to approve CP1428.

There are three parts to this document:

- This is the main document. It provides details of the solution, impacts, costs, and proposed implementation approach. It also summarises the TDC's views on the proposed changes and the views of respondents to the CP Consultation, along with the final decision to approve this change.
- Attachment A contains the approved redlined changes to deliver the CP1428 solution.
- Attachment B contains the full responses received to the CP Consultation.

CP1428
Final CP Report

13 April 2015

Version 1.0

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1 Why Change?

Background

The Balancing and Settlement Code (BSC) Trading Disputes process is overseen by the TDC. Its procedures are defined in [Balancing and Settlement Code Procedure \(BSCP\) 11 'Trading Disputes'](#).

When a Trading Dispute is upheld, the TDC notifies the relevant Parties and authorise that corrective actions be taken to rectify the Settlement Errors. Some corrective actions may take place in the Post Final Settlement Run (PFSR). For a Supplier to correct the Settlement Errors, it needs to notify both its Data Aggregator (DA) and Data Collector (DC) in order for them to enter the correct values into Settlement.

What is the issue?

BSCP11 currently states that a Supplier must inform their 'Relevant Agents' when a Settlement Error occurs. The Proposer has a concern that problems could arise when the Settlement Error needs to be corrected through a PFSR. This is because when a Supplier's DA and DC functions are administered by different companies, the Proposer considers it possible that the Supplier only informs the DC and inadvertently forgets about the DA. As a result, the DA could potentially reject the corrective actions if it had stopped performing PFSR and therefore the Settlement Error cannot be corrected.

2 Solution

Approved solution

[CP1428 'Inclusion of Party Agents in the event of Post Final Settlement Runs'](#) was raised by RWE npower. It will make amendments to the existing BSCP11 section 5.4 to mandate that a Supplier must inform both its DA and DC to perform any corrective actions when it receives the TDC's authorisation to rectify a Settlement Error. This would introduce an additional control to the current process that ensures Settlement Errors are rectified by PFSR.

Approved redlining

The approved redlining to BSCP11 to implement CP1428 is included in Attachment A of this document.

3 Impacts and Costs

Central impacts and costs

Central impacts

CP1428 will require updates to BSCP11 to implement the proposed solution. No system changes will be required for this CP.

Central Impacts	
Document Impacts	System Impacts
<ul style="list-style-type: none">BSCP11	<ul style="list-style-type: none">None

Central costs

The central implementation costs for CP1428 will be approximately £240 (1 man day) for ELEXON to implement the relevant document changes. There are no BSC Agent costs or impacts.

BSC Party & Party Agent impacts and costs

Participant impacts

BSC Party & Party Agent Impacts	
BSC Party/Party Agent	Impact
Suppliers	A process needs to be put in place to ensure that Suppliers notify both their DAs and DCs when a Settlement Error needs to be rectified.

Participant costs

There will be no costs for participants to implement CP1428.

4 Implementation Approach

Approved Implementation Date

CP1428 will be implemented on 25 June 2015 as part of the June 2015 BSC Systems Release.

The Proposer believes that this CP should be implemented as soon as possible to minimise the risk of any Settlement Error not being corrected by PFSR. The June 2015 Release is the earliest possible Release this CP can be included in.

All the respondents to CP1428 agreed with the proposed Implementation Date.

5 Initial Committee Views

TDC's initial views

CP1428 was presented to the TDC for initial comment at its meeting on 5 February 2015 ([TDC197/01](#)).

The TDC agreed that CP1428 would help clarify the Settlement Error correction process for Suppliers and would introduce an additional control to the process.

One TDC Member considered another useful control being that Suppliers proactively confirm any correction of Settlement Errors back to ELEXON.

6 Industry Views

This section summarises the responses received to the CP Consultation. You can find the full responses in Attachment B.

Summary of CP1428 Consultation Responses		
Question	Yes	No
Do you agree with the CP1428 proposed solution?	6	0
Do you agree that the draft redlining delivers the intent of CP1428?	6	0
Will CP1428 impact your organisation?	2	4
Will your organisation incur any costs in implementing CP1428?	0	6
Do you agree with the proposed implementation approach for CP1428?	6	0
Do you have any further comments on CP1428?	1	5

Comments on the CP

All respondents agreed with CP1428.

Two participants believed that there will be impacts on them to implement CP1428, which relate to ensuring the new process is put in place. One of them noted that this is a process that they already undertake.

One respondent confirmed that the defect identified under the CP does exist and CP1428 provides the right solution to fix it. The respondent also echoed the TDC's initial view that another solution could be that for Suppliers to proactively confirm correction of Settlement Errors to ELEXON. We agreed with the TDC and the respondent's view and have introduced this additional control to our internal working practice.

Comments on the proposed redlining

All respondents agreed with the proposed redlining to BSCP11 and provided no further comments.

7 Final Committee Views and Decision

TDC's final views

We presented CP1428 to the TDC on 2 April 2015 ([TDC199/01](#)) for decision.

The TDC did not have any further comments on CP1428.

Final decision

The TDC has:

- **APPROVED** CP1428 for implementation on 25 June 2015 (as part of the June 2015 Release).

Appendix 1: Glossary & References

Acronyms

Acronyms used in this document are listed in the table below.

Acronyms	
Acronym	Definition
BSC	Balancing and Settlement Code (<i>document</i>)
BSCP	Balancing and Settlement Code Procedure (<i>document</i>)
CPC	Change Proposal Circular
DA	Data Aggregator (<i>Supplier Agent</i>)
DC	Data Collector (<i>Supplier Agent</i>)
PFSR	Post Final Settlement Run
TDC	Trading Disputes Committee (<i>Panel Committee</i>)

External links

A summary of all hyperlinks used in this document are listed in the table below.

All external documents and URL links listed are correct as of the date of this document.

External Links		
Page(s)	Description	URL
2	BSCP page on the ELEXON website	https://www.elexon.co.uk/bsc-related-documents/related-documents/bscps/
2	CP1428 page on the ELEXON website	https://www.elexon.co.uk/change-proposal/cp1428/
4	TDC 197 meeting page on the ELEXON website	https://www.elexon.co.uk/meeting/tdc-197-2/
6	TDC 199 meeting page on the ELEXON website	https://www.elexon.co.uk/meeting/tdc-199/