

# **P309 – examples of ECVAAs system processing notifications**

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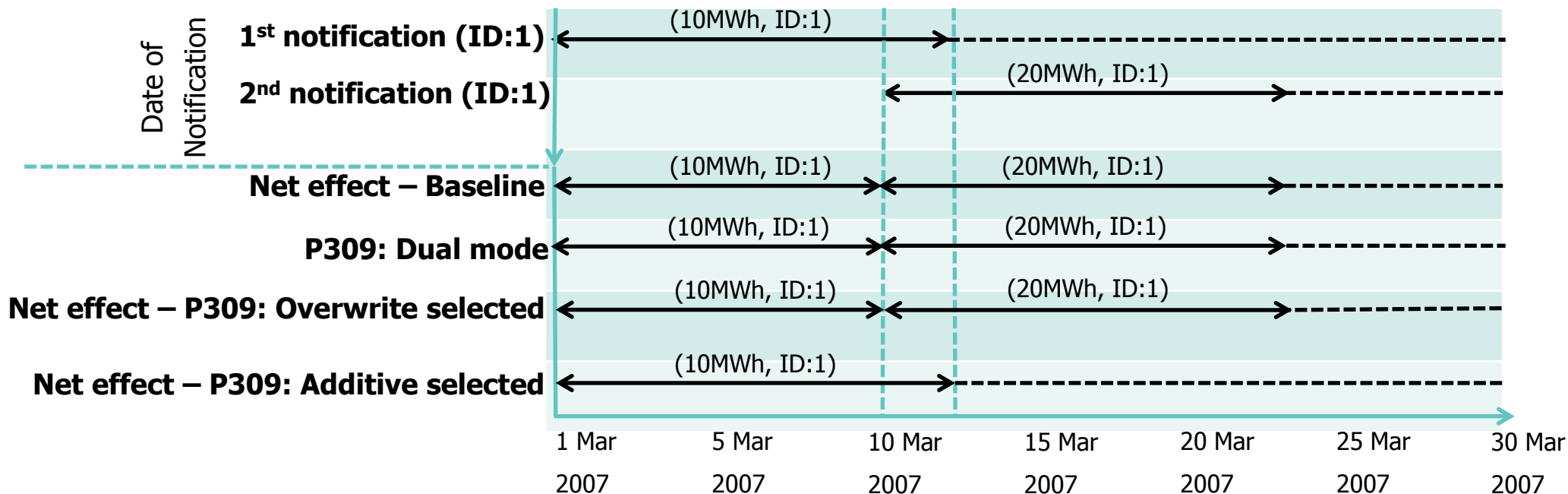
## P309 – examples of ECVAA system processing notifications

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- Various examples of the notifications submitted to the ECVAA system are provided which should be used in conjunction with the draft legal text
- Examples include those notifications with effective from/to periods and those in perpetuity
- For each example additional rows were completed to show the net effect under the:
  - Current arrangements
  - P309 Dual mode (new drafting which includes 'initial' notifications)
  - P309 solution – authorisation set to 'overwrite only' (replacement – same as baseline)
  - P309 solution – authorisation set to 'additive only' (additional)

# P309 – scenario 1

- Two notifications, same IDs, overlap of effective (i.e. from/to) periods



## Commentary on 'Net effect' rows

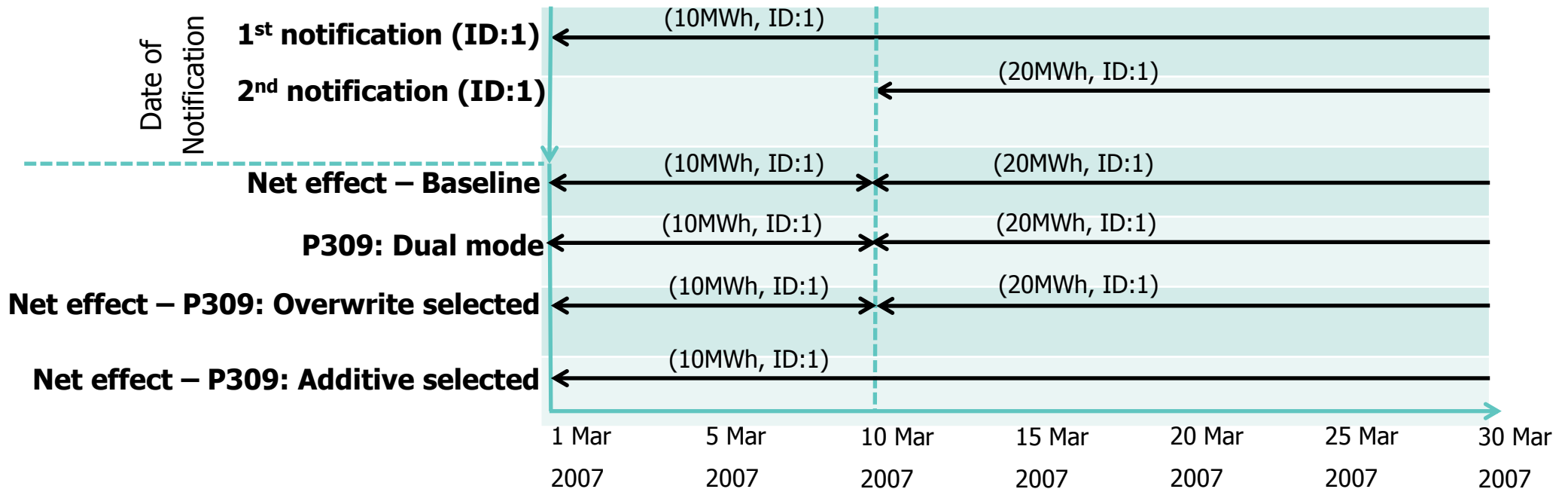
- Baseline: 2<sup>nd</sup> notification is an overwrite because IDs are the same; after last applicable 'Effective to' date, zero/null contract volume applied.
- P309 Dual mode: Same scenario as current baseline.
- P309 solution if 'overwrite' selected:
  - Overwrite, as baseline.
- P309 solution – 'additive only' selected
  - 2<sup>nd</sup> notification rejected because it is an overwrite of the 1<sup>st</sup> (ie same ID) but in additive mode.
  - 1<sup>st</sup> notification remains in effect until its 'Effective to' date.

## Key:

- (10MWh, ID:1) ECVN of volume 'X' MWh, ID number 'Y'
- < From date
- > To date
- ← Effective in perpetuity (no To date)
- Zero contracted volume assumed in perpetuity (no notification applicable)

# P309 – scenario 1P (in perpetuity)

- Two notifications, same IDs, overlap of effective (i.e. from/to) periods



## Commentary on 'Net effect' rows

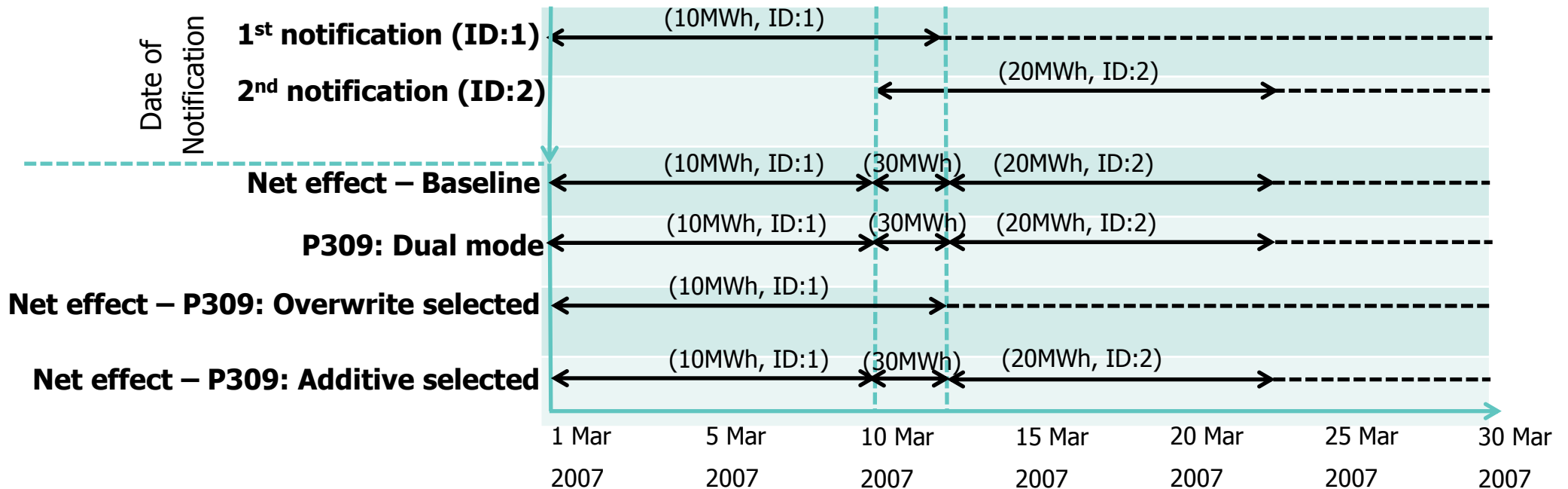
- Baseline: 2<sup>nd</sup> notification is an overwrite because IDs are the same.
- P309 Dual mode: Same scenario as current baseline.
- P309 solution – 'overwrite only' (replacement) selected:
  - Overwrite, as baseline.
- P309 solution – 'additive only' selected:
  - 2<sup>nd</sup> notification rejected because same ID but in Add mode.
  - 1<sup>st</sup> notification remains in effect.

## Key:

- (10MWh, ID:1) → ECVN of volume 'X' MWh, ID number 'Y'
- < From date
- > To date
- ← Effective in perpetuity (no To date)
- Zero contracted volume assumed in perpetuity (no notification applicable)

## P309 – scenario 2

- Two notifications, different IDs, overlap of effective (i.e. from/to) periods



### Commentary on 'Net effect' rows

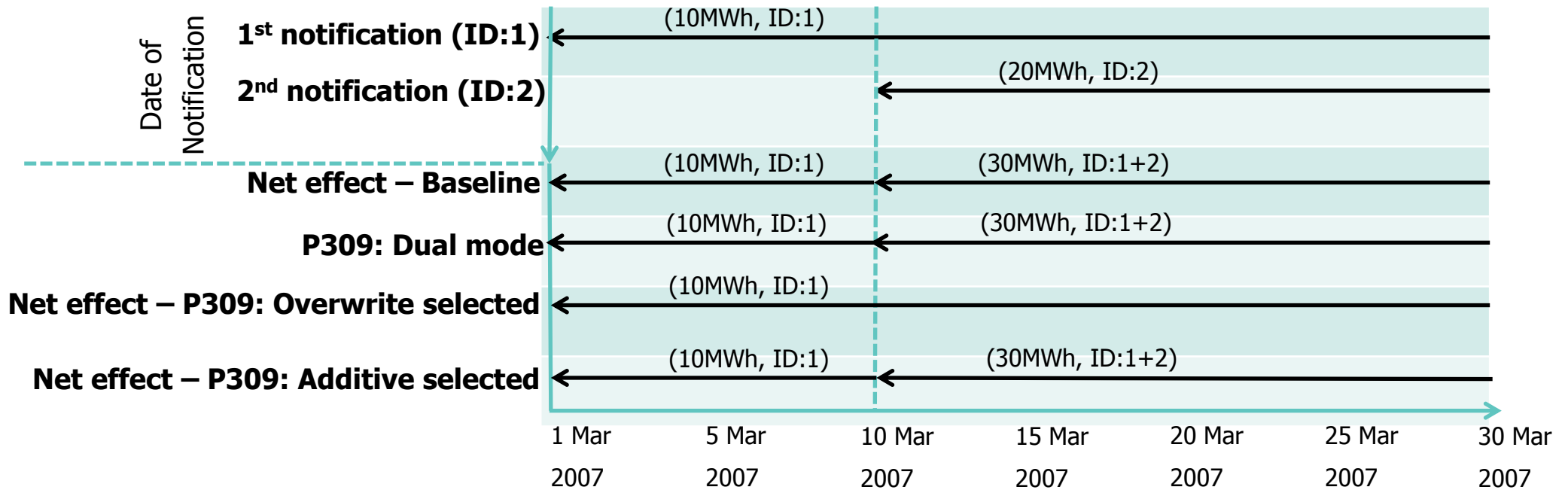
- Baseline: 2<sup>nd</sup> notification considered 'additive' in relation to the 1<sup>st</sup> notification as the IDs are different.
- P309: Dual mode: Same scenario as current baseline (note that 2<sup>nd</sup> notification meets the further criterion for an additive notification under P309, that there is an overlap of the effective periods).
- P309 solution if Overwrite selected: 2<sup>nd</sup> notification would be rejected as 'overwrite' has been selected as the mode of operation so would be invalid.
- P309 solution if Additive selected: 2<sup>nd</sup> notification would be accepted and added to the 1<sup>st</sup> notification as there is an overlap as the effective to date of the 1<sup>st</sup> notification is after the effective from date of the 2<sup>nd</sup> notification.

### Key:

- (10MWh, ID:1) → ECVN of volume 'X' MWh, ID number 'Y'
- < From date
- > To date
- ← Effective in perpetuity (no To date)
- Zero contracted volume assumed in perpetuity (no notification applicable)

# P309 – scenario 2P (in perpetuity)

- Two notifications, different IDs, overlap of effective (i.e. from/to) periods



## Commentary on 'Net effect' rows

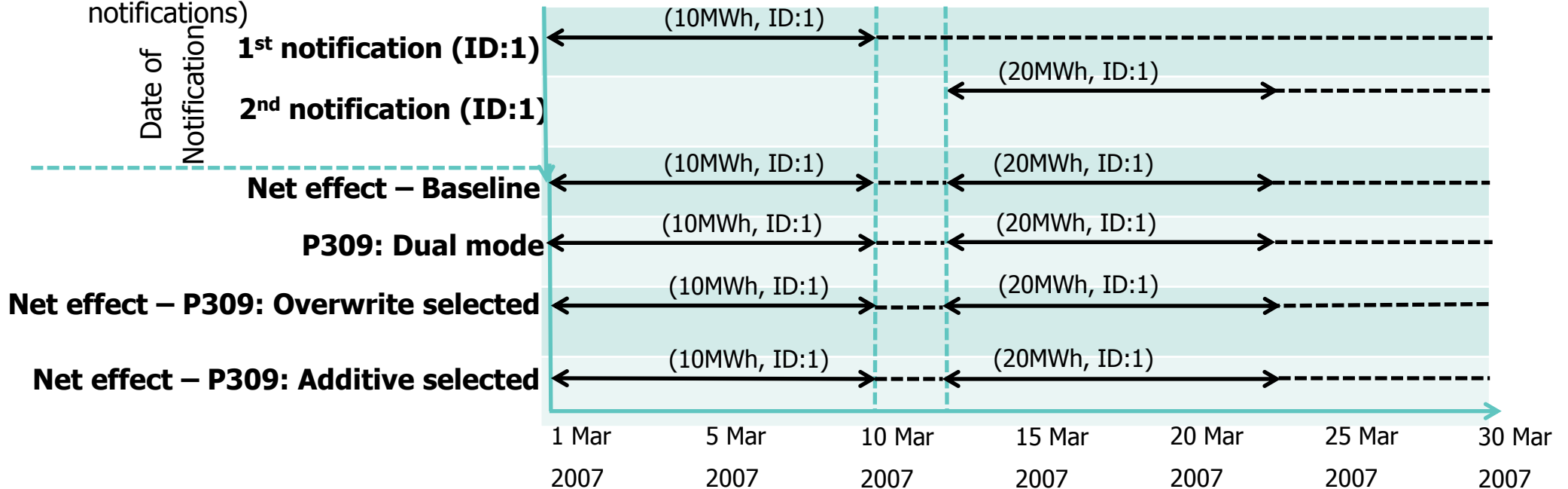
- Baseline: 2<sup>nd</sup> notification considered 'additive' in relation to the 1<sup>st</sup> notification as the IDs are different.
- P309 Dual mode: Same scenario as current baseline.
- P309 solution if Overwrite selected: 2<sup>nd</sup> notification would be rejected as 'overwrite mode' has been selected as the mode of operation so additive notification would be invalid.
- P309 solution if Additive selected: Same scenario as current baseline.

## Key:

- (10MWh, ID:1) → ECVN of volume 'X' MWh, ID number 'Y'
- < From date
- > To date
- ← Effective in perpetuity (no To date)
- Zero contracted volume assumed in perpetuity (no notification applicable)

## P309 – scenario 3

- Two notifications, same IDs, no overlap of effective (i.e. from/to) periods (Assuming Gate Closure has not passed for any notifications)



### Commentary on 'Net effect' rows

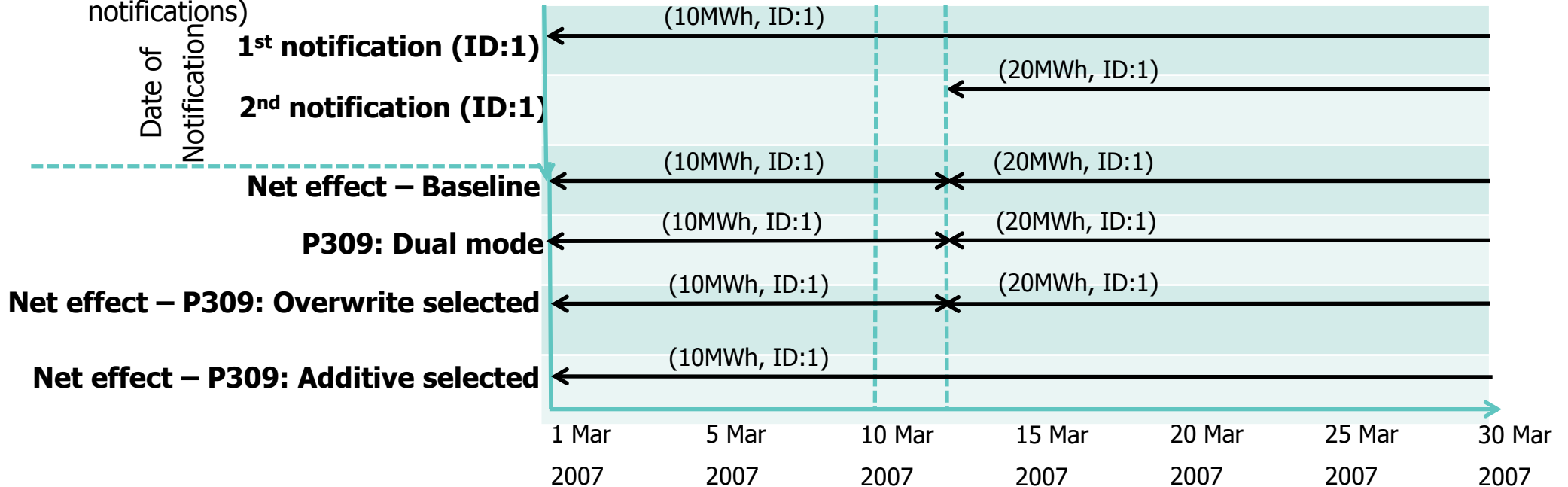
- Baseline: 2<sup>nd</sup> notification 'additive' in relation to the 1<sup>st</sup> notification as although the IDs are the same the effective from date of the 2<sup>nd</sup> notification is not before the effective to date of the 1<sup>st</sup> notification.
- P309 Dual mode: 2<sup>nd</sup> notification will be considered an 'initial' notification because there is no overlap between the 1<sup>st</sup> and 2<sup>nd</sup> notifications so both notifications would be considered valid.
- P309 solution if Overwrite selected: no overlap so 2<sup>nd</sup> notification would be accepted as it is considered a valid 'initial' notification.
- P309 solution if Additive selected: no overlap so 2<sup>nd</sup> notification would be accepted as it is considered a valid 'initial' notification.

### Key:

- (10MWh, ID:1) ECVN of volume 'X' MWh, ID number 'Y'
- < From date
- > To date
- ← Effective in perpetuity (no To date)
- Zero contracted volume assumed in perpetuity (no notification applicable)

# P309 – scenario 3P (in perpetuity)

- Two notifications, same IDs, overlap of effective (i.e. from/to) periods (Assuming Gate Closure has not passed for any notifications)



## Commentary on 'Net effect' rows

- Baseline: 2<sup>nd</sup> notification considered an overwrite to the 1<sup>st</sup> notification as the 1<sup>st</sup> notification has no effective to date and the IDs are the same.
- P309 Dual mode: Same scenario as current baseline.
- P309 solution if Overwrite selected: 2<sup>nd</sup> notification would be accepted as it has the same ID as the 1<sup>st</sup> notification and there is an overlap so it would be considered a valid 'overwrite' notification.
- P309 solution if Additive selected: 2<sup>nd</sup> notification would be rejected as the IDs are the same and there is an overlap so the 2<sup>nd</sup> notification would be considered invalid as an 'overwrite' notification.

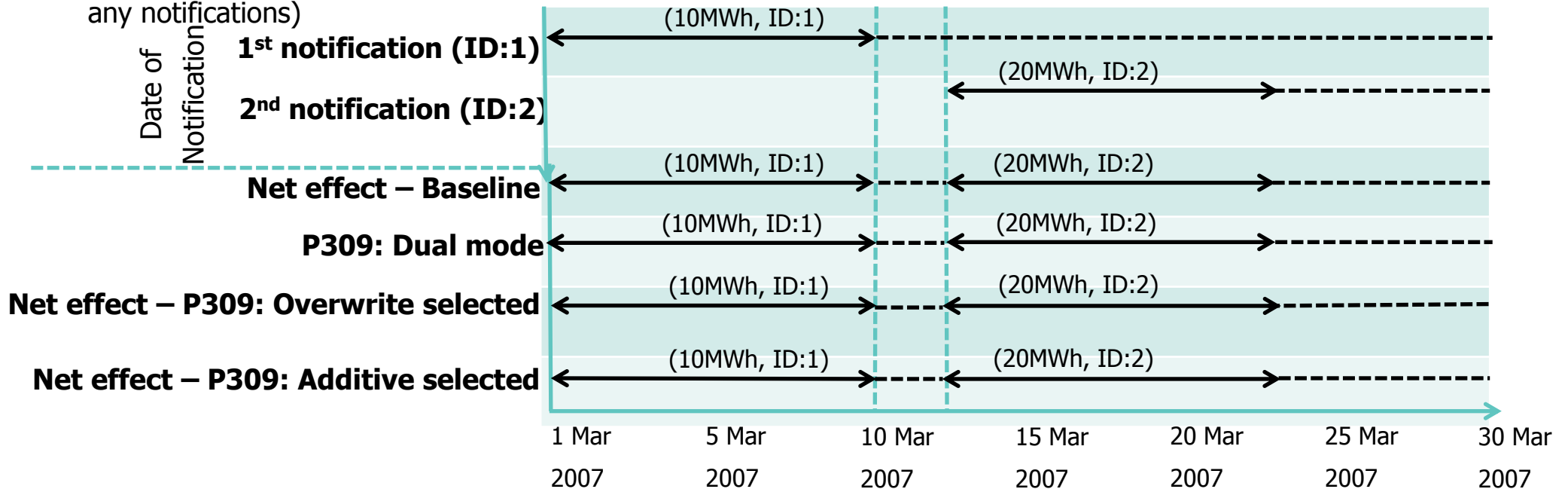
## Key:

- (10MWh, ID:1) ECVN of volume 'X' MWh, ID number 'Y'
- < From date
- > To date
- ← Effective in perpetuity (no To date)
- Zero contracted volume assumed in perpetuity (no notification applicable)



## P309 – scenario 4

- Two notifications, different IDs, no overlap of effective (i.e. from/to) periods (Assuming Gate Closure has not passed for any notifications)



### Commentary on 'Net effect' rows

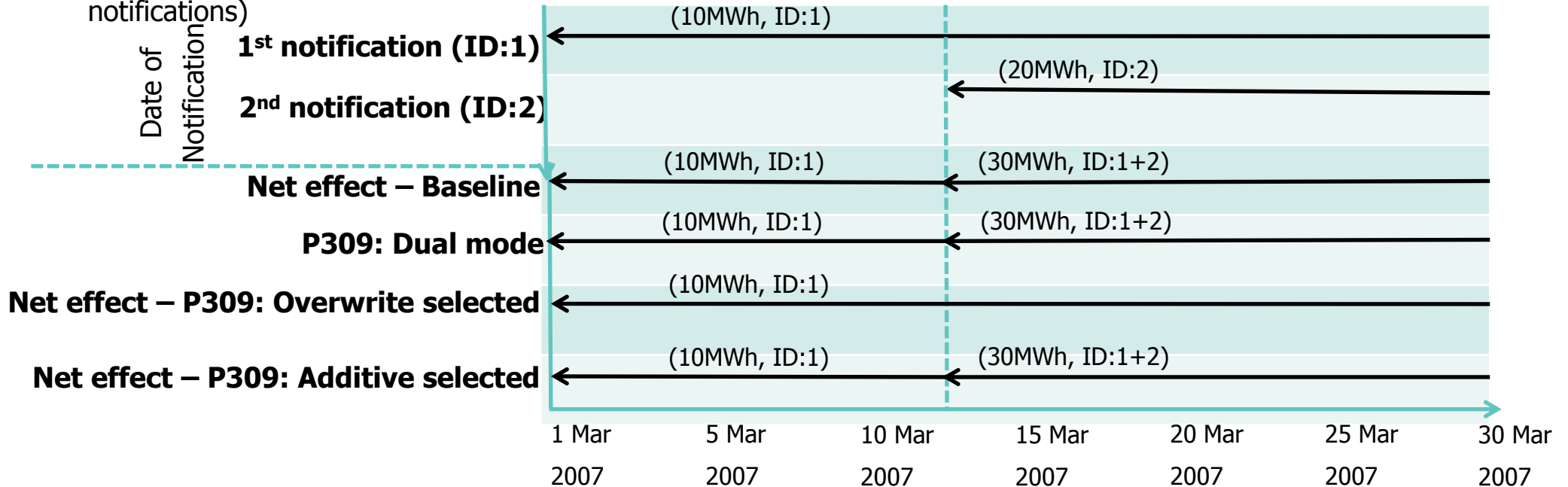
- Baseline: 2<sup>nd</sup> notification 'additive' in relation to the 1<sup>st</sup> notification as the effective from date of the 2<sup>nd</sup> notification is not before the effective to date of the 1<sup>st</sup> notification (the IDs are also different).
- P309: Dual mode: 2<sup>nd</sup> notification will be considered an 'initial' notification as there is no overlap between the 1<sup>st</sup> and 2<sup>nd</sup> notifications so both notifications would be considered valid.
- P309 solution if Overwrite selected: no overlap so 2<sup>nd</sup> notification would be accepted as it is considered a valid 'initial' notification.
- P309 solution if Additive selected: no overlap so 2<sup>nd</sup> notification would be accepted as it is considered a valid 'initial' notification.

### Key:

- (10MWh, ID:1) ECVN of volume 'X' MWh, ID number 'Y'
- < From date
- > To date
- ← Effective in perpetuity (no To date)
- Zero contracted volume assumed in perpetuity (no notification applicable)

# P309 – scenario 4P (in perpetuity)

- Two notifications, different IDs, overlap of effective (i.e. from/to) periods (Assuming Gate Closure has not passed for any notifications)



## Commentary on 'Net effect' rows

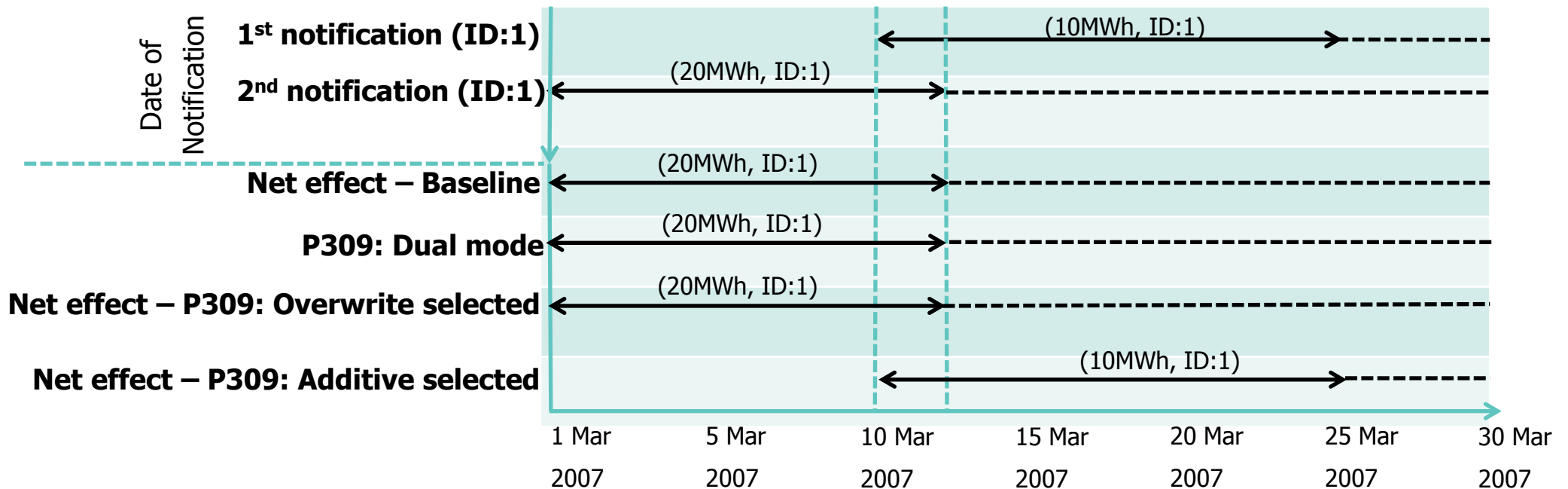
- Baseline: 2<sup>nd</sup> notification will be considered 'additive' in relation to the 1<sup>st</sup> notification as the IDs are different.
- P309: Dual mode: Same scenario as current baseline, but the 2<sup>nd</sup> notification also meets the added P309 criterion for an additive notification of overlapping the 1<sup>st</sup> notification.
- P309 solution if Overwrite selected: 2<sup>nd</sup> notification is considered an 'additive' notification in respect of the 1<sup>st</sup> notification so would be rejected if overwrite was selected as the mode of operation.
- P309 solution if Additive selected: Same scenario as current baseline.

## Key:

- (10MWh, ID:1) → ECVN of volume 'X' MWh, ID number 'Y'
- < From date
- > To date
- ← Effective in perpetuity (no To date)
- Zero contracted volume assumed in perpetuity (no notification applicable)

## P309 – scenario 5

- Two notifications, same IDs, overlap of effective (i.e. from/to) periods, 1st notification starts after 2nd notification

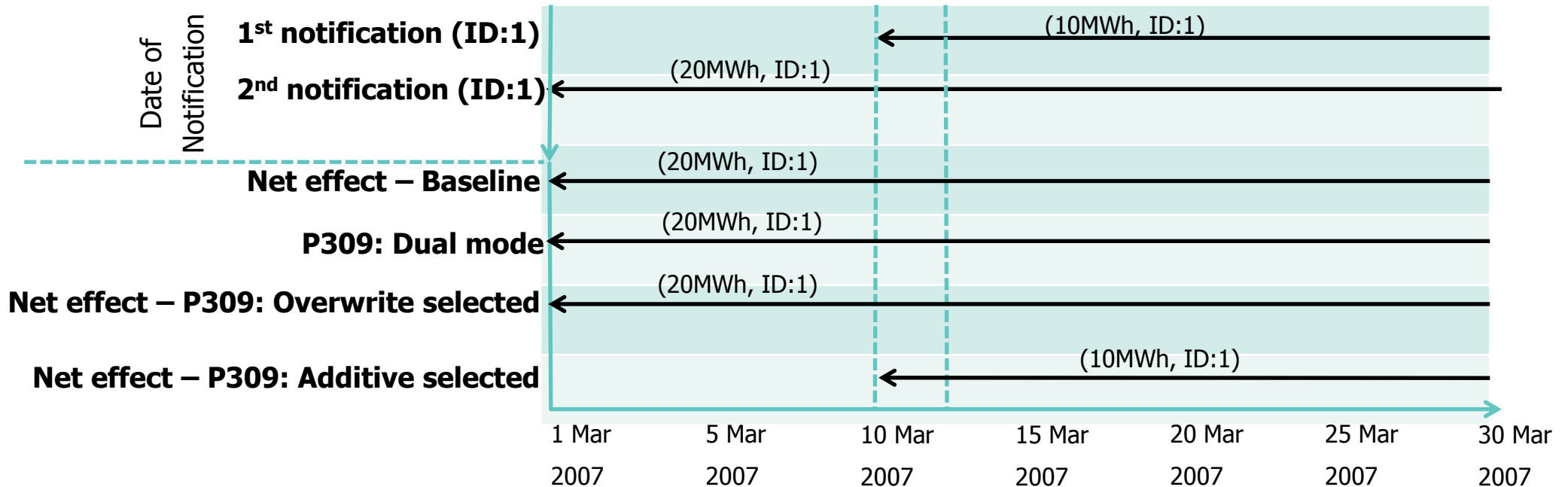


### Commentary on 'Net effect' rows

- Baseline: 2<sup>nd</sup> notification will be considered 'overwrite' in relation to the 1<sup>st</sup> notification as the IDs are the same and the effective from date of the 2<sup>nd</sup> notification is before the effective to date of the 1<sup>st</sup> notification.
- P309: Dual mode: 2<sup>nd</sup> notification will be considered 'overwrite' in relation to the 1<sup>st</sup> notification (not 'initial' or 'additive') as the IDs are the same and the effective from date of the 2<sup>nd</sup> notification is before the effective to date of the 1<sup>st</sup> notification.
- P309 solution if Overwrite selected: 2<sup>nd</sup> notification would 'overwrite' the 1<sup>st</sup> notification as the IDs are the same and the effective from date of the 2<sup>nd</sup> notification is before the effective to date of the 1<sup>st</sup> notification.
- P309 solution if Additive selected: 2<sup>nd</sup> notification would be rejected as the IDs are the same and overwrite data criteria are met, and therefore it would be an 'overwrite' notification so would be considered invalid.

# P309 – scenario 5P (in perpetuity)

- Two notifications, same IDs, overlap of effective (i.e. from/to) periods, 1st notification starts after 2nd notification

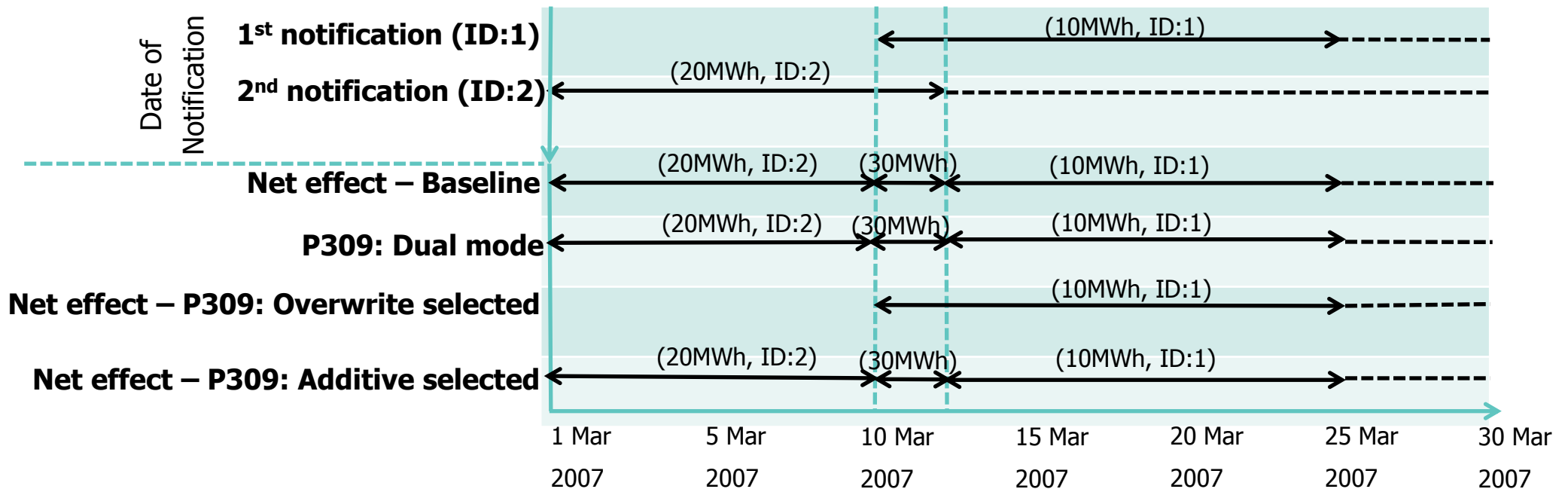


## Commentary on 'Net effect' rows

- Baseline: 2<sup>nd</sup> notification will be considered 'overwrite' in relation to the 1<sup>st</sup> notification as the IDs are the same and the 1<sup>st</sup> notification has no effective to date.
- P309: Dual mode: 2<sup>nd</sup> notification will be considered 'overwrite' in relation to the 1<sup>st</sup> notification (not 'initial' or 'additive') as the IDs are the same and the 1<sup>st</sup> notification has no effective to date.
- P309 solution if Overwrite selected: 2<sup>nd</sup> notification would overwrite the 1<sup>st</sup> notification as the IDs are the same so the overwrite criteria would be met so it would be a valid overwrite notification and the 1<sup>st</sup> notification has no effective to date.
- P309 solution if Additive selected: 2<sup>nd</sup> notification would be rejected as the IDs are the same and overwrite data criteria are met, and therefore it would be an 'overwrite' notification so would be considered invalid.

## P309 – scenario 6

- Two notifications, different IDs, overlap of effective (i.e. from/to) periods, 1st notification starts after 2nd notification

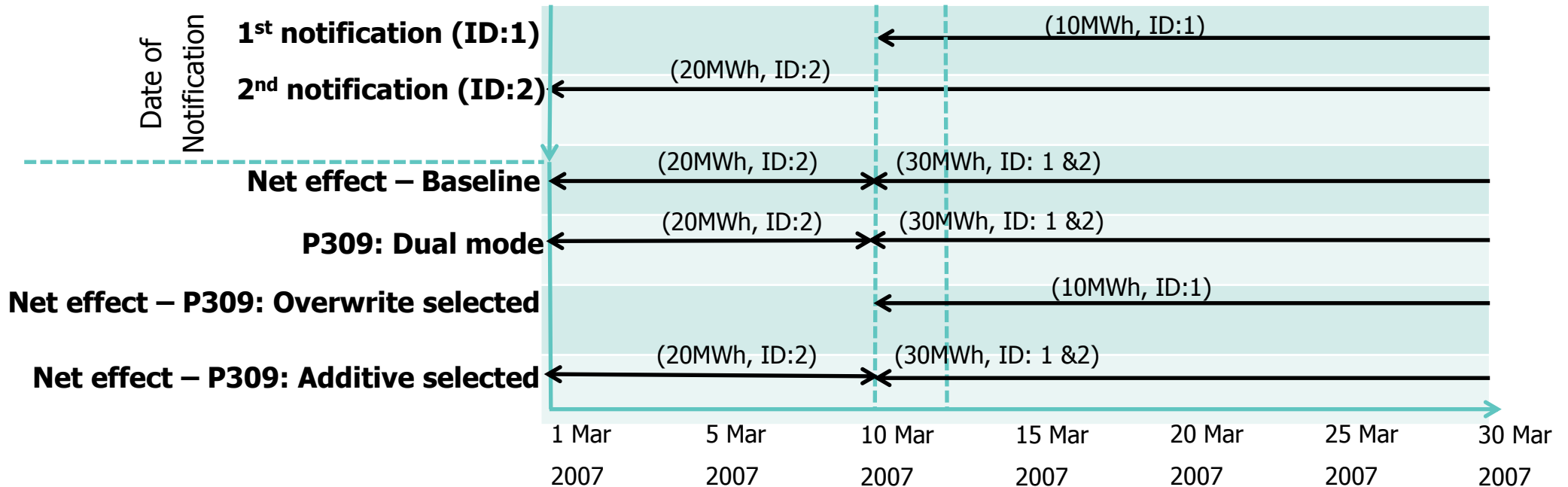


### Commentary on 'Net effect' rows

- Baseline: 2<sup>nd</sup> notification considered 'additive' in relation to the 1<sup>st</sup> notification as the IDs are different.
- P309: Dual mode: 2<sup>nd</sup> notification will be considered 'additive' in relation to the 1<sup>st</sup> notification as the IDs are different and the effective periods of the notifications overlap.
- P309 solution if Overwrite selected: 2<sup>nd</sup> notification would be rejected as the IDs are different so the additive criteria would be met, and therefore it would be an 'additive' notification so would be considered invalid.
- P309 solution if Additive selected: 2<sup>nd</sup> notification would be accepted as the IDs are different so it would be added to the 1<sup>st</sup> notification as it is considered a valid 'additive' notification.

# P309 – scenario 6P (in perpetuity)

- Two notifications, different IDs, overlap of effective (i.e. from/to) periods, 1st notification starts after 2nd notification

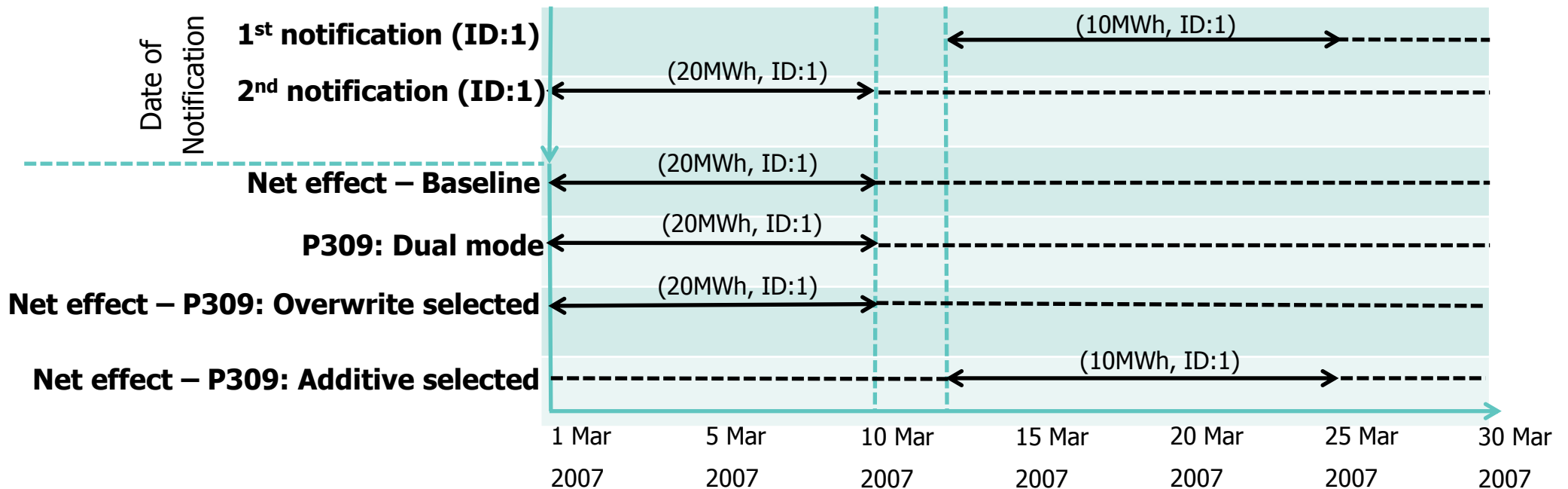


## Commentary on 'Net effect' rows

- Baseline: 2<sup>nd</sup> notification considered 'additive' in relation to the 1<sup>st</sup> notification as the IDs are different.
- P309: Dual mode: Same scenario as current baseline.
- P309 solution if Overwrite selected: 2<sup>nd</sup> notification would be rejected as the IDs are different so the additive criteria would be met, and therefore it would be an 'additive' notification so would be considered invalid.
- P309 solution if Additive selected: 2<sup>nd</sup> notification would be accepted as the IDs are different so it would be added to the 1<sup>st</sup> notification as it is considered a valid 'additive' notification.

## P309 – scenario 7

- Two notifications, same IDs, no overlap of effective (i.e. from/to) periods, 1st notification starts after 2nd notification

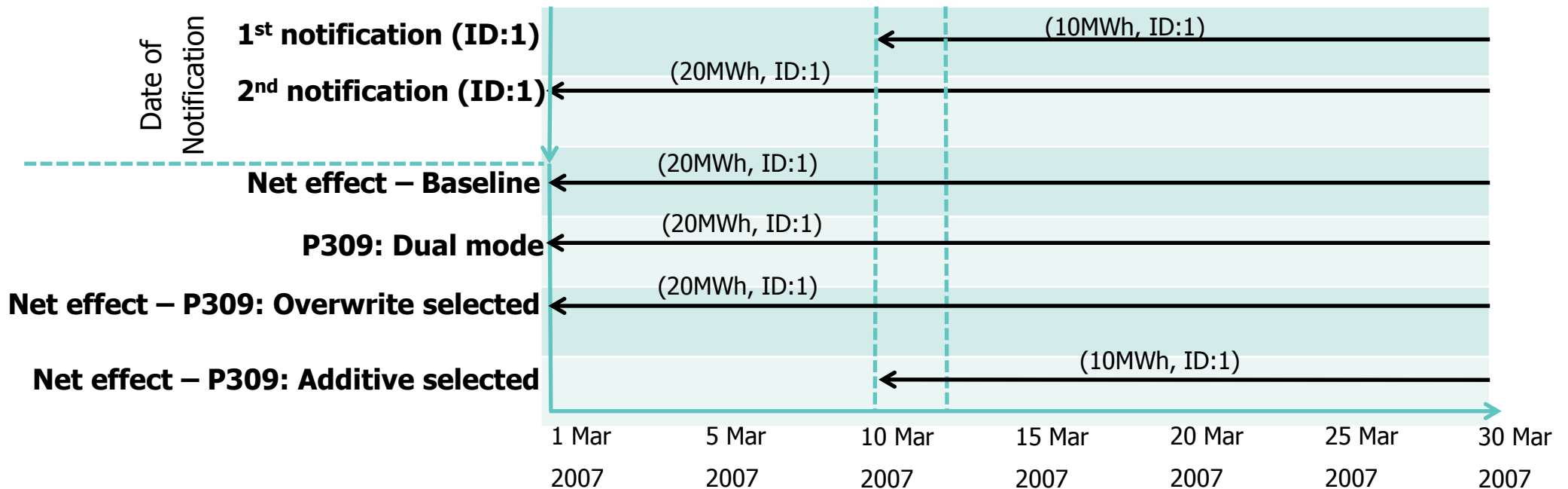


### Commentary on 'Net effect' rows

- Baseline: 2<sup>nd</sup> notification 'overwrite' in relation to the 1<sup>st</sup> notification as the IDs are the same and the effective from date of the 2<sup>nd</sup> notification is before the effective to date of the 1<sup>st</sup> notification.
- P309: Dual mode: 2<sup>nd</sup> notification will be considered a valid 'overwrite' notification as the 2<sup>nd</sup> notification has the same ID and the effective from date of the 2<sup>nd</sup> notification is prior to the effective to date of the 1<sup>st</sup> notification. The 1<sup>st</sup> notification would therefore be wiped out.
- P309 solution if Overwrite selected: 2<sup>nd</sup> notification would overwrite the 1<sup>st</sup> notification as the IDs are the same and the effective from date of the 2<sup>nd</sup> notification is before the effective to date of the 1<sup>st</sup> notification.
- P309 solution if Additive selected: 2<sup>nd</sup> notification would be rejected as the IDs are the same and the overwrite criteria is met, therefore it would be an 'overwrite' notification so would be invalid.

# P309 – scenario 7P (in perpetuity)

- Two notifications, same IDs, overlap of effective (i.e. from/to) periods, 1st notification starts after 2nd notification



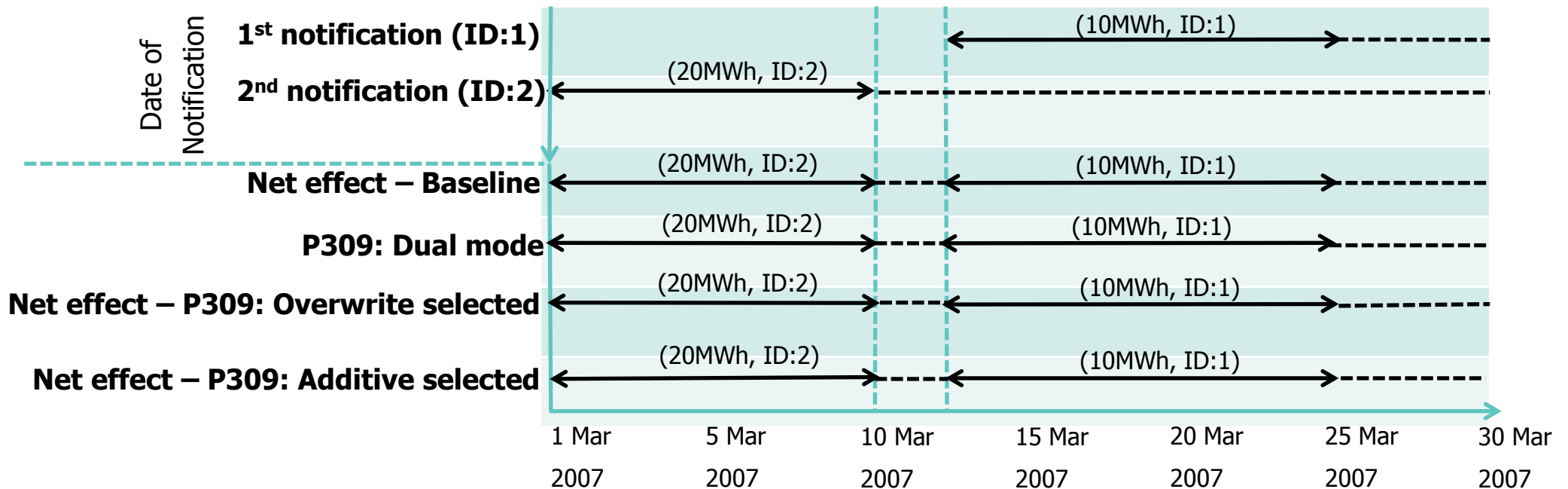
## Commentary on 'Net effect' rows

- Baseline: 2<sup>nd</sup> notification considered 'overwrite' in relation to the 1<sup>st</sup> notification as the IDs are the same and the effective from date of the 2<sup>nd</sup> notification is before the effective to date of the 1<sup>st</sup> notification.
- P309: Dual mode: 2<sup>nd</sup> notification will be considered 'overwrite' in relation to the 1<sup>st</sup> notification (not 'initial' or 'additive') as the IDs are the same and the effective from date of the 2<sup>nd</sup> notification is before the effective to date of the 1<sup>st</sup> notification.
- P309 solution if Overwrite selected: 2<sup>nd</sup> notification would overwrite the 1<sup>st</sup> notification as the IDs are the same and the effective from date of the 2<sup>nd</sup> notification is before the effective to date of the 1<sup>st</sup> notification.
- P309 solution if Additive selected: 2<sup>nd</sup> notification would be rejected as the IDs are the same and overwrite data criteria are met, and therefore it would be an 'overwrite' notification so would be considered invalid.



## P309 – scenario 8

- Two notifications, different IDs, no overlap of effective (i.e. from/to) periods, 1st notification starts after 2nd notification

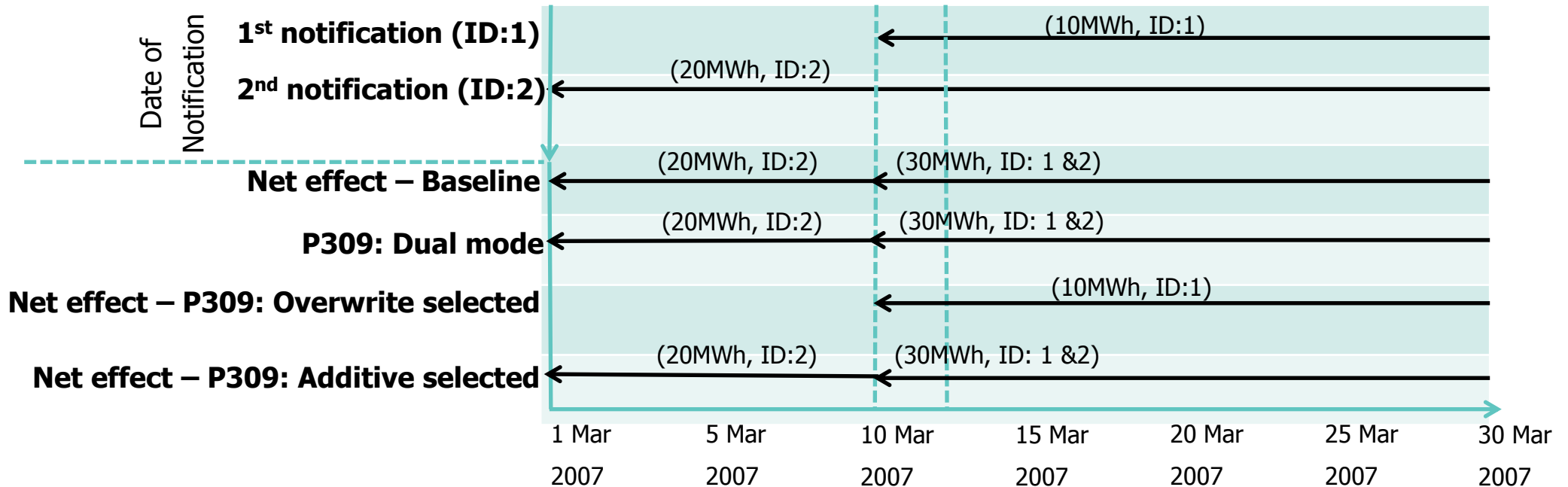


### Commentary on 'Net effect' rows

- Baseline: 2<sup>nd</sup> notification considered a valid 'additive' notification in relation to the 1<sup>st</sup> notification as the IDs are different so the additive criteria will be met.
- P309: Dual mode: 2<sup>nd</sup> notification will be considered a valid 'initial' notification as there is no overlap between the 1<sup>st</sup> and 2<sup>nd</sup> notifications.
- P309 solution if Overwrite selected: 2<sup>nd</sup> notification will be considered a valid 'initial' notification as whilst the IDs are different and there is no overlap between the 1<sup>st</sup> and 2<sup>nd</sup> notifications.
- P309 solution if Additive selected: 2<sup>nd</sup> notification will be considered a valid 'initial' notification as the IDs are different and there is no overlap between the 1<sup>st</sup> and 2<sup>nd</sup> notifications.

# P309 – scenario 8P (in perpetuity)

- Two notifications, different IDs, overlap of effective (i.e. from/to) periods, 1st notification starts after 2nd notification

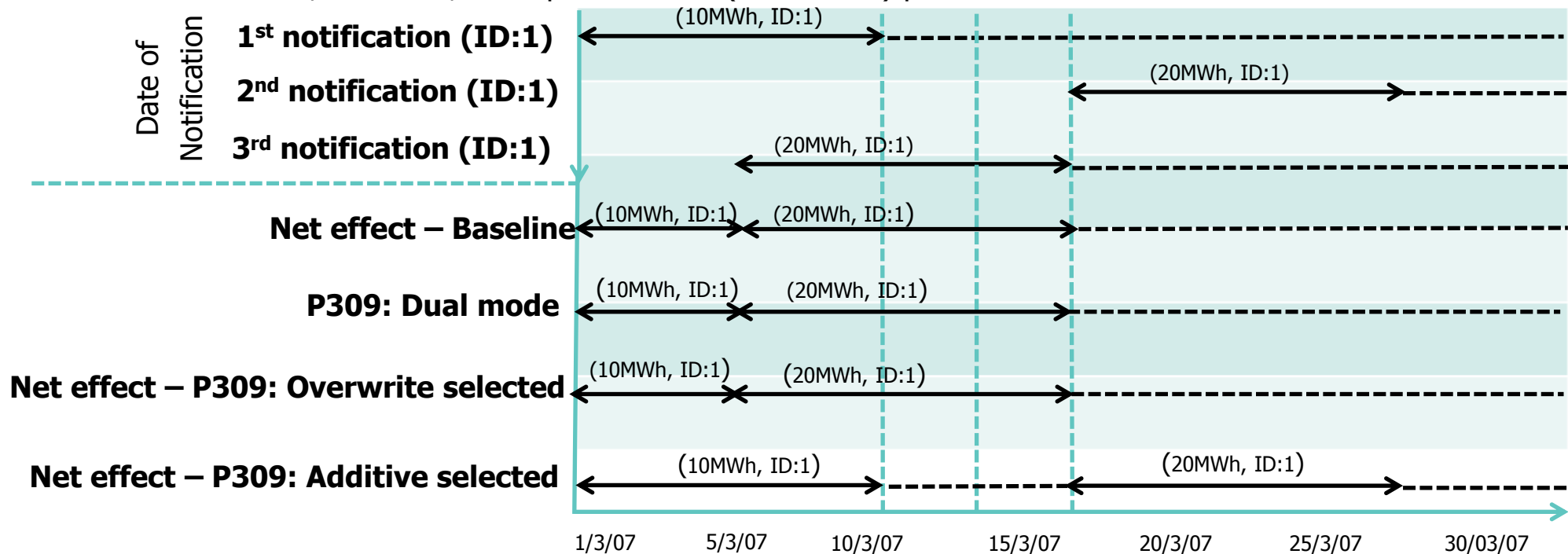


## Commentary on 'Net effect' rows

- Baseline: 2<sup>nd</sup> notification will be considered 'additive' in relation to the 1<sup>st</sup> notification as the IDs are different and the effective from date of the 2<sup>nd</sup> notification is before the effective from date of the 1<sup>st</sup> notification.
- P309: Dual mode: Same scenario as current baseline.
- P309 solution if Overwrite selected: 2<sup>nd</sup> notification would be rejected as the IDs are different so the additional criteria would be met, and therefore it would be an 'additive' notification so would be considered invalid.
- P309 solution if Additive selected: 2<sup>nd</sup> notification would be accepted as the IDs are different so it would be added to the 1<sup>st</sup> notification as it is considered a valid 'additive' notification.

## P309 – scenario 9

- Three notifications, same IDs, overlap of effective (i.e. from/to) periods for 1<sup>st</sup> and 3<sup>rd</sup> notifications

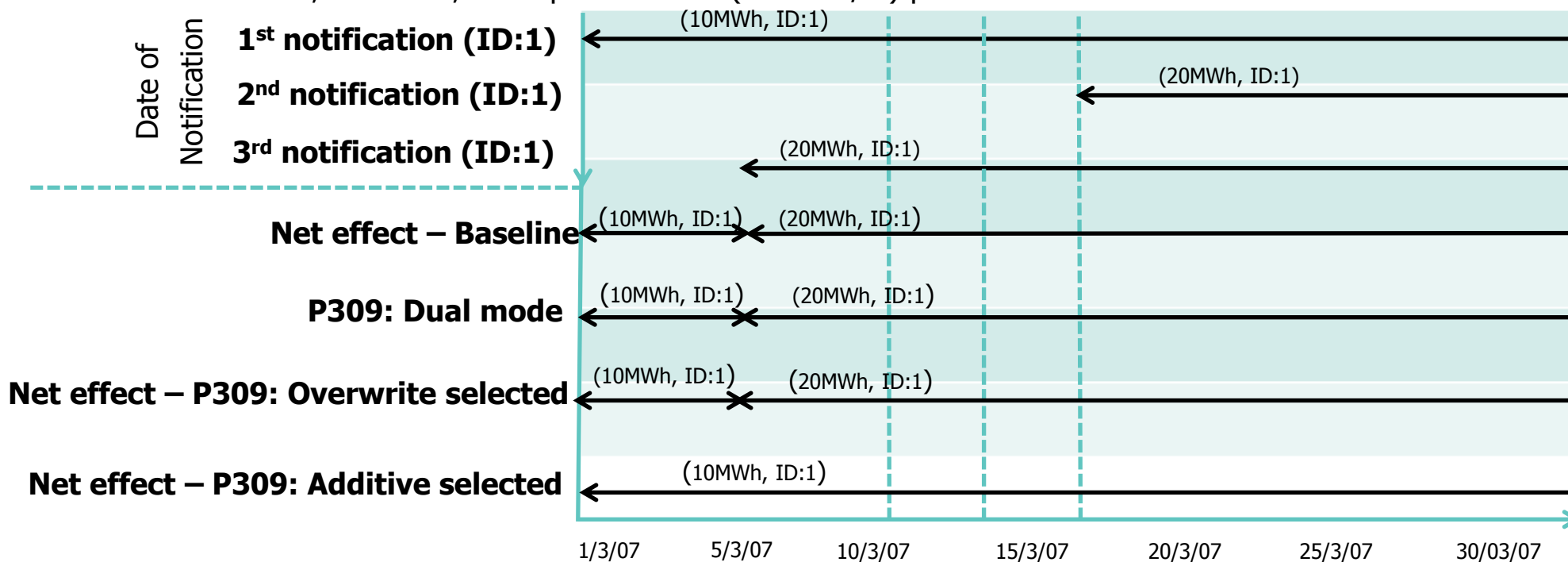


### Commentary on 'Net effect' rows

- Baseline: 2<sup>nd</sup> notification would be an 'additive' notification in respect of the 1<sup>st</sup> notification as its effective from date is after the effective to date of the 1<sup>st</sup> notification, but the 3<sup>rd</sup> notification would 'overwrite' both the 1<sup>st</sup> notification and the 2<sup>nd</sup> notification as its effective from date is before the effective to date of both the 1<sup>st</sup> and 2<sup>nd</sup> notifications (2<sup>nd</sup> notification essentially becomes irrelevant and never has any effect).
- P309: Dual mode: 2<sup>nd</sup> notification would be considered a valid 'initial' notification in respect of the 1<sup>st</sup> notification as there is no overlap between the effective from date of the 1<sup>st</sup> notification and the effective from date of the 2<sup>nd</sup> notification. The 3<sup>rd</sup> notification would be considered an overwrite notification in respect of the 1<sup>st</sup> and 2<sup>nd</sup> notifications because its effective from date is before the effective to date of both the 1<sup>st</sup> and 2<sup>nd</sup> notifications
- P309 solution if Overwrite selected: Same situation as Dual Mode.
- P309 solution if Additive selected: 2<sup>nd</sup> notification will be treated as a valid 'initial' notification with respect to the 1<sup>st</sup> notification. 3<sup>rd</sup> notification would be considered an overwrite of both the 1<sup>st</sup> and 2<sup>nd</sup> notifications and would be rejected.

# P309 – scenario 9P (in perpetuity)

- Three notifications, same IDs, overlap of effective (i.e. from/to) periods for 1<sup>st</sup> and 3<sup>rd</sup> notifications

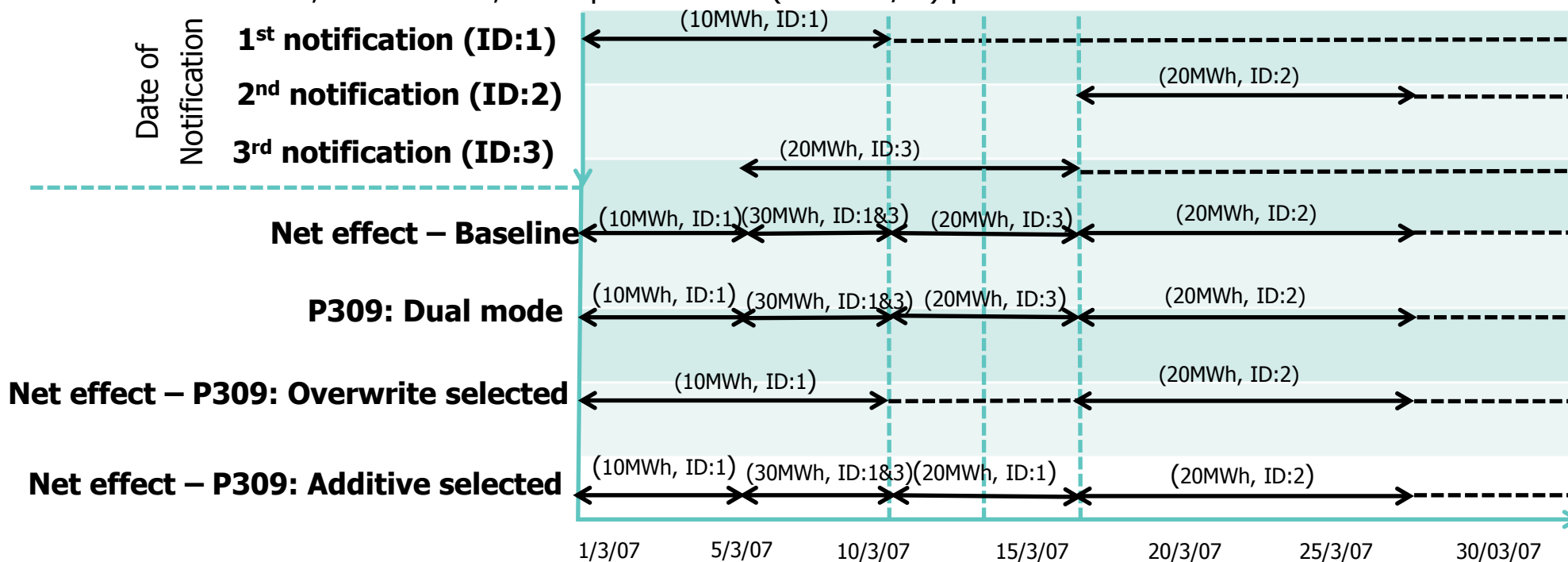


## Commentary on 'Net effect' rows

- Baseline: The 2<sup>nd</sup> notification would be a valid overwrite of the 1<sup>st</sup> notification (i.e. overlap in dates and IDs). However, the 3<sup>rd</sup> notification would overwrite the 1<sup>st</sup> and 2<sup>nd</sup> notifications as the 1<sup>st</sup> and 2<sup>nd</sup> notifications do not have effective to dates and the notifications have the same ID. Therefore, despite being valid against the 1<sup>st</sup> notification, the 2<sup>nd</sup> notification would never have any effect as the effective from date of the 3<sup>rd</sup> notification is before the effective from date of the 2<sup>nd</sup> notification.
- P309: Dual mode: Same scenario as current baseline.
- P309 solution if Overwrite selected: same as baseline and dual mode.
- P309 solution if Additive selected: 2<sup>nd</sup> and 3<sup>rd</sup> notifications would be rejected as they are considered 'overwrite' notifications as they have the same ID so would be invalid in additive mode.

# P309 – scenario 10

- Three notifications, different IDs, overlap of effective (i.e. from/to) periods for 1<sup>st</sup> and 3<sup>rd</sup> notifications

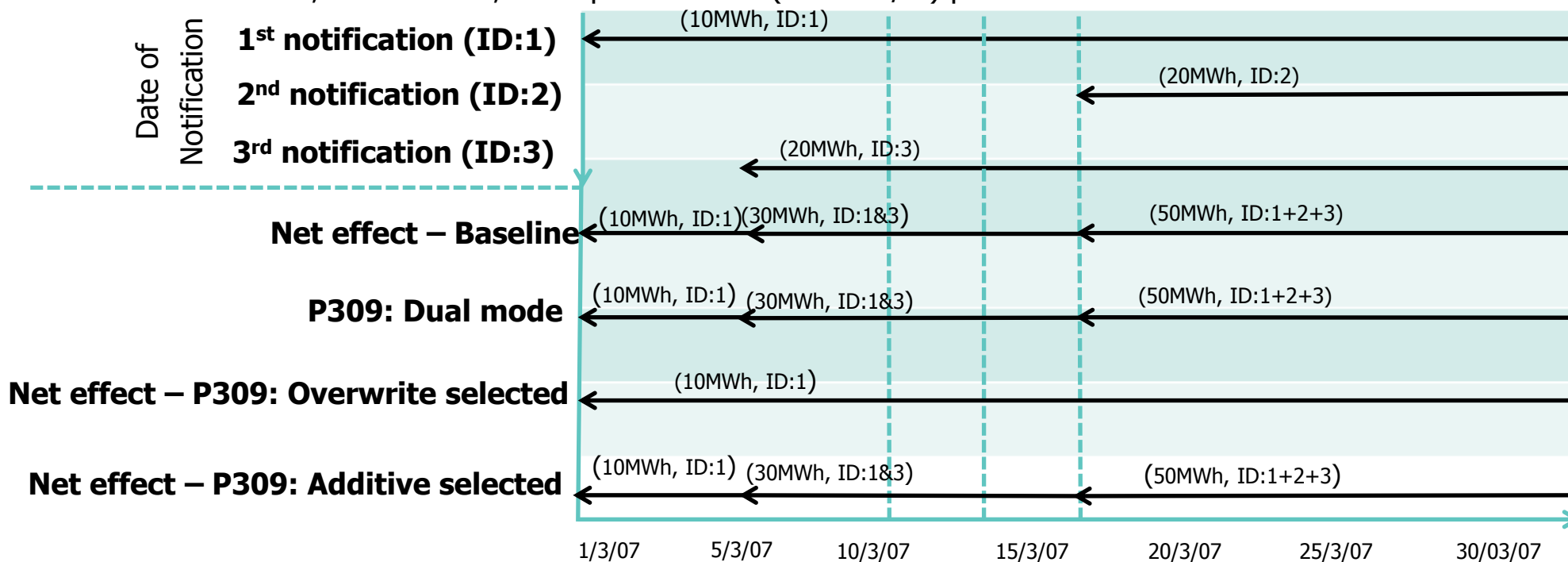


## Commentary on 'Net effect' rows

- Baseline: Both the 2<sup>nd</sup> and 3<sup>rd</sup> notifications will be considered valid 'additive' notifications as they have different IDs.
- P309: Dual mode: 2<sup>nd</sup> notification will be considered a valid 'initial' notification in respect of the 1<sup>st</sup> notification as there is no overlap between the 1<sup>st</sup> and 2<sup>nd</sup> notifications. The 3<sup>rd</sup> notification will then be a valid 'additive' notification in respect of the 1<sup>st</sup> and an initial in respect of the 2<sup>nd</sup>, so all three notifications will be valid.
- P309 solution if Overwrite selected: 2<sup>nd</sup> notification will be considered a valid 'initial' notification in respect of the 1<sup>st</sup> notification as there is no overlap between the 1<sup>st</sup> and 2<sup>nd</sup> notifications. The 3<sup>rd</sup> notification will be rejected as it would be considered an 'additive' of the 1<sup>st</sup> notification (the fact that it would be initial with respect to the 2<sup>nd</sup> notification is not relevant).
- P309 solution if Additive selected: Same situation as Dual Mode. 2<sup>nd</sup> notification will be considered a valid 'initial' notification in respect of the 1<sup>st</sup> notification as there is no overlap between the 1<sup>st</sup> and 2<sup>nd</sup> notifications. The 3<sup>rd</sup> notification will then be a valid 'additive' notification in respect of the 1<sup>st</sup> and an initial in respect of the 2<sup>nd</sup> so all three notifications will be valid.

# P309 – scenario 10P (in perpetuity)

- Three notifications, different IDs, overlap of effective (i.e. from/to) periods for 1<sup>st</sup> and 3<sup>rd</sup> notifications

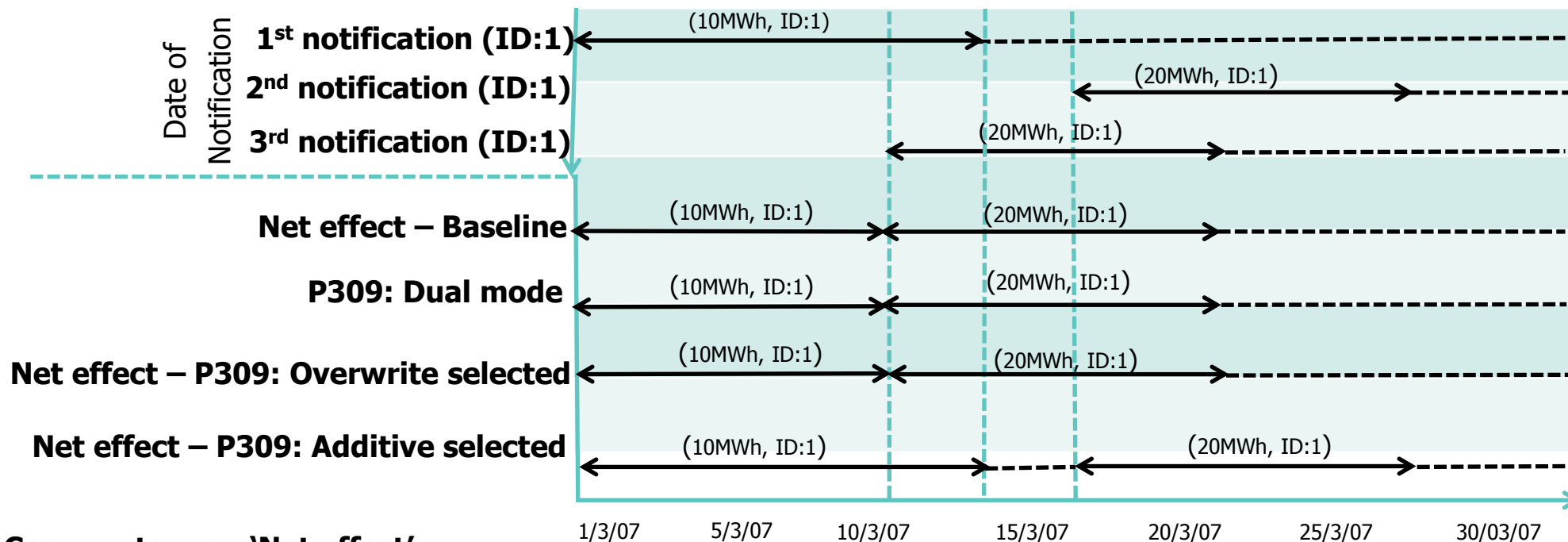


## Commentary on 'Net effect' rows

- Baseline: Both the 2<sup>nd</sup> and 3<sup>rd</sup> notifications are considered valid 'additive' notifications in respect of the 1<sup>st</sup> notification and of each other as they both have different IDs so will both be accepted.
- P309: Dual mode: Same scenario as current baseline.
- 309 solution if Overwrite selected: 2<sup>nd</sup> and 3<sup>rd</sup> notifications are considered 'additive' notifications in respect of the 1<sup>st</sup> notification as they have different IDs so will both be considered invalid in 'overwrite' mode.
- P309 solution if Additive selected: Same scenario as current baseline.

# P309 – scenario 11

- Three notifications, same ID, overlap of all effective (i.e. from/to) periods

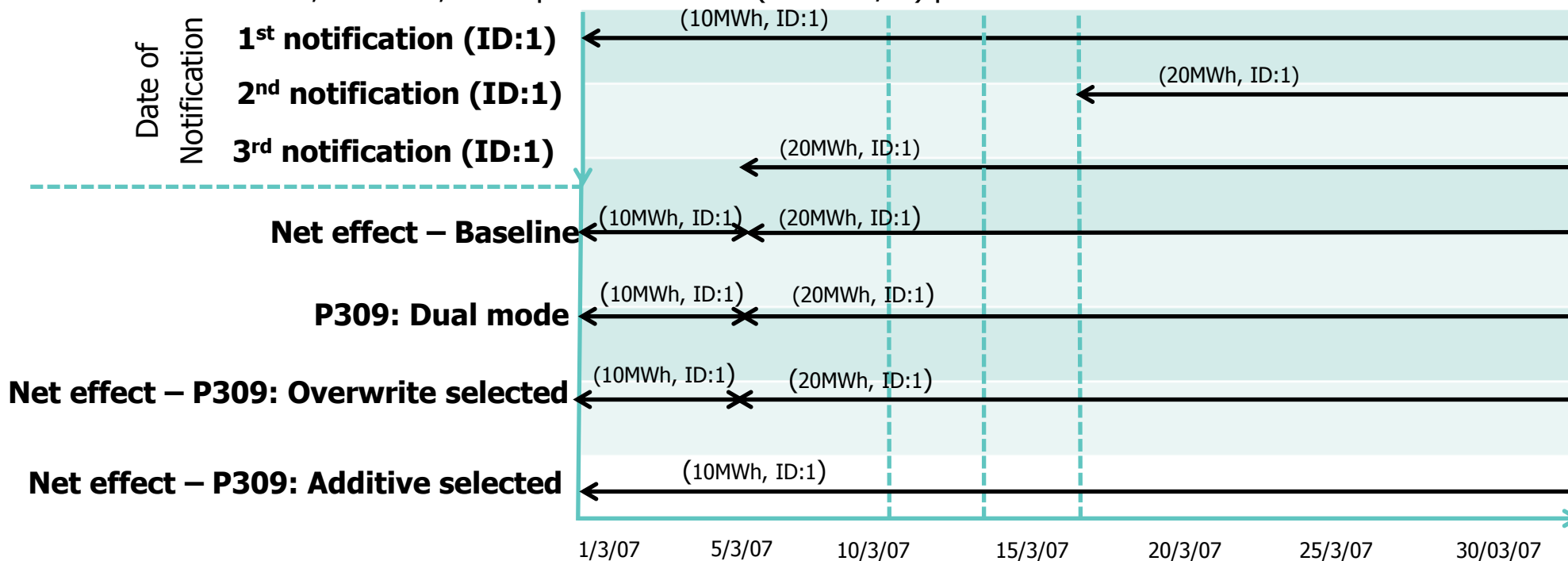


## Commentary on 'Net effect' rows

- Baseline: 3<sup>rd</sup> notification would 'overwrite' the 1<sup>st</sup> notification as there is an overlap between the effective to date of the 1<sup>st</sup> notification and the effective from date of the 3<sup>rd</sup> notification. 2<sup>nd</sup> notification would be considered an 'additive' notification in respect of the 1<sup>st</sup> notification (its effective from date is after the effective to date of the 1<sup>st</sup> notification) but is overwritten by the 3<sup>rd</sup> notification (it essentially becomes irrelevant).
- P309: Dual mode: 3<sup>rd</sup> notification would 'overwrite' the 1<sup>st</sup> notification as there is an overlap between the effective to date of the 1<sup>st</sup> notification and the effective from date of the 3<sup>rd</sup> notification. The 2<sup>nd</sup> notification would be considered an 'initial' notification in respect of the 1<sup>st</sup> notification as there is no overlap but is overwritten by the 3<sup>rd</sup> notification as they share the same IDs and overlap.
- P309 solution if Overwrite selected: 3<sup>rd</sup> notification would 'overwrite' the 1<sup>st</sup> notification as there is an overlap between the effective to date of the 1<sup>st</sup> notification and the effective from date of the 3<sup>rd</sup> notification. The 2<sup>nd</sup> notification would be considered an 'initial' notification in respect of the 1<sup>st</sup> notification as there is no overlap but is overwritten by the 3<sup>rd</sup> notification.
- P309 solution if Additive selected: no overlap between the effective to date of the 1<sup>st</sup> notification and the effective from date of the 2<sup>nd</sup> notification, so 2<sup>nd</sup> notification treated as valid 'initial'. 3<sup>rd</sup> notification would be rejected as an 'overwrite' notification.

# P309 – scenario 11P (in perpetuity)

- Three notifications, same ID, overlap of all effective (i.e. from/to) periods



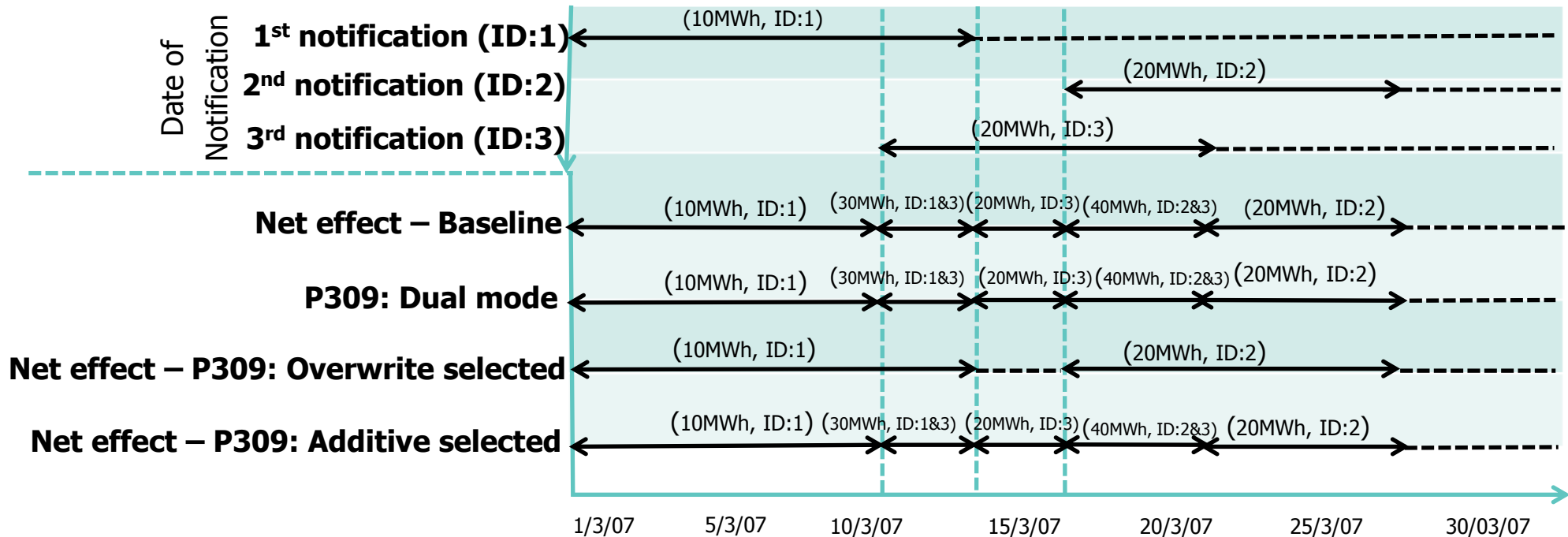
## Commentary on 'Net effect' rows

- Baseline: 3<sup>rd</sup> notification would overwrite the 1<sup>st</sup> notification as there is an overlap and the notifications have the same ID. The 2<sup>nd</sup> notification would therefore never have any effect as the effective from date of the 3<sup>rd</sup> notification is before the effective from date of the 2<sup>nd</sup> notification.
- P309: Dual mode: Same scenario as current baseline.
- P309 solution if Overwrite selected: Same scenario as current baseline and dual mode.
- P309 solution if Additive selected: 2<sup>nd</sup> and 3<sup>rd</sup> notifications would be rejected as they are considered 'overwrite' notifications as they have the same ID so would be invalid in additive mode.



# P309 – scenario 12

- Three notifications, different IDs, overlap of all effective (i.e. from/to) periods

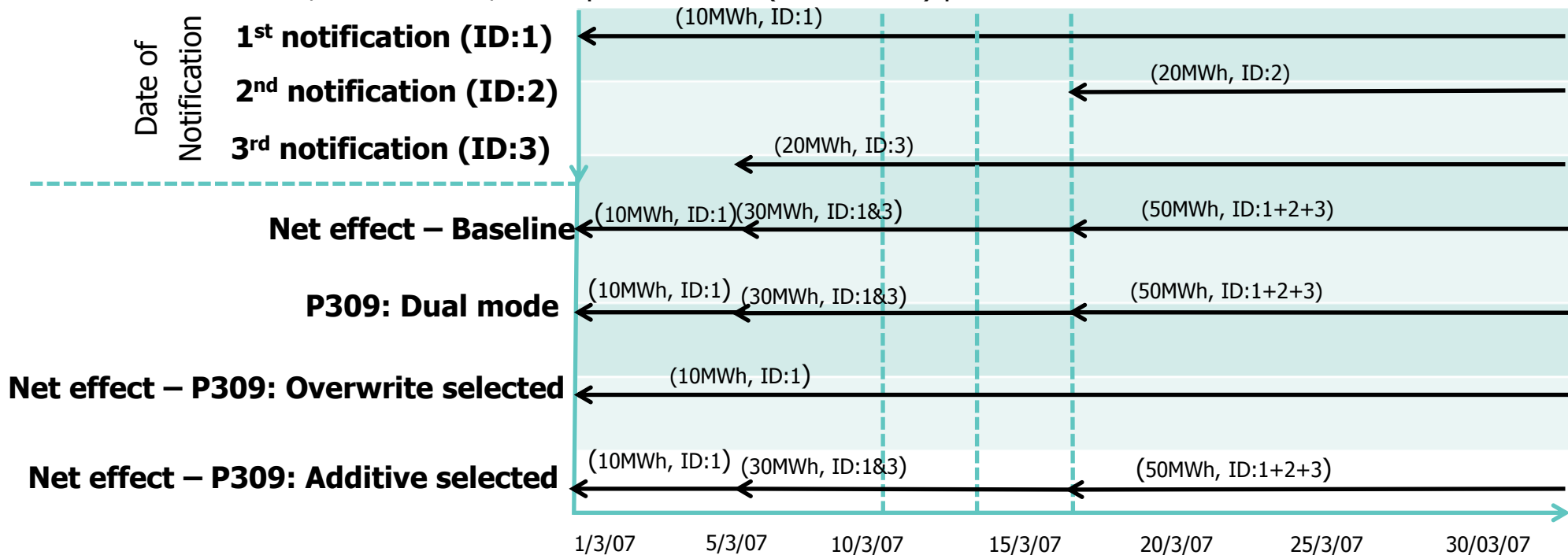


## Commentary on 'Net effect' rows

- Baseline: Both the 2<sup>nd</sup> and 3<sup>rd</sup> notifications will be considered valid 'additive' notifications (although there is an overlap between all three notifications the IDs are different).
- P309: Dual mode: Same scenario as current baseline except that the 2<sup>nd</sup> notification will be considered a valid 'initial' notification in respect of the 1<sup>st</sup> notification, and the 3<sup>rd</sup> notification will be considered a valid 'additive' notification in respect of the 1<sup>st</sup> and 2<sup>nd</sup> notification.
- P309 solution if Overwrite selected: 1<sup>st</sup> and 2<sup>nd</sup> notifications would be considered valid because the 2<sup>nd</sup> notification is considered an 'initial' notification as even though the IDs are different, there is no overlap. The 3<sup>rd</sup> notification would therefore be rejected as it is an 'additive' notification in respect of the 1<sup>st</sup> and 2<sup>nd</sup> notification (different ID and an overlap).
- P309 solution if Additive selected: Same scenario as Dual Mode. 2<sup>nd</sup> notification will be considered a valid 'initial' notification in respect of the 1<sup>st</sup> notification, and the 3<sup>rd</sup> notification will be considered a valid 'additive' notification in respect of the 1<sup>st</sup> and 2<sup>nd</sup> notification.

# P309 – scenario 12P (in perpetuity)

- Three notifications, different IDs, overlap of effective (i.e. from/to) periods for 1<sup>st</sup> and 3<sup>rd</sup> notifications

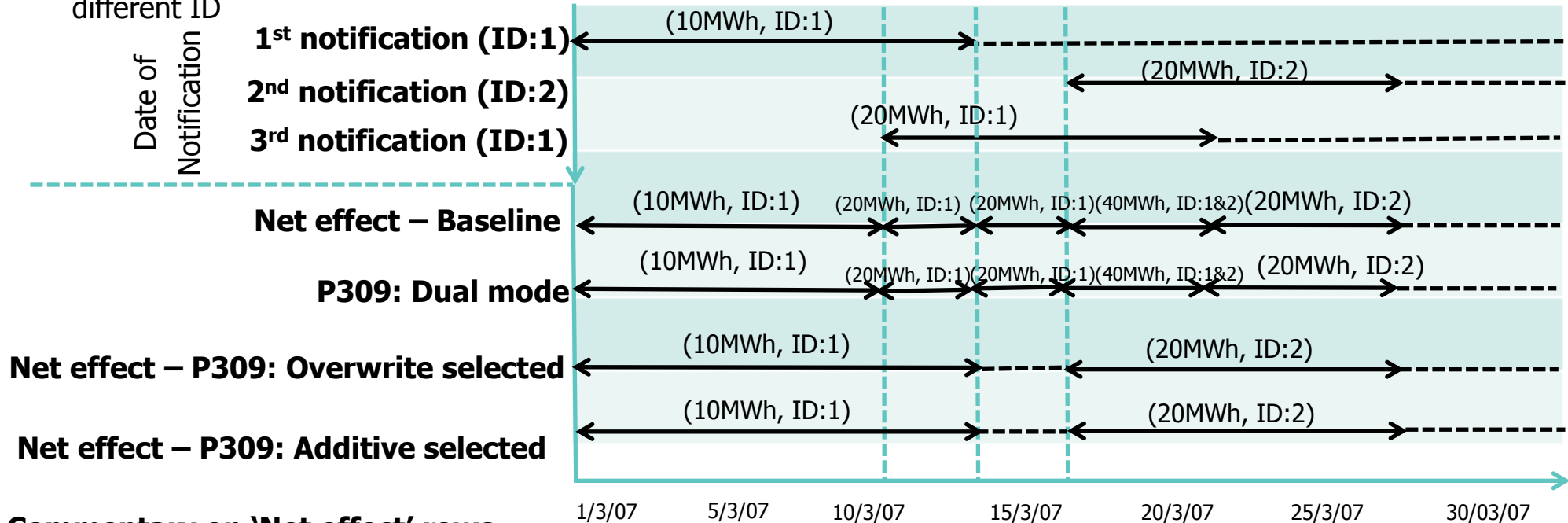


## Commentary on 'Net effect' rows

- Baseline: Both the 2<sup>nd</sup> and 3<sup>rd</sup> notifications are considered valid 'additive' notifications in respect of the 1<sup>st</sup> notification as they both have different IDs so will both be accepted.
- P309: Dual mode: Same scenario as current baseline. However the reasoning is down to there being an overlap between all three notifications so the 2<sup>nd</sup> notification will be considered a valid 'additive' notification rather than an 'initial' notification.
- P309 solution if Overwrite selected: 2<sup>nd</sup> and 3<sup>rd</sup> notifications are considered 'additive' notifications in respect of the 1<sup>st</sup> notification as they have different IDs so will both be considered invalid in 'overwrite' mode.
- P309 solution if Additive selected: Same scenario as current baseline. All three notifications will be considered valid as the 2<sup>nd</sup> and 3<sup>rd</sup> notifications are all 'additive' notifications in respect of the 1<sup>st</sup> notification so are accepted in additive mode.

# P309 – scenario 13

- Three notifications, overlap of effective (i.e. from/to) periods, 1<sup>st</sup> and 3<sup>rd</sup> notifications have same ID, 2<sup>nd</sup> notification different ID

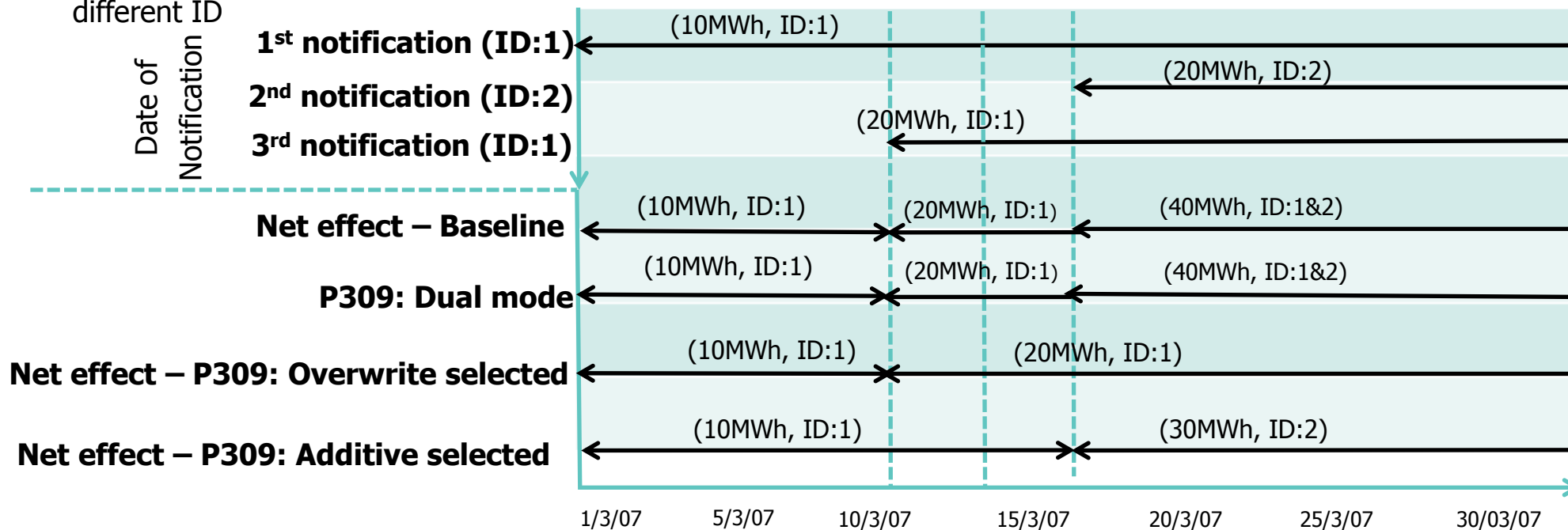


## Commentary on 'Net effect' rows

- Baseline: 3<sup>rd</sup> notification is a valid 'overwrite' notification, it would overwrite the 1<sup>st</sup> notification (they have the same ID and there is an overlap between the effective to date of the 1<sup>st</sup> notification and the effective from date of the 3<sup>rd</sup> notification) and it is also additive with respect to the 2<sup>nd</sup> notification (different IDs). 2<sup>nd</sup> notification is a valid 'additive' notification (different ID to the 1<sup>st</sup> and 3<sup>rd</sup>).
- P309: Dual mode: Same scenario as current baseline except that 2<sup>nd</sup> notification is considered a valid 'initial' notification. 3<sup>rd</sup> notification is a valid 'overwrite' notification, it would overwrite the 1<sup>st</sup> notification as they have the same ID and there is an overlap between the effective to date of the 1<sup>st</sup> notification and the effective from date of the 3<sup>rd</sup> notification, and the 3<sup>rd</sup> notification is also additive with respect to the 2<sup>nd</sup> notification (different IDs and an overlap).
- Under P309 solution if Overwrite selected: 1<sup>st</sup> and 2<sup>nd</sup> notifications would be considered valid because the 2<sup>nd</sup> notification is considered an 'initial' notification. The 3<sup>rd</sup> notification would be considered a valid 'overwrite' in respect of the 1<sup>st</sup> but be rejected as it is an 'additive' notification in respect of the 2<sup>nd</sup> notification (different ID and an overlap).
- Under P309 solution if Additive selected: 2<sup>nd</sup> notification would be a valid 'initial' notification in respect of the 1<sup>st</sup> notification (IDs are different and no overlap). The 3<sup>rd</sup> notification would be invalid and rejected as it is an 'overwrite' notification of the 1<sup>st</sup> notification.

# P309 – scenario 13P (in perpetuity)

- Three notifications, overlap of effective (i.e. from/to) periods, 1<sup>st</sup> and 3<sup>rd</sup> notifications have same ID, 2<sup>nd</sup> notification different ID

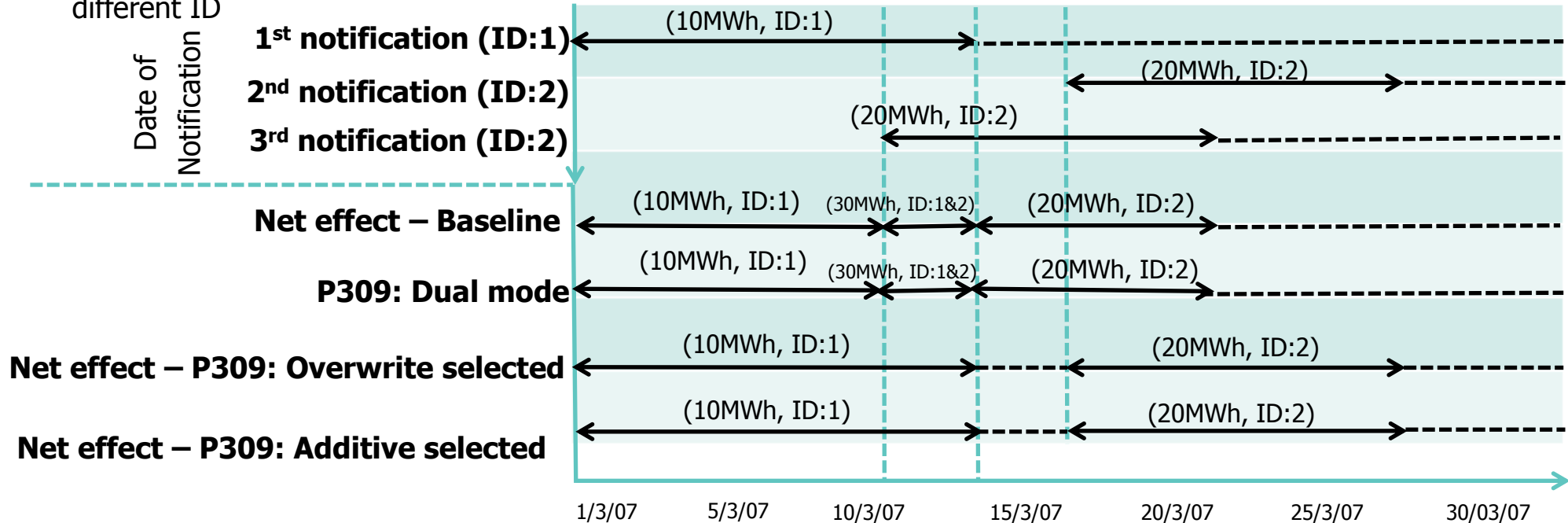


## Commentary on 'Net effect' rows

- Baseline: 3<sup>rd</sup> notification is a valid 'overwrite' notification. It would overwrite the 1<sup>st</sup> notification (they have the same ID and there is an overlap between the effective to date of the 1<sup>st</sup> notification and the effective from date of the 3<sup>rd</sup> notification). 2<sup>nd</sup> notification is a valid 'additive' notification (different ID to the 1<sup>st</sup> and 3<sup>rd</sup>).
- P309: Dual mode: Same scenario as current baseline.
- Under P309 solution if Overwrite selected: 2<sup>nd</sup> notification would be rejected as it is 'additional' in respect of the 1<sup>st</sup> notification. The 3<sup>rd</sup> notification needs to be assessed against both the 1<sup>st</sup> and 2<sup>nd</sup> notifications (even though the 2<sup>nd</sup> notification has been rejected, it is still 'submitted'). The 3<sup>rd</sup> notification is a 'replacement' notification in respect of the 1<sup>st</sup> notification but in respect of the 2<sup>nd</sup> notification it is an 'initial' notification.
- Under P309 solution if Additive selected: 2<sup>nd</sup> notification would be added to the 1<sup>st</sup> notification (IDs are different). The 3<sup>rd</sup> notification would be invalid as it has the same ID as the 1<sup>st</sup> notification so would be rejected as it is an 'overwrite' notification with respect to the 1<sup>st</sup> notification.

# P309 – scenario 14

- Three notifications, overlap of effective (i.e. from/to) periods, 2nd and 3rd notifications have same ID, 1st notification different ID

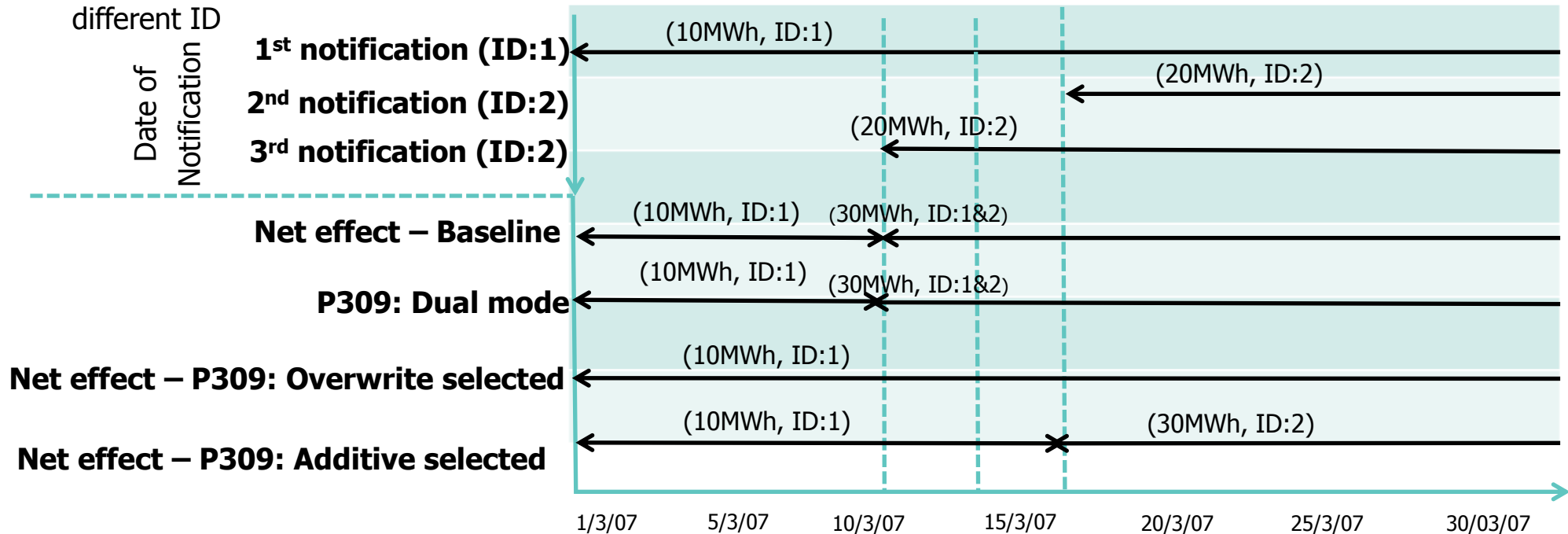


## Commentary on 'Net effect' rows

- Baseline: 2<sup>nd</sup> notification considered 'additive' with respect to the 1<sup>st</sup> notification; 3<sup>rd</sup> notification would be considered a valid 'additive' notification with respect to 1<sup>st</sup> notification (different ID to 1<sup>st</sup> notification) and would overwrite the 2<sup>nd</sup> notification (2<sup>nd</sup> notification essentially irrelevant).
- P309: Dual mode: Same scenario as current baseline except that 2<sup>nd</sup> notification would be considered an 'initial' notification not an 'additive'.
- Under P309 solution if Overwrite selected: 2<sup>nd</sup> notification would be considered valid because the 2<sup>nd</sup> notification is considered an 'initial' notification (so can be a valid under overwrite notification mode, even though the IDs are different, as there is no overlap). The 3<sup>rd</sup> notification would therefore be rejected as it is an 'additive' notification in respect of the 1<sup>st</sup> notification (different ID and an overlap).
- Under P309 solution if Additive selected: 2<sup>nd</sup> notification is considered a valid 'initial' notification with respect to the 1<sup>st</sup> notification. 3<sup>rd</sup> notification would therefore be invalid as it would be an 'overwrite' notification with respect to the 2<sup>nd</sup> notification (same ID) so it would be rejected.

# P309 – scenario 14P (in perpetuity)

- Three notifications, overlap of effective (i.e. from/to) periods, 2nd and 3rd notifications have same ID, 1st notification different ID



## Commentary on 'Net effect' rows

- Baseline: 3<sup>rd</sup> notification is a valid 'additive' notification in respect of the 1<sup>st</sup> notification (they have different IDs). 2<sup>nd</sup> notification would be overwritten by the 3<sup>rd</sup> notification (essentially the 2<sup>nd</sup> notification becomes irrelevant).
- P309: Dual mode: Same scenario as current baseline.
- Under P309 solution if Overwrite selected: Only 1<sup>st</sup> notification would be considered valid as the 2<sup>nd</sup> and 3<sup>rd</sup> notifications have different IDs to the 1<sup>st</sup> notification so would both be rejected as 'additive' notifications.
- Under P309 solution if Additive selected: 2<sup>nd</sup> notification is considered a valid 'additive' notification with respect to the 1<sup>st</sup> notification. 3<sup>rd</sup> notification would therefore be invalid as it would be an 'overwrite' notification with respect to the 2<sup>nd</sup> notification (same ID) so it would be rejected.