

Change Proposal – F40/01 (Page 1 of 2)

CP No: 986

Version: 2.0

Title

Revisions to Authorisations in 2 Balancing and Settlement Code Procedures (BSCPs)

Description of Change

Remove ambiguities in BSCP38 "Authorisations" and BSCP301 "Clearing, Invoicing and Payment" relating to authorisation requirements.

Proposed Solution(s)

A) BSCP38 Authorisations

The following amendments are proposed:

- 1) Revise paragraph 1.2 from "The CRA and BSCCo have separate authorisation forms, which detail the specific authorisation categories for which each is responsible, in total there are three forms" to:

"The CRA and BSCCo have separate authorisation forms, which detail the specific authorisation categories for which each is responsible. In total there are two forms."

- 2) Replace the second occurrences of '1.2 (a)' and '1.2 (b)' (on page 6 of version 4.0) with bullets (on the basis there are already occurrences of '1.2 (a)' and '1.2 (b)' on the previous page 5).
- 3) Revise the existing second occurrence of 1.2 (b) "(b) BSCP38/5.3: BSC Agent - Declaration of Authorised Persons" to:
"5.2 BSCP38/5.2: BSC Agent - Declaration of Authorised Persons".
- 4) Remove the "Effective to" date included in part A of BSCP38/5.1 and BSCP38/5.3 forms.
- 5) Category A appears in part A of BSCP38/5.1 and BSCP38/5.3, therefore, anyone registering with the CRA under Category A must also register as a Category A with the BSCCo, even if no other categories on either of the forms are applicable.

For items "a) Nominating Authorised Persons" and "c) Cancellation of Authorised Persons", in the ACTION column of step 3.1.1, Parties should therefore complete and send forms to both BSCCo (BSCP38/5.1) and CRA (BSCP38/5.2 form).

For item "b) Change(s) to the scope of Authorised Persons authority" both forms are not required, therefore step 3.1.1 should be revised.

Below are the proposed changes:

REF	WHEN	ACTION	FROM	TO	INPUT INFORMATION REQUIRED	METH OD
3.1.1	As required	Request change to Authorisation Register in form of: a) Nominating Authorised Persons and b) Cancellation of Authorised Persons c) Change(s) to the scope of Authorised Persons authority both forms are not required	Party, ECVNA, MVRNA, MOA or PDSO as applicable	CRA or <u>and</u> BSCCo as applicable CRA or BSCCo, as applicable	Completed relevant Declaration of Authorised Persons Form, section 5. Where a Party does not have a category A Authorised Person registered with the CRA or <u>and</u> BSCCo the relevant Declaration of Authorised Persons Form be accompanied by a letter on Company stationary and signed by a registered Company Director. Completed relevant Declaration of Authorised Persons Form, section 5. Where a Party does not have a category A Authorised Person registered with the CRA <u>or</u> BSCCo the relevant Declaration of Authorised Persons Form be accompanied by a letter on Company stationary and signed by a registered Company Director.	Letter / fax

- 6) Additional text should be added at the end of paragraph 1.3 as follows:

“For all the above a password should be provided on an individual not a company basis.”

- 7) Currently, the CRA uses BSCP38 Category N “Applicant Parties to register and Amend Banking Details with the FAA” in Section 4 (Authorisation Categories) when validating a BSC Party’s Authorised Signature and/or Password on receipt of a BSCP301/06 “Minimum Eligible Amount Request”. This is being confused with lodging credit. A separate category needs adding to Section 4, underneath Category N such as “lodging credit cover”.

B) BSCP301 Clearing, Invoicing and Payment

The following amendment is proposed:

- 1) Form BSCP301/06 “Minimum Eligible Amount Request Form” in 4.1.6 requires a star next to the Password to identify that this is a Mandatory field.

Justification for Change

The proposed changes will provide clarity in how Authorised Signatories should be set up and what each category means. There is currently some lack of clarity in BSCP38 and BSCP301. This has resulted in inconsistencies in the process and instances where Parties have submitted incorrect Authorisation forms due to misleading instructions in the BSCPs.

Other Configurable Items Potentially Affected by Proposed Solution(s) (optional by BSCCo)

None

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Impact on Core Industry Documents <i>(optional by originator)</i> None	
Related Changes and/or Projects <i>(mandatory by BSCCo)</i> None	
Originator's Details: BCA Name Organisation <i>ELEXON</i> Email Address <i>geraldine.morgan@elexon.co.uk</i> Date <i>08/08/03</i> Organisation <i>ELEXON</i>	
Attachments: No	