

Change Proposal – BSCP40/02	CP No: 1340 <i>Version No: 2.0</i>
Title Changes to BSCP537 Appendix 1 - Self Assessment Document (SAD) to better reflect the BSC Obligations	
Description of Problem/Issue <u>Why a Version 2.0?</u> CP1340 v2.0 has been raised and issued for industry impact assessment following responses to CPC00687 . A respondent identified that the proposed new question in CP1340v1.0 on Central Volume Allocation (CVA) Meter Operator Agent (MOA) compliance with BSCP533, in relation to PARMS data submissions, is not required as the Central Data Collection Agent (CDCA) organises the submission of PARMS data on the CVA MOA's behalf. CP1340v2.0 does not include this question. Additionally the proposed new BSCP601 compliance question has been revised, to provide additional clarity on what applicants are required to demonstrate. <u>Background:</u> BSCP537 Appendix 1 'Self Assessment Document (SAD)' contains the requirements for both Qualification and re-Qualification. Applicants ¹ are required to complete the SAD in accordance with BSCP537 'Qualification' and Section J 'Party Agents and Qualification under the Code' of the BSC. An applicant's responses to the questions within BSCP537 Appendix 1 (SAD) must support their self assessment conclusions as to whether they have met the Qualification Requirements. <u>Defining the Problem:</u> It has been identified that sections in BSCP537 Appendix 1 (SAD) should be updated to better reflect BSC obligations: <ul style="list-style-type: none"> • The BSC does not discriminate against companies who are based outside of the UK. However Page 7 of the SAD states that only directors verifiable with Companies House <u>in the UK</u> are able to sign off the document. This should be amended. • Half Hourly Data Collectors (HHDCs) are required by BSCP601 'Metering Protocol Approval and Compliance Testing' to demonstrate that all their data retrieval systems are capable of communicating with Metering equipment. This is currently absent from the SAD. • Additionally references to paa@elexon.co.uk need to be removed and replaced with cross references to BSCP533 as the method of submitting PARMS data is changing via approved CP1339. 	
Proposed Solution To address the three areas identified above, the following changes are proposed: <ul style="list-style-type: none"> • Amend the footnote on page 7 of BSCP537 Appendix 1 (SAD) to enable company directors outside of the UK to sign off the document, by removing reference to '(verifiable with Companies House)'. Note that if a director of a non U.K based company signs off a qualification document, checks are in place to validate that they are a company registered with their respective countries Companies House equivalent • Include a new question in Section 9 of the SAD which would identify how applicants are able to demonstrate compliance of all their data retrieval systems are approved in accordance with BSCP601. • Replace instances of paa@elexon.co.uk with a cross reference to BSCP533 as the data submission method will be revised by approved CP1339. 	

¹ Applicants who wish to be qualified under the Balancing and Settlement Code.

Justification for Change

The proposed changes will address issues Participants have encountered through:

- Amending the footnote on the Director Sign off page will ensure that it is clear to applicants that directors, who are based outside of the UK, are able to sign off the Self Assessment Document and complete the SVA Qualification and re-Qualification process.
- Updating Section 9 of the SAD would ensure that applicants who wish to apply for the role of HHDC are able to demonstrate that all their data retrieval systems can communicate with Metering equipment in accordance with BSCP601.
- Amending references to paa@elexon.co.uk will ensure applicants submit PARMS data to the correct place.

To which section of the Code does the CP relate, and does the CP facilitate the current provisions of the Code?

Section J 'Party Agents and Qualification under the Code'. The changes will better reflect the BSC Obligations.

Estimated Implementation Costs

The estimated ELEXON implementation cost is 2 ELEXON Man Days equating to £480.

Configurable Items Affected by Proposed Solution(s)

BSCP537 Appendix 1 – Self Assessment Document (SAD)

Impact on Core Industry Documents or System Operator-Transmission Owner Code

None

Related Changes and/or Projects

CP1323 – 'Review of the Qualification Self Assessment Document (SAD)', which was implemented as part of the June 2010 Release.

CP1339 – 'Amendments to BSCP533 to enable changes to the hosting and operation of the PARMS system' which was implemented on 01 November 2010.

Requested Implementation Date

February 2011 Release

Reason:

Next available release

Version History

CP1340 v1.0 issued for industry impact assessment in September 2010 (via CPC00687).

CP1340 v2.0 has been raised and issued for industry impact assessment.

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Attachments: Y

Attachment A – BSCP537 Appendix 1 v7.0 redlined v0.8 (16 pages)